

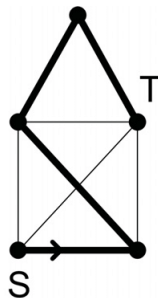
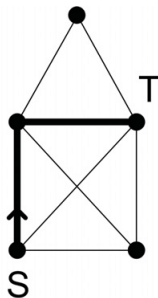
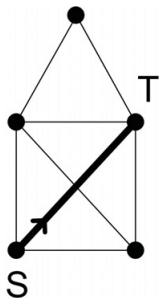
# UPATH is in L

Anish Hebbar, Shravan Mehra, Prashant Gokhale

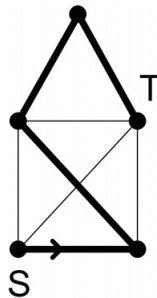
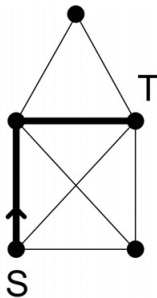
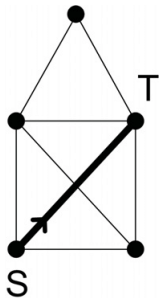
November 25, 2022

- Consists of  $(G, s, t)$  , where  $G = (V, E)$  is a simple graph with a path joining the vertices  $s, t$ .

- Consists of  $(G, s, t)$ , where  $G = (V, E)$  is a simple graph with a path joining the vertices  $s, t$ .



- Consists of  $(G, s, t)$ , where  $G = (V, E)$  is a simple graph with a path joining the vertices  $s, t$ .



- In class, we saw that *PATH* was in *NL*

## Some History

- ▶ UPATH can be decided in linear time, using basic search algorithms like BFS or DFS. However, these algorithms have  $O(n)$  space requirements.

## Some History

- ▶ UPATH can be decided in linear time, using basic search algorithms like BFS or DFS. However, these algorithms have  $O(n)$  space requirements.
- ▶ A more space efficient algorithm was given by Savitch [Sav70], which showed that *UPATH* can be decided using  $O(\log^2(n))$  space (and super polynomial time).

## Some History

- ▶ UPATH can be decided in linear time, using basic search algorithms like BFS or DFS. However, these algorithms have  $O(n)$  space requirements.
- ▶ A more space efficient algorithm was given by Savitch [Sav70], which showed that *UPATH* can be decided using  $O(\log^2(n))$  space (and super polynomial time).
- ▶ Aleliunas, Karp, Lipton, Lovasz, and Rackoff [AKLLR79] gave a *randomized* logspace algorithm for UPATH, showing  $UPATH \in RL$ .

## Some History

- ▶ UPATH can be decided in linear time, using basic search algorithms like BFS or DFS. However, these algorithms have  $O(n)$  space requirements.
- ▶ A more space efficient algorithm was given by Savitch [Sav70], which showed that *UPATH* can be decided using  $O(\log^2(n))$  space (and super polynomial time).
- ▶ Aleliunas, Karp, Lipton, Lovasz, and Rackoff [AKLLR79] gave a *randomized* logspace algorithm for UPATH, showing  $UPATH \in RL$ .
- ▶ Armoni, Ta-Shma, Wigderson, Zhou [ATSWZ00] later showed  $UPATH \in L^{4/3}$ , using the technique of derandomization.



## Some History

- ▶ UPATH can be decided in linear time, using basic search algorithms like BFS or DFS. However, these algorithms have  $O(n)$  space requirements.
- ▶ A more space efficient algorithm was given by Savitch [Sav70], which showed that *UPATH* can be decided using  $O(\log^2(n))$  space (and super polynomial time).
- ▶ Aleliunas, Karp, Lipton, Lovasz, and Rackoff [AKLLR79] gave a *randomized* logspace algorithm for UPATH, showing  $UPATH \in RL$ .
- ▶ Armoni, Ta-Shma, Wigderson, Zhou [ATSWZ00] later showed  $UPATH \in L^{4/3}$ , using the technique of derandomization.
- ▶ Trifonov [Tri05] proved that *UPATH* can be decided deterministically. using  $O(\log n \log \log n)$  space.

