### **Computational Complexity Theory**

#### Lecture 7: NL-completeness, NL = co-NL

Department of Computer Science, Indian Institute of Science

- Recall again, to define completeness of a complexity class, we need an appropriate notion of a <u>reduction</u>.
- What kind of reductions will be suitable is guided by <u>a</u> <u>complexity question</u>, like a comparison between the complexity class under consideration & another class.
  Is L = NL ?

- Recall again, to define completeness of a complexity class, we need an appropriate notion of a <u>reduction</u>.
- What kind of reductions will be suitable is guided by <u>a</u> <u>complexity question</u>, like a comparison between the complexity class under consideration & another class.
- Is L = NL ? ...poly-time (Karp) reductions are much too powerful for L.
- We need to define a suitable <u>'log-space'</u> reduction.

#### Issue: A log-space TM may not have enough space to write down the whole output f(x) in one shot.

 $x \xrightarrow{\text{Log-space TM}} f(x)$ 

...unless we restrict  $|f(x)| = O(\log |x|)$ , in which case we're severely restricting the power of the reduction.

 $(x, i) \xrightarrow{\text{Log-space TM}} f(x)_i$ 

- Issue: A log-space TM may not have enough space to write down the whole output f(x) in one shot.
- Solution: Have the log-space TM output  $\underline{a \text{ bit}}$  of f(x).

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- Issue: A log-space TM may not have enough space to write down the whole output f(x) in one shot.
- Solution: Have the log-space TM output a bit of f(x).
- Definition: A function  $f : \{0, I\}^* \rightarrow \{0, I\}^*$  is <u>implicitly log-space computable</u> if

 $||f(x)|| \leq |x|^c$  for some constant c,

2. The following two languages are in L :

 $L_f = \{(x, i) : f(x)_i = I\}$  and  $L'_f = \{(x, i) : i \le |f(x)|\}$ 

 $(x, i) \xrightarrow{\text{Log-space TM}} f(x)_i$ 

- Issue: A log-space TM may not have enough space to write down the whole output f(x) in one shot.
- Solution: Have the log-space TM output a bit of f(x).
- Definition: A language  $L_1$  is <u>log-space reducible</u> to a language  $L_2$ , denoted  $L_1 \leq_l L_2$ , if there's an implicitly log-space computable function f such that

 $x \in L_1 \quad \iff f(x) \in L_2$ 

- Issue: A log-space TM may not have enough space to write down the whole output f(x) in one shot.
- Solution: Have the log-space TM output a bit of f(x).
- Claim: If  $L_1 \leq_l L_2$  and  $L_2 \leq_l L_3$  then  $L_1 \leq_l L_3$ .

 $(x, i) \xrightarrow{\text{Log-space TM}} f(x)_i$ 

• Proof: Let f be the reduction from  $L_1$  to  $L_2$ , and g the reduction from  $L_2$  to  $L_3$ . We'll show that the function h(x) = g(f(x)) is implicitly log-space computable which will suffice as,

 $x \in L_1 \iff f(x) \in L_2 \iff g(f(x)) \in L_3$ 

- Issue: A log-space TM may not have enough space to write down the whole output f(x) in one shot.
- Solution: Have the log-space TM output a bit of f(x).
- Claim: If  $L_1 \leq_l L_2$  and  $L_2 \leq_l L_3$  then  $L_1 \leq_l L_3$ .

 $(x, i) \xrightarrow{\text{Log-space TM}} f(x)_i$ 

- Proof: ...Think of the following log-space TM that computes h(x)<sub>i</sub> from (x, i). Let
  - >  $M_f$  be the log-space TM that computes  $f(x)_i$  from (x, j),
  - $\succ$  M<sub>g</sub> be the log-space TM that computes  $g(y)_i$  from (y, i).

- Issue: A log-space TM may not have enough space to write down the whole output f(x) in one shot.
- Solution: Have the log-space TM output a bit of f(x).
- Claim: If  $L_1 \leq_l L_2$  and  $L_2 \leq_l L_3$  then  $L_1 \leq_l L_3$ .

