

Lecture 29: November 24

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29.1 Abstract

1. Linearity testing. BLR [Blum, Luby, and Rubinfeld] test

Setup: Given a black box implementing some boolean function.

Task: We need to check if underlying function (say f) is linear. We are only allowed to query the box at points $x \in \{0, 1\}^n$, whereby the box returns the value $f(x)$.

Objective: To complete the task using constantly many queries to the box (ensuring high probability of success)

(Recall) A function $f : \{0, 1\}^n \rightarrow \{0, 1\}$ is $(1 - \epsilon)$ -close to a linear function $g : \{0, 1\}^n \rightarrow \{0, 1\}$ if $\Pr_{x \in \{0, 1\}^n} \{f(x) = g(x)\} \geq 1 - \epsilon$

BLR test (one round)

1. Choose $x \in_R \{0, 1\}^n, y \in_R \{0, 1\}^n$ independently.
2. Let $z = x + y$. [addition is coordinatewise over F_2]
3. Query f at x, y, z check if $f(x) + f(y) = f(z)$
4. If equality holds then accept, else reject.

To capture the closeness between two functions, we use a nice notational switch. From here on, the underlying field is the field of reals.

Notational switch: $0 \rightarrow 1$ and $1 \rightarrow -1$

Now $f, g : \{1, -1\}^n \rightarrow \{1, -1\}$

We will identify a function $f : \{1, -1\}^n \rightarrow \mathbb{R}$, naturally with a 2^n -dimensional vector over $\mathbb{R}$. Let $f \cdot g$ denote the "usual" dot product of f and g , when viewed as vectors in $\mathbb{R}^{2^n}$.

Let $f, g : \{1, -1\}^n \rightarrow \{1, -1\}$ Then,

$f \cdot g$ = Number of coordinates where f and g agree - Number of coordinates f and g disagree.

$\Rightarrow f \cdot g$ = Number of coordinates where f and g agree - $(2^n$ - Number of coordinates where f and g agree)

$\Rightarrow f \cdot g = 2^*(\text{Number of coordinates where } f \text{ and } g \text{ agree}) - 2^n$

Definition 29.1 (Inner product ($\langle f, g \rangle$)) Let $f : \{1, -1\}^n \rightarrow R$, and $g : \{1, -1\}^n \rightarrow R$, then

$$\langle f, g \rangle = E_{x \in_R \{1, -1\}^n} [f(x)g(x)] = \frac{1}{2^n} f \cdot g$$

Fact: $\langle f, g \rangle$ defines an inner product space. i.e it satisfies axioms

1. $\langle f, g \rangle = \langle g, f \rangle$
2. $\langle \alpha f, g \rangle = \alpha \langle f, g \rangle$ where α is a scalar in R
3. $\langle h + f, g \rangle = \langle f, g \rangle + \langle h, g \rangle$
4. $\langle f, g + h \rangle = \langle f, g \rangle + \langle f, h \rangle$
5. $\langle f, f \rangle \geq 0$
6. $\langle f, f \rangle = 0 \Leftrightarrow f = 0$

The product $\langle f, g \rangle = \frac{1}{2^n} f \cdot g$ captures the correlation between f and g .

Denote the 2^n Linear functions (after notational switch), by

$\chi_\phi \dots \chi_S \dots \chi_{[n]}$, where $\chi_S(x) = \prod_{i \in S} x_i$, where x_i denotes the i^{th} coordinate of x .

Lemma 29.2 $\langle \chi_S, \chi_T \rangle = 1$ if $S = T$
 $\langle \chi_S, \chi_T \rangle = 0$ if $S \neq T$ where $S, T \subseteq [n]$

Corollary 29.3 $\chi_\phi \dots \chi_{[n]}$ are linearly independent over R

Corollary 29.4 $\chi_\phi \dots \chi_{[n]}$ form an orthonormal basis for R^{2^n}

Definition 29.5 (Fourier expansion) From above corollary any vector $f : \{1, -1\}^n \rightarrow R$ has a unique representation of the form $f = \sum_{S \subseteq [n]} \alpha_S \chi_S$, where $\alpha_S \in R$. Such a representation is called the Fourier expansion of f .

Remark: In a broader sense Fourier expansion, is representation of a vector over some other "interesting" basis.

Definition : Let $f = \sum_{S \subseteq [n]} \alpha_S \chi_S$, the values $\{\alpha_S\}_{S \subseteq [n]}$ are the Fourier coefficients of f . We usually use the notation : $f = \sum_{S \subseteq [n]} \hat{f}(S) \chi_S$, where $\hat{f}(S) = \alpha_S$.

