

Lec 18

Recap:

Thm 1: (Rand. red. from Σ_c -SAT to $\oplus$ SAT) There's a rand. red. g that given a para. p & a QBF φ with c levels of alternations, runs in time $\text{poly}(|\varphi|, p)$ & o/p's a bit $g(\varphi)$ s.t.

$$\begin{aligned} \varphi \text{ is true} &\Rightarrow \Pr[\oplus g(\varphi) \text{ is true}] \geq 1 - \frac{1}{2^p} \\ \varphi \text{ is false} &\Rightarrow \Pr[\oplus g(\varphi) \text{ is false}] \geq 1 - \frac{1}{2^p} \end{aligned}$$

Note: $|g(\varphi)|$ is exponential in c , but this is fine as c is a const.

Set $p=2$. Also, a rand. red. can be viewed as a det. red. that additionally takes a "rand" string as i/p.

Cor *: There's a det. red. g that given a $QBF \phi$
 with c levels of alternation and a string $\underline{r} \in \{0,1\}^R$,
 where $R = |\phi|^{O(1)}$, runs in time $\text{poly}(|\phi|)$ & of p's a cert
 $g(\phi, \underline{r})$ s.t.

$$\begin{aligned}
 \phi \text{ is true} &\Rightarrow \Pr_{\underline{r} \in \{0,1\}^R} [\oplus g(\phi, \underline{r}) \text{ is true}] \geq \frac{3}{4} \\
 \phi \text{ is false} &\Rightarrow \Pr_{\underline{r} \in \{0,1\}^R} [\text{" " " false}] \geq \frac{3}{4}.
 \end{aligned}$$

Viewing the reduction g as a DTM.