 $(x, i) \xrightarrow{\text{Log-space TM}} f(x)_i$ 

 Proof: ...On input x, simulate M<sub>g</sub> on (f(x), i) pretending that f(x) is there in some fictitious tape. During the simulation whenever M<sub>g</sub> tries to read a j-th bit of f(x), postpone M<sub>g</sub>'s computation and start simulating M<sub>f</sub> on input (x, j).

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stores M<sub>g</sub>'s current configuration

• Claim: If  $L_1 \leq_l L_2$  and  $L_2 \leq_l L_3$  then  $L_1 \leq_l L_3$ .

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• Proof: ...On input x, simulate  $M_g$  on (f(x), i) pretending that f(x) is there in some fictitious tape. During the simulation whenever  $M_g$  tries to read a j-th bit of f(x), postpone  $M_g$ 's computation and start simulating  $M_f$  on input (x, j). Space usage =  $O(\log |f(x)|) + O(\log |x|)$ .

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 $(x, i) \xrightarrow{\text{Log-space TM}} f(x)_i$ 

• Proof: ...On input x, simulate  $M_g$  on (f(x), i) pretending that f(x) is there in some fictitious tape. During the simulation whenever  $M_g$  tries to read a j-th bit of f(x), postpone  $M_g$ 's computation and start simulating  $M_f$  on input (x, j). Space usage = O(log |x|).

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Proof: ...On input x, simulate M<sub>g</sub> on (f(x), i) pretending that f(x) is there in some fictitious tape. During the simulation whenever M<sub>g</sub> tries to read a j-th bit of f(x), postpone M<sub>g</sub>'s computation and start simulating M<sub>f</sub> on input (x, j). This shows L<sub>h</sub> is in L.

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 Proof: ...Similarly, L'<sub>h</sub> is in L and so h is implicitly logspace computable.

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- Solution: Have the log-space TM output a bit of f(x).
- Claim: If  $L_1 \leq_l L_2$  and  $L_2 \in L$  then  $L_1 \in L$ .

 $(x, i) \xrightarrow{\text{Log-space TM}} f(x)_i$ 

• **Proof:** Same ideas. (*Homework*)

 Definition: A language L is NL-complete if L ∈ NL and for every L' ∈ NL, L' is log-space reducible to L.

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PATH =  $\{(G,s,t) : G \text{ is a digraph having a path from s to } t\}$ .

- Theorem: PATH is NL-complete.
- Proof: We've already shown that  $PATH \in NL$ . Now we'll show that for every  $L \in NL$ ,  $L \leq_l PATH$ . We need to come up with an implicitly log-space computable function f s.t.

 $x \in L$   $\iff$   $f(x) \in PATH$ 

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- Theorem: PATH is NL-complete.
- Proof: (contd.) Let M be a log-space NTM deciding L. Define,  $f(x) = (G_{M,x}, C_{start}, C_{accept})$ , where  $G_{M,x}$  is given as an adjacency matrix.

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- Theorem: PATH is NL-complete.
- Proof: (contd.) Let's see how to compute  $f(x)_i$  from (x, i) using log-space:  $2^{2m}$  bits f(x)  $G_{M,x}$   $C_{start}$   $C_{accept}$

If  $i \ge 2^{2m}$  then i indexes a bit in the  $(C_{start}, C_{accept})$  part of f(x); so  $f(x)_i$  can be computed by simply writing down  $C_{start}$  and  $C_{accept}$ .

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If  $i \leq 2^{2m}$  then write i as  $(C_1, C_2)$ , where  $C_1$  and  $C_2$  are m bits each, and check if  $C_2$  is a neighbor of  $C_1$  in  $G_{M,x}$ . This takes O(m) space.

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- Theorem: PATH is NL-complete.
- Proof: (contd.) Thus, we've argued that |f(x)| has poly(|x|) length and  $L_f \in L$ . Similarly,  $L'_f \in L$ . So, f defines a log-space reduction from L to PATH.

