

Assignment 4

Due date: April 24, 2012

General instructions:

- Submit your solutions by typesetting in L^AT_EX.
- Write your solutions by furnishing all relevant details (you may assume the results already covered in the class or previous homework problems).
- You are strongly urged to solve the problems by yourself.
- If you discuss with someone else or refer to any material (other than the course notes) then please put a reference in your answer script stating clearly whom or what you have consulted with and how it has benefited you. We would appreciate your honesty.
- If you need any clarification, please ask one of the instructors.

Total: 55 points

1. **(5 points)** Let $\mathbb{F}$ be a field and $\mathbf{x} \stackrel{\text{def}}{=} (x_1, \dots, x_n)$ be an n -tuple of indeterminates. A set of nonzero polynomials $f_1(\mathbf{x}), f_2(\mathbf{x}), \dots, f_m(\mathbf{x}) \in \mathbb{F}[\mathbf{x}]$ is said to be $\mathbb{F}$ -linearly dependent if there exist constants $a_1, \dots, a_m \in \mathbb{F}$, not all zero, such that

$$a_1 \cdot f_1(\mathbf{x}) + a_2 \cdot f_2(\mathbf{x}) + \dots + a_m \cdot f_m(\mathbf{x}) = 0.$$

Suppose that every $f_i(\mathbf{x})$ is given in the form of an arithmetic circuit with size bounded by s . Devise a randomized algorithm that given polynomials $f_1(\mathbf{x}), \dots, f_m(\mathbf{x})$ in the form of arithmetic circuits, tests whether $f_1, \dots, f_m$ are $\mathbb{F}$ -linearly dependent, in time polynomial in s .

2. **(5 points)** In this exercise you will devise an algorithm that given a matrix A computes its k -th radical, $A^{1/k}$. More precisely, the task is to devise an efficient randomized algorithm that given a prime p , an integer $k \geq 2$ and an $(n \times n)$ -matrix $A \in \mathbb{F}_p^{n \times n}$, computes a matrix $B \in \mathbb{F}_p^{n \times n}$ such that $B^k = A$, if such a matrix B exists. The running time of the algorithm should be polynomial in $\log p$, n and k . You can assume that all the eigenvalues of A are in $\mathbb{F}_p$ and $p > \max\{k, n\}$. [Hint: If it helps, you can also assume that the Jordan normal form of A can be computed in randomized polynomial time i.e. an invertible matrix $C \in \mathbb{F}_p^{n \times n}$ can be computed in (randomized) time polynomial in n and $\log p$ such that CAC^{-1} is in Jordan normal form.]

3. **(5 points)** The discrete logarithm problem for matrices is the following: given a prime p and two $(n \times n)$ matrices $A, B \in \mathbb{F}_p^{n \times n}$, find the smallest integer $m \geq 0$ such that $A^m = B$, if such an m exists. (You can assume that we are given the promise that m , if it exists, is less than p). Your task is to show that the discrete logarithm problem for matrices is no harder than the usual discrete log problem for field elements (1-dimensional matrices over $\mathbb{F}_p$). More precisely, devise a randomized polynomial time algorithm for solving the discrete log problem for matrices assuming that we have an oracle for solving the usual discrete log problem for $\mathbb{F}_p$ elements. Your algorithm should run in time polynomial in n and $\log p$. [Hint: You may assume the hint given in Problem 2.]
4. **(5 points)** Consider the following polynomial factorization task: Given as input a prime p and polynomial $f \in \mathbb{F}_p[x]$ of degree n that splits completely over $\mathbb{F}_p$, design a deterministic algorithm, by adapting the Pollard-Strassen method, that factors f using $\tilde{O}(n\sqrt{p})$ operations in $\mathbb{F}_p$.
5. **(15 points)** In the class, we have seen how a multivariate polynomial f can be factored by querying a black-box for evaluations of f followed by sparse polynomial interpolation. However, for the interpolation algorithm to work, we need the knowledge of the sparsity of every factor of f . In this exercise, we will see how to efficiently find the sparsity of a polynomial g from its evaluations at several points.

Let $g(\mathbf{x}) \in \mathbb{F}_q[\mathbf{x}]$ be a polynomial in n variables $\mathbf{x} = (x_1, \dots, x_n)$ with total degree bounded by d . Suppose, g has k monomials i.e. $g = \sum_{i=1}^k a_i M_i(\mathbf{x})$, where $M_i(\mathbf{x})$ is a monomial, and $a_i \in \mathbb{F}_q \setminus \{0\}$ for every $1 \leq i \leq k$. Consider the following sparsity test which checks if $k \leq m$. Define, $\mathbf{x}^i = (x_1^i, \dots, x_n^i)$.