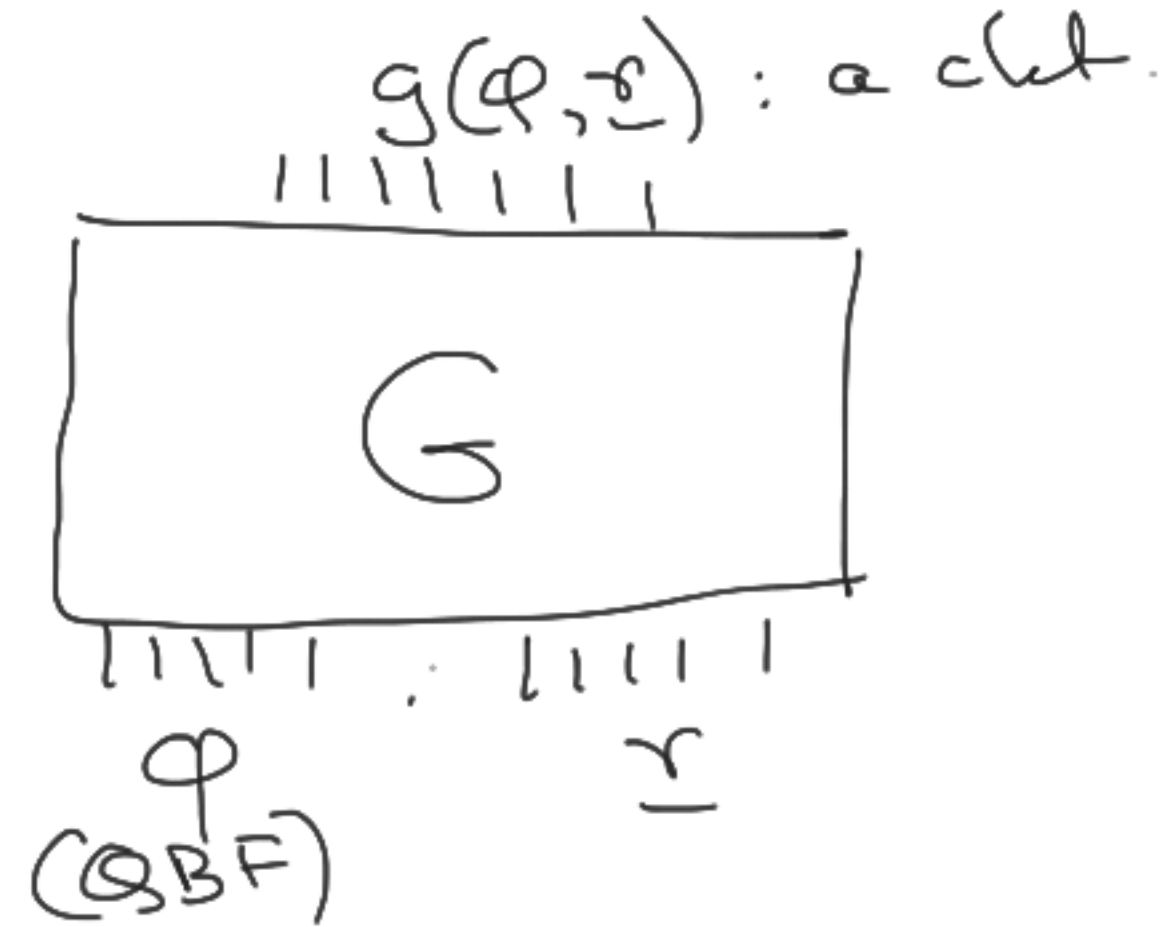


Fig 1: G is the DTM corr. to the det. red. g .

Recall, Step 2 in the pf. sketch of Toda's thm.

Step 2: (Derandomizing Step 1 by strengthening the oracle)

Give a det. poly time red. from PH to #SAT.

Remark: It would follow from the pf. of Step 2 that only one query to the #SAT oracle is sufficient.

Notations: $\triangleright$ (Recap) Let $\varphi_1(x_1), \dots, \varphi_m(x_m)$ be ckt. on disjoint sets of var. Define

$$(\varphi_1 \varphi_2 \dots \varphi_m)(\tilde{x}) = \varphi_1(x_1) \wedge \dots \wedge \varphi_m(x_m)$$

$$- |\varphi_1 \dots \varphi_m| = |\varphi_1| + \dots + |\varphi_m| + m$$

$$- \#(\varphi_1 \dots \varphi_m) = \#\varphi_1 + \dots + \#\varphi_m$$

▷ Let $\phi_1(x_1, \dots, x_{n_1})$ & $\phi_2(x_1, \dots, x_{n_2})$ be dets & $n_2 \geq n_1$. Define

$$(\phi_1 + \phi_2)(z, x_1, \dots, x_{n_2}) := \left(z \wedge \phi_2(x_1, \dots, x_{n_2}) \right) \vee \left(\neg z \wedge x_{n_1+1} \wedge \dots \wedge x_{n_2} \wedge \phi_1(x_1, \dots, x_{n_1}) \right)$$

$$- \#(\phi_1 + \phi_2) = \# \phi_1 + \# \phi_2$$

$$- |\phi_1 + \phi_2| = |\phi_1| + |\phi_2| + O(n_2).$$

▷ For $c \in \mathbb{Z}_{>0}$,

$$c \phi := \underbrace{\phi + (\phi + (\phi + \dots + \phi))}_{c\text{-times}}$$

$$\phi^c := \underbrace{\phi \cdot \phi \cdot \dots \cdot \phi}_{c\text{-times}}$$

— (0)

Proof of Step 2.

Lemma*: There's a det. poly-time red. that given a para $l \in \mathbb{Z}_{>0}$ and a det ψ , runs in time $\text{poly}(|\psi|, l)$ and outputs a det τ s.t.

$$\oplus \psi \text{ is true} \Rightarrow \# \tau = -1 \pmod{2^{l+1}}$$

$$\oplus \psi \text{ is false} \Rightarrow \# \tau = 0 \pmod{2^{l+1}}$$

Pf.: The idea is to construct τ iteratively. At the $(i+1)$ -th iteration, we construct ψ_{i+1} s.t.

$$\begin{aligned} \# \psi_i = -1 \pmod{2^{2^i}} &\Rightarrow \# \psi_{i+1} = -1 \pmod{2^{2^{i+1}}} \\ \# \psi_i = 0 \pmod{2^{2^i}} &\Rightarrow \# \psi_{i+1} = 0 \pmod{2^{2^{i+1}}} \end{aligned} \quad (1)$$

Finally, we set $\psi_0 = \psi$ & $\tau = \psi_{\log(l+1)}$.