### Other NL-complete problems

- Reachability in directed acyclic graphs.
- Checking if a directed graph is strongly connected.
- 2SAT.
- Determining if a word is accepted by a NFA.

#### An alternate characterization of NL

- Like NP, it will be useful to have a certificate-verifier kind of definition of the class NL.
- We'll see how it helps in proving NL = co-NL i.e., in showing PATH ∈ NL.

PATH = {(G,s,t): G is a digraph with <u>no</u> path from s to t}

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Definition.(first attempt) Suppose L is a language, and there's a <u>log-space verifier</u> M & a function q s.t.
 x ∈ L → ∃u ∈ {0,1}<sup>q(|x|)</sup> s.t. M(x,u) = 1

Should we define q(|x|) as a log function, meaning  $q(|x|) = O(\log |x|)$ ?

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Should we define q(|x|) as a log function, meaning  $q(|x|) = O(\log |x|)$ ? ...No, that's too restrictive. That will imply  $L \in L$ .

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Definition.(first attempt) Suppose L is a language, and there's a log-space verifier M & a <u>poly-function</u> q s.t.
 x ∈ L ➡ ∃u ∈ {0,1}<sup>q(|×|)</sup> s.t. M(x,u) = 1

Is it so that  $L \in NL$  iff L has such a log-space verifier of the above kind?

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Is it so that  $L \in NL$  iff L has such a log-space verifier of the above kind? Unfortunately not!! Exercise:  $L \in NP$  iff L has such a log-space verifier.

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Solution: Make the certificate *read-one* as described next...

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• Definition. A tape is called a *read-one tape* if the head moves from left to right and <u>never turns back</u>.

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PATH = {(G,s,t): G is a digraph with <u>no</u> path from s to t}

Definition. A language L has read-once certificates if there's a log-space verifier M & a poly-function q s.t.
 x ∈ L → ∃u ∈ {0,1}<sup>q(|x|)</sup> s.t. M(x,u) = 1, where u is given on a read-once input tape of M.

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PATH = {(G,s,t): G is a digraph with <u>no</u> path from s to t}

• Theorem.  $L \in NL$  iff L has read-once certificates.

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- Theorem.  $L \in NL$  iff L has read-once certificates.
- Proof. Suppose L ∈ NL. Let N be an NTM that decides L. Think of a verifier M that on input (x, u) simulates N on input x by using u as the nondeterministic choices of N. Clearly |u| = poly(|x|)...

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- Theorem.  $L \in NL$  iff L has read-once certificates.
- Proof. (contd.) ...as G<sub>N,x</sub> has poly(|x|) configurations.
   M scans u from left to right without moving its head backward. So, u is a read-once certificate satisfying,

 $x \in L \implies \exists u \in \{0, I\}^{poly(|x|)} \text{ s.t. } M(x,u) = I$ 

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- Theorem.  $L \in NL$  iff L has read-once certificates.
- Proof. (contd.) Suppose L has read-once certificates, and M be a log-space verifier s.t.

 $x \in L \implies \exists u \in \{0, I\}^{q(|x|)}$  s.t. M(x,u) = I.

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- Theorem.  $L \in NL$  iff L has read-once certificates.
- Proof. (contd.) Now, think of an NTM N that on input x starts simulating M. It guesses the bits of u as and when required during the simulation. As u is readonce for M, there's no need for N to store u.

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- Theorem.  $L \in NL$  iff L has read-once certificates.
- Proof. (contd.) So, N is a log-space NTM deciding L.

### Class co-NL

- Definition. A language L is in co-NL if L ∈ NL. L is <u>co-NL complete</u> if L ∈ co-NL and for every L' ∈ co-NL, L' is log-space reducible to L.
- PATH = {(G,s,t): G is a digraph with <u>no</u> path from s to t}
  Obs. PATH is co-NL complete under log-space reduction.