Lemma : $\langle f, g \rangle = \sum_{S \subseteq [n]} \hat{f}(S) \hat{g}(S)$, where $f, g : \{1, -1\}^n \rightarrow R$

Proof : $\langle f, g \rangle = \langle \sum_S \hat{f}(S) \chi_S, \sum_T \hat{g}(T) \chi_T \rangle$ (apply distributive law)
 $= \sum_{S, T} \hat{f}(S) \hat{g}(T) \langle \chi_S, \chi_T \rangle$
 $= \sum_{S \subseteq [n]} \hat{f}(S) \hat{g}(S)$

Corollary 3 : $\langle f, f \rangle = \sum_{S \subseteq [n]} \hat{f}(S)^2$

Corollary 4 : Let $f : \{1, -1\}^n \rightarrow \{1, -1\}$ Then $\langle f, f \rangle = 1$, (by definition of inner product $\langle \cdot, \cdot \rangle$). Hence,

$$\sum_{S \subseteq [n]} \hat{f}(S)^2 = 1 \text{ (known as Parseval's equality)}$$

BLR Test 2

It is an equivalent version of the actual BLR test after the notational switch. We will use this test for the sake of analysis.

1. Choose $x \in_R \{1, -1\}^n, y \in_R \{1, -1\}^n$ independently.
2. Let $z = x \circ y$, (where $x \circ y$ is the co-ordinate wise product).
3. Query f at x, y, z .
4. Check if $f(x).f(y) = f(z) \equiv f(x).f(y).f(z) = 1$ (as f takes $+1, -1$ values).
5. If $f(x).f(y).f(z) = 1$ then accept else reject.

We need to analyze the following quantity :

$$\begin{aligned} & Pr_{x,y \in_R \{1,-1\}^n} \{f(x).f(y).f(x \circ y) = 1\} \\ &= Pr\{\text{BLR test accepts}\} \end{aligned}$$

Observation : Suppose $f, g : \{1, -1\}^n \rightarrow \{1, -1\}$, then

$$\begin{aligned} \langle f, g \rangle &= E_{x \in_R \{1,-1\}^n} [f(x).g(x)] \\ &= \frac{1}{2^n} [\# \text{ of co-ordinates where } f, g \text{ agree} - \# \text{ of co-ordinates where } f, g \text{ disagree}] \\ &= \frac{1}{2^n} [f.g] \\ &= \text{fractions of co-ordinates where } f, g \text{ agree} - \text{fractions of co-ordinates where } f, g \text{ disagree} \\ &= 2 * (\text{fractions of co-ordinates where } f, g \text{ agree}) - 1. \end{aligned}$$

Observation : Let $f : \{1, -1\}^n \rightarrow \mathbb{R}$ and let $f = \sum_{S \subseteq [n]} \hat{f}(S) \cdot \mathcal{X}_S$ be the Fourier expansion of f .

Then $\langle f, \mathcal{X}_S \rangle = \hat{f}(S)$ for every $S \subseteq [n]$.

BLR Test Analysis

Outline : We will show that if $Pr\{\text{BLR test accepts}\}$ is high, then $\hat{f}(S)$ is high for some S .

$\Rightarrow \langle f, \mathcal{X}_S \rangle$ is high

$\Rightarrow f$ is close to $\mathcal{X}_S$.

Theorem : If $Pr\{\text{BLR test accepts } f\} \geq (1 - \epsilon)$, then f is $(1 - \epsilon)$ close to a linear function.

Proof : We define the following indicator variable :

$$e_{x,y} = \frac{1}{2} + \frac{1}{2} \cdot f(x).f(y).f(z), \text{ where } z = x \circ y.$$

Observe that $e_{x,y} = 1$ if and only if BLR test accepts with x and y as the random vectors chosen in step 1.

$$\begin{aligned} & \text{Hence, } Pr_{x,y \in_R \{1,-1\}^n} \{\text{BLR test accepts } f\} \\ &= Pr_{x,y \in_R \{1,-1\}^n} \{e_{xy} = 1\} \\ &= E_{x,y} [e_{xy}] \\ &= E_{x,y} \left[\frac{1}{2} + \frac{1}{2} \cdot f(x).f(y).f(z) \right] \\ &= \frac{1}{2} + \frac{1}{2} \cdot E_{x,y} [f(x).f(y).f(z)] \quad \dots(1). \end{aligned}$$