Let's focus on the construction of ψ_{i+1} from ψ_i .

Let $\# \psi_i = t$. Think of defining a poly $p(t)$ with positive integer coeff. s.t.

$$t = -1 \pmod{2^{2^i}} \Rightarrow t^2 \cdot p(t) = -1 \pmod{2^{2^{i+1}}} \quad (2)$$

Note that if $t = 0 \pmod{2^{2^i}}$, then $t^2 = 0 \pmod{2^{2^{i+1}}}$ & so $t^2 p(t) = 0 \pmod{2^{2^{i+1}}}$ for any above mentioned kind of $p(t)$.

Hence, if we define

$\psi_{i+1} := \underbrace{\psi_i^2 \cdot p(\psi_i)}_{\text{the interpretation of this def. is given by Eqn (0).}}$ then Eqn (1) is satisfied.

Note, $\# \psi_{i+1} = (\# \psi_i)^2 \cdot \#(p(\psi_i))$
 $= t^2 \cdot p(t).$

Construction of $p(t)$: Suppose

If $p(t) = -(2k \cdot 2^{2^i} + 1) \pmod{2^{2^{i+1}}}$, then we're done.

$$\Rightarrow t^2 p(t) = (2k \cdot 2^{2^i})^2 - 1 \pmod{2^{2^{i+1}}}$$

$$= -1 \pmod{2^{2^{i+1}}}$$

$$3t^2 + 4t = -6k \cdot 2^{2^i} + 3 + 4k \cdot 2^{2^i} - 4 \pmod{2^{2^{i+1}}}$$

$$= -(2k \cdot 2^{2^i} + 1) \pmod{2^{2^{i+1}}}$$

$$\Rightarrow p(t) = 3t^2 + 4t$$

$$t = k \cdot 2^{2^i} - 1$$

$$t^2 = -2k \cdot 2^{2^i} + 1 \pmod{2^{2^{i+1}}}$$

$$= -(2k \cdot 2^{2^i} - 1) \pmod{2^{2^{i+1}}}$$

$$\therefore \psi_{i+1} := \psi_i^2 (3\psi_i^2 + 4\psi_i) \\ = 3\psi_i^4 + 4\psi_i^3$$

$$\text{Note, } |\psi_{i+1}| = |3\psi_i^4 + 4\psi_i^3| = \Theta(|\psi_i^4|) = \Theta(|\psi_i|)$$

$$\therefore |\tau| = |\psi_{\log(2+1)}| = \text{poly}(l, |\psi|).$$



Let us denote the det. red. in Lemma * by h .
 Think of composing h with the red. g in Cor *
 by setting $l = R$ (where R is as in Cor *).

Let $\gamma := h(g(\varphi, \underline{r}))$. Denote the var. of γ by $\underline{\omega}$.

$$\gamma(\underline{\omega}) := h(g(\varphi, \underline{r}))$$



$\rightarrow$ DTM corr. to the red.
h in Lem *



Cor *

φ
(QBF)

$\underline{r}$

Fig. 2:

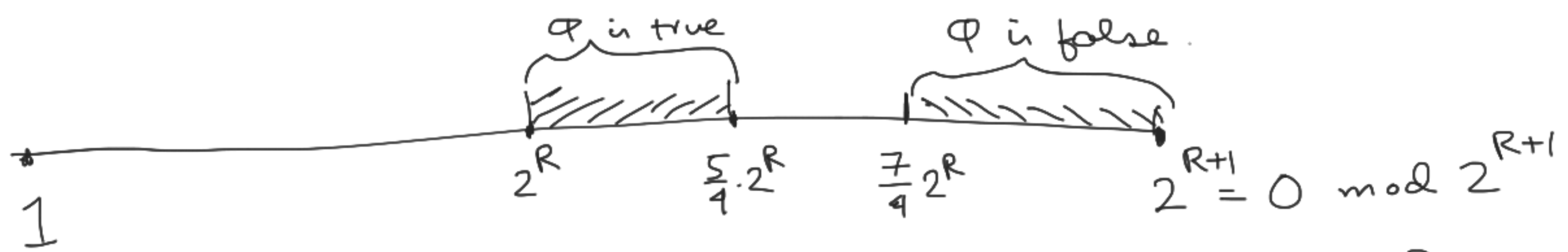
Consider the sum

$$S := \sum_{\underline{x} \in \{0,1\}^R} \#(h(g(\phi, \underline{x}))) \quad \text{--- (3)}$$

▷ If ϕ is true, then at least $\frac{3}{4}$ of the summands are $-1 \bmod 2^{R+1}$ & the remaining are $0 \bmod 2^{R+1}$.

Hence, in this case, the above sum lies between -2^R and $-(\frac{3}{4} \cdot 2^R) \bmod 2^{R+1}$.

▷ If ϕ is false, then at most $\frac{1}{4}$ of the summands are $-1 \bmod 2^{R+1}$, & the rem. are $0 \bmod 2^{R+1}$. Hence, the sum lies between $-(\frac{1}{4} \times 2^R)$ & $0 \bmod 2^{R+1}$.



$$- -2^R = 2^R \pmod{2^{R+1}} \quad ; \quad -\left(\frac{3}{4} \times 2^R\right) = 2^{R+1} - \frac{3}{4} \cdot 2^R = \frac{5}{4} \cdot 2^R \pmod{2^{R+1}}$$

$$- -\frac{1}{4} \cdot 2^R = 2^{R+1} - \frac{1}{4} \cdot 2^R = \frac{7}{4} \cdot 2^R \pmod{2^{R+1}}$$

So, if we know the sum S (given in Eqn(3)), then we can find out if Φ is true or false simply by checking if $S \pmod{2^{R+1}} \in \left[2^R, \frac{5}{4} \cdot 2^R\right]$ or $\in \left[\frac{7}{4} \cdot 2^R, 2^{R+1}\right]$.

Note,

$$\begin{aligned} S &= \sum_{\underline{x} \in \{0,1\}^R} \#(h(g(\varphi, \underline{x}))) \\ &= \sum_{\underline{x} \in \{0,1\}^R} \sum_{\underline{\omega} \in \{0,1\}^{|\underline{\omega}|}} h(g(\varphi, \underline{x}))(\underline{\omega}) \end{aligned} \quad \text{--- (4)}$$