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- PATH = {(G,s,t): G is a digraph with <u>no</u> path from s to t}
  Obs. PATH is co-NL complete under log-space reduction.
- Obs. If a language L' log-space reduces to a language in NL then L' ∈ NL. (*Homework*) So, if PATH ∈ NL then NL = co-NL.

- Theorem. (Immerman-Szelepcsenyi 1987) PATH ∈ NL.
- Proof. It is sufficient to show that there's a log-space verifier M & a poly-function q s.t.

 $x \in PATH \implies \exists u \in \{0, I\}^{q(|x|)} \text{ s.t. } M(x,u) = I,$ 

where  $\mathbf{u}$  is given on a read-once input tape of  $\mathbf{M}$ .

• Let us focus on forming a <u>read-once certificate u</u> that convinces a verifier that there's no path from s to t...

- Theorem. (Immerman-Szelepcsenyi 1987) PATH ∈ NL.
- Proof. x = (G,s,t). Let m be the number of nodes in G.
   Let k<sub>i</sub> = no. of nodes reachable from s by a path of length at most i in G.



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   Let k<sub>i</sub> = no. of nodes reachable from s by a path of length at most i in G.
  - Read-once certificate u is of the form  $(u_1, u_2, ..., u_m, v)$ , where  $u_i$ 's and v are strings s.t.
    - (1) reading until  $(u_1, u_2, ..., u_i)$  in a read-once fashion, M knows correctly the value of  $k_i$ .

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    - (1) reading until  $(u_1, u_2, ..., u_i)$  in a read-once fashion, M knows correctly the value of  $k_i$ . So, after reading  $(u_1, u_2, ..., u_m)$ , M knows  $k_m$ , the number of nodes reachable from s.
    - (2) v then convinces M (which already knows  $k_m$ ) that t is not one of the  $k_m$  vertices reachable from s.

- Theorem. (Immerman-Szelepcsenyi 1987) PATH ∈ NL.
- Proof. We'll design  $u_i$  assuming that  $u_1$ , ...,  $u_{i-1}$  have already been constructed and M knows  $k_{i-1}$ . Let  $r_1$ , ... $r_m$  be the nodes of G s.t.  $r_1 < r_2 < ... < r_m$ . Then,

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u<sub>i</sub> looks like:



The claimed value of  $k_i$ . O(log m) bits required.

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k	r <sub>l</sub>	I	path of length ≤ i from s to r <sub>l</sub>	r <sub>2</sub>	0	No path of length ≤ i from s to r <sub>2</sub>	• • •	r <sub>m</sub>	1	path of length ≤ i from s to r <sub>m</sub>
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- While reading u<sub>i</sub>, M's work tape remembers the following info:
  - $I.k_{i-1}$  and k,
  - 2. the last read index of a vertex  $r_i$

- Theorem. (Immerman-Szelepcsenyi 1987) PATH ∈ NL.
- Proof. We'll design u<sub>i</sub> assuming that u<sub>1</sub>, ..., u<sub>i-1</sub> have already been constructed and M knows  $k_{i-1}$ . Let  $r_i$ ,  $\dots$ r<sub>m</sub> be the nodes of G s.t. r<sub>1</sub> < r<sub>2</sub> <  $\dots$  < r<sub>m</sub>. Then,

u<sub>i</sub> looks like:

k	r <sub>l</sub>	I	path of length ≤ i from s to r <sub>l</sub>	r <sub>2</sub>	0	No path of length ≤ i from s to r <sub>2</sub>	• • •	r <sub>m</sub>	I	path of length ≤ i from <mark>s</mark> to r <sub>m</sub>
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 While reading u<sub>i</sub>, M's work tape remembers the following info: The moment M encounters a new vertex index r, it checks immediately if  $r > r_i$ . This ensures that M is not

 $I. k_{i-1}$  and k,

fooled by repeating info about the same vertex in  $u_i$ .

