

# E0 215 : Homework 2

Deadline : 15th September, 2022, 2pm

## Instructions

- Please write your answers using  $\text{\LaTeX}$ . Handwritten answers will not be accepted.
- You are forbidden from consulting the internet. You are strongly encouraged to work on the problems on your own.
- You may discuss these problems with others. However, you must write your own solutions and list your collaborators for each problem. Otherwise, it will be considered as plagiarism.
- Academic dishonesty/plagiarism will be dealt with severe punishment. Cases of academic dishonesty/plagiarism will be reported to the appropriate authorities.
- Late submissions are accepted only with prior approval (on or before the day of posting of HW) or medical certificate.

1. SKI-RENTAL WITH LIMITED RANDOMNESS. [10 marks]

Assume that we are allowed only one bit of randomness (think of an unbiased coin which you can toss only once). Show a 1.81-competitive algorithm (against an oblivious adversary) for ski-rental problem where costs \$1 per day and buying cost  $\$B (>> 1)$ .

2. SKI-RENTAL WITH PREDICTIONS. [10 marks]

Assume that we have access to an oracle which predicts the number of ski days  $\tilde{D}$  whereas the true number of ski days is  $D$ . The error  $\eta = |D - \tilde{D}|$  is unknown. As before, assume it costs \$1 per day to rent and the buying cost is  $\$B (>> 1)$ . Now consider the following algorithm SKI-PRED which takes a parameter  $\lambda \in [0, 1]$  as input:

**If**  $(\tilde{D} \geq B)$ , **then** buy on  $\lceil \lambda B \rceil$ ; **Else**, buy on day  $\lceil B/\lambda \rceil$ .

Show that SKI-PRED has the following competitive ratio (CR):

$$1 + \min \left\{ \frac{1}{\lambda}, \lambda + \frac{\eta}{(1 - \lambda)OPT} \right\}$$

[*Hint.* Consider two distinct cases  $\tilde{D} \geq B$  and  $\tilde{D} < B$  separately.]

[*Comment.* This shows that we might be able to design better algorithms if we have access to good predictions. In particular, if  $\eta = 0$  then  $CR \leq 1 + \lambda$  and for  $\eta \rightarrow \infty$  then  $CR \leq 1 + 1/\lambda$ . Thus choosing  $\lambda = 1$  already matches the best deterministic algorithm.]

3. PAGING USING PRIMAL-DUAL. [1+4+(1+5+5)+2=18 marks]

In this problem, our goal is to obtain an  $O(\ln k)$ -competitive algorithm for paging using primal-dual method.

We assume that the cache has  $k$  pages and the universe has  $N (> k)$  pages. Let  $\sigma_t$  be the page requested at time  $t$  and  $\tau(\sigma, i)$  denote the time for the  $i$ th request for page  $\sigma$ . Let  $x(\sigma, i)$  be the indicator variable

indicating whether page  $\sigma$  is evicted from our cache between  $\tau(\sigma, i)$  and  $\tau(\sigma, i + 1)$ . Let  $\rho(\sigma, t)$  be the number of time page  $\sigma$  is requested until time  $t$  (inclusive of  $t$ ). Let  $T$  be the time for the final request.

(i) Show that the following LP is an LP relaxation for the paging problem:

$$\min \sum_{\sigma \in [N]} \sum_{i=1}^{\rho(\sigma, T)} x(\sigma, i) \text{ s.t. } \sum_{\sigma \neq \sigma_t} x(\sigma, \rho(\sigma, t)) \geq N - k \text{ for all } t \in [T], \text{ and } x(\sigma, i) \in [0, 1] \text{ for all } \sigma \in [N], i \in [\rho(\sigma, T)].$$

(ii) Write the dual of the above primal LP, where assume  $y(t)$  to be the corresponding dual variable for the primal constraint  $\sum_{\sigma \neq \sigma_t} x(\sigma, \rho(\sigma, t)) \geq N - k$  and  $z(\sigma, i)$  be the dual variable for the primal constraint  $x(\sigma, i) \in [0, 1]$ .