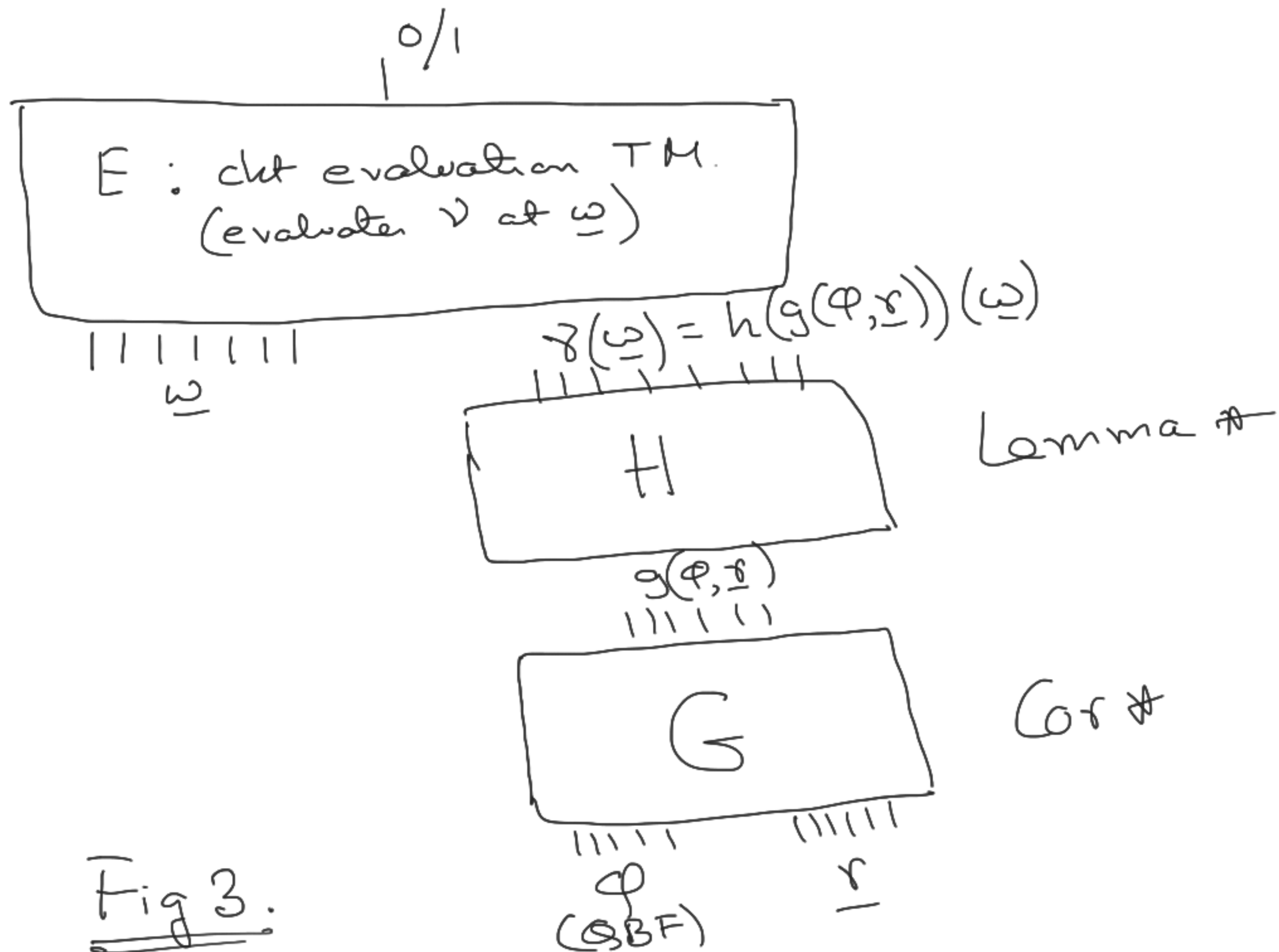


Fig 3.

By fixing φ , we can view the above computation as a DTM M_φ on inputs $\underline{x}$ & $\underline{w}$. By Cook-Levin, there's a poly-size ckt. Γ_φ that captures the computation of M_φ , i.e. $M_\varphi(\underline{x}, \underline{w}) = \Gamma_\varphi(\underline{x}, \underline{w})$ for all $\underline{x}, \underline{w}$.

Imp note: Γ_φ is poly-time computable from φ as the DTM, G, H & E can be explicitly described.

By Eqn (4)

$$S = \sum_{\underline{x} \in \{0,1\}^R} \sum_{\underline{\omega} \in \{0,1\}^{|\underline{\omega}|}} M_{\varphi}(\underline{x}, \underline{\omega})$$

$$= \sum_{\underline{x}} \sum_{\underline{\omega}} \Gamma_{\varphi}(\underline{x}, \underline{\omega}) = \# \Gamma_{\varphi}(\underline{x}, \underline{\omega})$$

Therefore, by querying Γ_{φ} to the #SAT oracle,
we know if φ is true/false.

$$\Rightarrow PH \subseteq P^{\#SAT}.$$