2. the last read index of a vertex r<sub>i</sub>

- Theorem. (Immerman-Szelepcsenyi 1987) PATH ∈ NL.
- Proof. We'll design  $u_i$  assuming that  $u_1$ , ...,  $u_{i-1}$  have already been constructed and M knows  $k_{i-1}$ . Let  $r_1$ , ... $r_m$  be the nodes of G s.t.  $r_1 < r_2 < ... < r_m$ . Then,

u<sub>i</sub> looks like:

k	r <sub>l</sub>	I	path of length ≤ i from s to r <sub>l</sub>	r <sub>2</sub>	0	No path of length $\leq$ i from s to r <sub>2</sub>	•••	r <sub>m</sub>	I	path of length ≤ i from s to r <sub>m</sub>
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- While reading u<sub>i</sub>, M's work tape remembers the following info:
   While reading u<sub>i</sub>, M keeps a count of the number of indicator bits that are I and finally checks if this number is k.
  - 2. the last read index of a vertex  $r_j$

 $I. k_{i-1}$  and k,

- Theorem. (Immerman-Szelepcsenyi 1987) PATH ∈ NL.
- Proof. We'll design  $u_i$  assuming that  $u_1$ , ...,  $u_{i-1}$  have already been constructed and M knows  $k_{i-1}$ . Let  $r_1$ , ... $r_m$  be the nodes of G s.t.  $r_1 < r_2 < ... < r_m$ . Then,



- Theorem. (Immerman-Szelepcsenyi 1987) PATH ∈ NL.
- Proof. Recall, M knows  $k_{i-1} = k'$  (say) while reading  $u_i$ .



 $q_1 < q_2 < ... < q_{k'}$ 

- Theorem. (Immerman-Szelepcsenyi 1987) PATH ∈ NL.
- Proof. Recall, M knows  $k_{i-1} = k'$  (say) while reading  $u_i$ .



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q<sub>1</sub> < q<sub>2</sub> < ... < q<sub>k</sub>?
While reading the 'No path...r<sub>2</sub>' part of u<sub>i</sub>, M remembers the last q<sub>j</sub> read and checks that the next q
q<sub>j</sub>. This ensures M is not fooled by repeating q's.

- Theorem. (Immerman-Szelepcsenyi 1987) PATH ∈ NL.
- Proof. Recall, M knows  $k_{i-1} = k'$  (say) while reading  $u_i$ .



 $q_1 < q_2 < ... < q_{k'}$ 

For every j ∈ [I,k<sub>i-1</sub>], after verifying the path of length ≤ i-1 from s to q<sub>j</sub>, M checks that r<sub>2</sub> is <u>not</u> adjacent to q<sub>j</sub> by looking at G's adjacency matrix.

- Theorem. (Immerman-Szelepcsenyi 1987) PATH ∈ NL.
- Proof. Recall, M knows  $k_{i-1} = k'$  (say) while reading  $u_i$ .



 $q_1 < q_2 < ... < q_{k'}$ 

• At the end of reading the 'No path...r<sub>2</sub>' part, M checks that the number of q's read is exactly  $k_{i-1}$ .

- Theorem. (Immerman-Szelepcsenyi 1987) PATH ∈ NL.
- Proof. Recall, M knows  $k_{i-1} = k'$  (say) while reading  $u_i$ .



 $q_1 < q_2 < ... < q_{k'}$ 

• This convinces M that there is no path of length  $\leq i$  from s to  $r_2$ . Length of the 'No path... $r_2$ ' part of  $u_i$  is  $O(m^2 \log m)$ .

- Theorem. (Immerman-Szelepcsenyi 1987) PATH ∈ NL.
- Proof. So, after reading  $(u_1, ..., u_m)$ , the verifier M knows  $k_m$ , the number of vertices reachable from s.
- The v part of the certificate u is similar to the 'No path... $r_2$ ' part of  $u_i$  described before. The details here are easy to fill in (homework).
- We stress again that M is able to verify nonexistence of a path between s and t by <u>reading u once</u> from left to right and never moving its head backward.

### • Hence, both PATH and $PATH \in NL \subseteq SPACE((\log n)^2)$

by Savitch's theorem.