Analysing $E[f(x).f(y).f(x \circ y)]$

Let $f = \sum \hat{f}(S) \cdot \mathcal{X}_S$

$$\begin{aligned}
&\Rightarrow f(x) = \sum_S \hat{f}(S) \cdot \mathcal{X}_S(x), \\
&f(y) = \sum_T \hat{f}(T) \cdot \mathcal{X}_T(y), \text{ and} \\
&f(x \circ y) = \sum_U \hat{f}(U) \cdot \mathcal{X}_U(x \circ y). \text{ Therefore,} \\
&f(x) \cdot f(y) \cdot f(x \circ y) = \sum_{S,T,U} \hat{f}(S) \cdot \hat{f}(T) \cdot \hat{f}(U) \cdot \mathcal{X}_S(x) \cdot \mathcal{X}_T(y) \cdot \mathcal{X}_U(x \circ y) \\
&\Rightarrow E_{x,y}[f(x) \cdot f(y) \cdot f(x \circ y)] \\
&= \sum_{S,T,U} \hat{f}(S) \cdot \hat{f}(T) \cdot \hat{f}(U) E_{x,y}[\mathcal{X}_S(x) \cdot \mathcal{X}_T(y) \cdot \mathcal{X}_U(x \circ y)]
\end{aligned}$$

We know that :

$$\begin{aligned}
&\mathcal{X}_S(x) = \prod_{i \in S} x_i \\
&\mathcal{X}_T(y) = \prod_{j \in T} y_j \\
&\mathcal{X}_U(x \circ y) = \prod_{k \in U} x_k y_k \\
&\Rightarrow \mathcal{X}_S(x) \cdot \mathcal{X}_T(y) \cdot \mathcal{X}_U(x \circ y) = \prod_{i \in S \Delta U} x_i \prod_{j \in T \Delta U} y_j \\
&\Rightarrow E_{x,y}[\mathcal{X}_S(x) \cdot \mathcal{X}_T(y) \cdot \mathcal{X}_U(x \circ y)] = E[\prod_{i \in S \Delta U} x_i] \cdot E[\prod_{j \in T \Delta U} y_j] \text{ (as } x \text{ and } y \text{ are chosen independently).} \\
&\Rightarrow E_{x,y}[\mathcal{X}_S(x) \cdot \mathcal{X}_T(y) \cdot \mathcal{X}_U(x \circ y)] = \prod_{i \in S \Delta U} E[x_i] \cdot \prod_{j \in T \Delta U} E[y_j] \\
&\Rightarrow E_{x,y}[\mathcal{X}_S(x) \cdot \mathcal{X}_T(y) \cdot \mathcal{X}_U(x \circ y)] = 0 \quad \text{if } S \Delta U \neq \emptyset \text{ or } T \Delta U \neq \emptyset \\
&\Rightarrow E_{x,y}[f(x) \cdot f(y) \cdot f(x \circ y)] = \sum_{S \subseteq [n]} \hat{f}(S)^3
\end{aligned}$$

By the assumption made in the theorem statement :

$$\begin{aligned}
&\frac{1}{2} + \frac{1}{2} \sum_{S \subseteq [n]} \hat{f}(S)^3 \geq (1 - \epsilon) \\
&\Rightarrow \sum_{S \subseteq [n]} \hat{f}(S)^3 \geq (1 - 2\epsilon).
\end{aligned}$$

$$\text{Observe that } \sum_{S \subseteq [n]} \hat{f}(S)^3 \sum_{S \subseteq [n]} \hat{f}(S)^2 \cdot \hat{f}(S) \leq \max_S \{\hat{f}(S)\} \cdot \sum_{S \subseteq [n]} \hat{f}(S)^2$$

Since, $\sum_{S \subseteq [n]} \hat{f}(S)^2 = 1$

$$\sum_{S \subseteq [n]} \hat{f}(S)^2 \cdot \hat{f}(S) \leq \max_S \{\hat{f}(S)\}$$

$\Rightarrow$ there is fourier coefficient, say $\hat{f}(w)$, such that

$$\begin{aligned}
&\hat{f}(w) \geq 1 - 2\epsilon \\
&\Rightarrow \langle f, \mathcal{X}_w \rangle \geq 1 - 2\epsilon \\
&\Rightarrow 2[\text{fraction of co-ordinates where } f \text{ and } \mathcal{X}_w \text{ agree}] - 1 \geq 1 - 2\epsilon \\
&\Rightarrow \text{fraction of co-ordinates where } f \text{ and } \mathcal{X}_w \text{ agree} \geq 1 - \epsilon.
\end{aligned}$$

References

- [AB09] Sanjeev Arora and Boaz Barak, 2009. *Computational Complexity: A Modern Approach*, Cambridge University Press.
- [RD07] Lecture notes 2 and 3 from "Analysis of Boolean Functions" by Ryan O'Donnell <http://www>.

`cs.cmu.edu/~odonnell/boolean-analysis/`