Now consider the following (continuous) algorithm:

**When request  $\sigma_t$  arrives, we obtain a new primal covering constraint  $\sum_{\sigma \neq \sigma_t} x(\sigma, \rho(\sigma, t)) \geq N - k$  and let  $y(t)$  be the corresponding dual variable. Also, let  $z(\sigma, i)$  denote the dual variable for the primal constraint  $x(\sigma, i) \in [0, 1]$ .**

**While  $(\sum_{\sigma \neq \sigma_t} x(\sigma, \rho(\sigma, t)) < N - k)$**

**-- Raise  $y(t)$  by  $d(y)$ .**

**-- If  $x(\sigma, \rho(\sigma, t)) < 1$ , increase  $x(\sigma, \rho(\sigma, t))$  as:  $d(x(\sigma, \rho(\sigma, t))) = dy(x(\sigma, \rho(\sigma, t)) + \frac{1}{k})$ .**

**-- If  $x(\sigma, \rho(\sigma, t)) = 1$ , increase  $z(\sigma, \rho(\sigma, t))$  as:  $d(z(\sigma, \rho(\sigma, t))) = dy$ .**

(iii) Show that the above algorithm satisfies the following three properties:

- (a) The primal solution is feasible.
- (b) The dual solution violates each dual constraint by a factor of  $O(\ln k)$ .
- (c) Let  $P, D$  be the primal and dual objectives, respectively. The increase in primal and dual objective satisfies  $\Delta P \leq 2\Delta D$ .

(iv) Using the above three properties show that the fractional solution returned by the algorithm is  $O(\ln k)$ -competitive.

**[Bonus (Not for grading):** Show that the fractional solution by the algorithm can be converted into an integral solution by losing an additional  $O(1)$ -multiplicative factor.]

#### 4. WEIGHTED PAGING USING POTENTIAL FUNCTIONS. [10+2=12 marks]

In this problem, our goal is to obtain an  $O(\ln k)$ -competitive algorithm for weighted paging where fetching a page  $\sigma$  will cost  $w_\sigma$  (instead of 1 as in the (unweighted) paging problem considered above). Consider the following algorithm:

**At time  $t$  at the arrival of request  $\sigma_t$ :**

**-- Set  $x(\sigma_t, t) = 0$ .**

**-- For each page  $\sigma (\neq \sigma_t)$  with  $x(\sigma, t) < 1$ , continuously increase  $x(\sigma, t)$  in proportion to  $(1/w_\sigma) \cdot (x(\sigma, t) + \frac{1}{k})$ , until  $\sum_{\sigma \in [n]} x(\sigma, t) = n - k$ .**

Now we define the following potential function:

$$\Phi(t) = 2 \sum_{\sigma \notin C(t)} w_\sigma \cdot \ln \left( \frac{k+1}{1+k \cdot x(\sigma, t)} \right).$$

Here,  $C(t)$  denotes the set of pages in the cache of the optimal offline algorithm at time  $t$ .

(i) Let  $\text{OPT}(t)$  and  $\text{ON}(t)$  denote the cost the optimal (offline) algorithm and the online algorithm, resp. Prove the following property:

$$\Delta \text{ON}(t) + \Delta \Phi(t) \leq 2 \ln(1+k) \Delta \text{OPT}(t)$$

[Hint. Show it by two parts: (a) when the optimal algorithm serves the request then show that  $\Delta \Phi(t) \leq 2 \ln(1+k) \Delta \text{OPT}(t)$ , and (b) when the online algorithm serves it then  $\Delta \text{ON}(t) + \Delta \Phi(t) \leq 0$ .]

(ii) Show that the above property will imply an  $O(\ln k)$ -competitive algorithm:

$$\Delta \text{ON}(t) + \Delta \Phi(t) \leq 2 \ln(1 + k) \Delta \text{OPT}(t).$$

**[Bonus (Not for grading):** Extend the algorithm to obtain  $O(\log k/k - h + 1)$ -competitive algorithm for  $(h, k)$ -paging.]