1 Sparsity test

1. Pick $\mathbf{x} = (x_1, \dots, x_n) \in \mathbb{F}_q^n$ uniformly at random.
2. Let

$$H_{m+1}(\mathbf{x}) = \begin{pmatrix} g(\mathbf{x}^0) & g(\mathbf{x}^1) & \dots & g(\mathbf{x}^m) \\ g(\mathbf{x}^1) & g(\mathbf{x}^2) & \dots & g(\mathbf{x}^{m+1}) \\ \vdots & \vdots & \dots & \vdots \\ g(\mathbf{x}^m) & g(\mathbf{x}^{m+1}) & \dots & g(\mathbf{x}^{2m}) \end{pmatrix}_{(m+1) \times (m+1)}.$$

Compute $\det(H_{m+1}(\mathbf{x}))$.

3. If $\det(H_{m+1}(\mathbf{x})) = 0$, output ‘ g is m -sparse’.
 4. Else, output ‘ g is not m -sparse’.
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We would like to prove the following theorem.

Theorem 0.1 Let $q \geq \frac{m(m+1)d}{\epsilon}$. Then the above algorithm outputs ‘ g is m -sparse’ if $k \leq m$, and outputs ‘ g is not m -sparse’ with probability at least $1 - \epsilon$ if $k > m$.

- (a) **(2 points)** Show that $H_{m+1}(\mathbf{x})$ equals the following product for any $m \in \mathbb{N}$.

$$\begin{pmatrix} 1 & 1 & \dots & 1 \\ M_1(\mathbf{x}) & M_2(\mathbf{x}) & \dots & M_k(\mathbf{x}) \\ M_1(\mathbf{x}^2) & M_2(\mathbf{x}^2) & \dots & M_k(\mathbf{x}^2) \\ \vdots & \vdots & \dots & \vdots \\ M_1(\mathbf{x}^m) & M_2(\mathbf{x}^m) & \dots & M_k(\mathbf{x}^m) \end{pmatrix} \times \begin{pmatrix} a_1 & 0 & \dots & 0 \\ 0 & a_2 & \dots & 0 \\ 0 & 0 & \dots & 0 \\ \vdots & \vdots & \dots & \vdots \\ 0 & 0 & \dots & a_k \end{pmatrix} \times \begin{pmatrix} 1 & M_1(\mathbf{x}) & \dots & M_1(\mathbf{x}^m) \\ 1 & M_2(\mathbf{x}) & \dots & M_2(\mathbf{x}^m) \\ 1 & M_3(\mathbf{x}) & \dots & M_3(\mathbf{x}^m) \\ \vdots & \vdots & \dots & \vdots \\ 1 & M_k(\mathbf{x}) & \dots & M_k(\mathbf{x}^m) \end{pmatrix}$$

(b) **(3 points)** Use (a) to infer that, if $k \leq m$ then $\det(H_{m+1}(\mathbf{x})) = 0$ as a polynomial in $x_1, \dots, x_n$.

(c) **(5 points)** Use (a) to show that, if $k > m$ then the following expression holds:

$$\det(H_{m+1}(\mathbf{x})) = \sum_{S \subseteq [k], |S|=m+1} \prod_{i \in S} a_i \cdot \prod_{i < j, i, j \in S} (M_i(\mathbf{x}) - M_j(\mathbf{x}))^2$$

(d) **(3 points)** Show that the RHS of the above equation in (c) is a *non-zero* polynomial in $x_1, \dots, x_n$ with total degree bounded by $m(m+1)d$.

(e) **(2 points)** Infer that in the above algorithm $\det(H_{m+1}(\mathbf{x})) \neq 0$ with probability at least $1 - \epsilon$ if $k > m$ and $q > \frac{m(m+1)d}{\epsilon}$.

You can infer from here that by doing a ‘binary search’ on m , we can find the value of k .

6. **(10 points)** The discrete logarithm problem over a prime field $\mathbb{F}_p$ is the following: Given $a, b \in \mathbb{F}_p^\times$, compute an x such that $a^x = b \pmod p$ if such an x exists. Show that, if $p-1$ is an ℓ -smooth number then the discrete logarithm problem over $\mathbb{F}_p$ can be solved deterministically in time polynomial in ℓ and $\log p$.

7. **(10 points)** The Chevalley-Warning-Ax theorem states the following: The number of roots of a degree d polynomial $f(x_1, \dots, x_n) \in \mathbb{F}_p[\mathbf{x}]$ is either 0 or it is at least p^{n-d} , provided $n > d$. Here, by roots we mean points in $\mathbb{F}_p^n$ at which f vanish modulo p . (p is a prime.)

Use the above theorem to show that the number of boolean roots of $f(x_1, \dots, x_n)$ is either 0 or it is at least $2^{n-d(p-1)\log p}$, assuming $n > d(p-1)$. Here, by a boolean root we mean a point $(a_1, \dots, a_n) \in \{0, 1\}^n$ such that $f(a_1, \dots, a_n) = 0 \pmod p$.

[Hint: Use probabilistic method. For a “random” vector $r = (r_1, \dots, r_n) \in \{0, 1\}^n$, how can you connect the roots of $f_r(x_1, \dots, x_n)$ defined as,

$$f_r(x_1, \dots, x_n) = f(r_1 x_1^{p-1} + (1-r_1)(1-x_1^{p-1}), \dots, r_n x_n^{p-1} + (1-r_n)(1-x_n^{p-1}))$$

with boolean roots of f ?