UPATH is in L  
└ UPATH is in RL

---

UPATH is in RL

UPATH  $\in$  **RL**

## Random Walks and Markov Chains

- Consider the following random walk on the (simple) graph  $G$ . For any vertex  $u \in V$ , we move to vertex  $v \in V$  with probability

$$P_{uv} = \begin{cases} \frac{1}{d(u)}, & \text{if } \{u, v\} \in E \\ 0 & \text{otherwise.} \end{cases}$$

## Random Walks and Markov Chains

- ▶ Consider the following random walk on the (simple) graph  $G$ . For any vertex  $u \in V$ , we move to vertex  $v \in V$  with probability

$$P_{uv} = \begin{cases} \frac{1}{d(u)}, & \text{if } \{u, v\} \in E \\ 0 & \text{otherwise.} \end{cases}$$

- ▶ In this random walk, the probability distribution of the next state ONLY depends on the previous state (i.e., it has short-term memory)

## Random Walks and Markov Chains

- ▶ Consider the following random walk on the (simple) graph  $G$ . For any vertex  $u \in V$ , we move to vertex  $v \in V$  with probability

$$P_{uv} = \begin{cases} \frac{1}{d(u)}, & \text{if } \{u, v\} \in E \\ 0 & \text{otherwise.} \end{cases}$$

- ▶ In this random walk, the probability distribution of the next state ONLY depends on the previous state (i.e., it has short-term memory)
- ▶ This corresponds to a Markov Chain on the graph  $G$ , with state space  $|V|$  and transition matrix  $P$  with transition probabilities  $P_{uv}$

# Random Walks and Markov Chains



$$P = \begin{pmatrix} P_{11} & P_{12} & \cdots & P_{1n} \\ P_{21} & P_{22} & \cdots & P_{2n} \\ \vdots & \vdots & \vdots & \vdots \\ P_{n1} & P_{n2} & \cdots & P_{nn} \end{pmatrix}$$

# Random Walks and Markov Chains



$$P = \begin{pmatrix} P_{11} & P_{12} & \cdots & P_{1n} \\ P_{21} & P_{22} & \cdots & P_{2n} \\ \vdots & \vdots & \vdots & \vdots \\ P_{n1} & P_{n2} & \cdots & P_{nn} \end{pmatrix}$$

- A finite markov chain is an infinite sequence  $X_0, X_1, \dots$  of random variables, on a statespace  $\Omega$  such that for all  $i, j, a_0, a_1 \dots, a_{k-2} \in \Omega$ , we have

$$\begin{aligned} P(X_k = j | X_0 = a_0, \dots, X_{k-2} = a_{k-2}, X_{k-1} = i) \\ = P(X_k = j | X_{k-1} = i) = P_{ij} \end{aligned}$$

## Random Walks and Markov Chains

- For a Markov Chain  $M$  on a finite statespace  $\Omega$ , ( $|\Omega| = n$ ) with transition matrix  $P$ , we say a  $1 \times n$  row vector  $\pi$  (transpose is a column vector in  $\mathbb{R}^n$ ) is a stationary distribution for  $M$  if  $\pi P = \pi$

$$\left( \pi(1) \cdots \pi(n) \right) \cdot \begin{pmatrix} P_{11} & P_{12} & \cdots & P_{1n} \\ P_{21} & P_{22} & \cdots & P_{2n} \\ \vdots & \vdots & \vdots & \vdots \\ P_{n1} & P_{n2} & \cdots & P_{nn} \end{pmatrix} = \left( \pi(1) \cdots \pi(n) \right)$$



## Random Walks and Markov Chains

- ▶  $\pi(i) = d(i)$  is a stationary distribution for any random walk on a graph  $G$

$$\left(d(1) \cdots d(n)\right) \cdot \begin{pmatrix} P_{11} & P_{12} & \cdots & P_{1n} \\ P_{21} & P_{22} & \cdots & P_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ P_{n1} & P_{n2} & \cdots & P_{nn} \end{pmatrix} = \left(d(1) \cdots d(n)\right)$$

## Random Walks and Markov Chains

- ▶  $\pi(i) = d(i)$  is a stationary distribution for any random walk on a graph  $G$

$$\left(d(1) \cdots d(n)\right) \cdot \begin{pmatrix} P_{11} & P_{12} & \cdots & P_{1n} \\ P_{21} & P_{22} & \cdots & P_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ P_{n1} & P_{n2} & \cdots & P_{nn} \end{pmatrix} = \left(d(1) \cdots d(n)\right)$$



$$\sum_{j \in V} d(j) P_{ji} = \sum_{j \in N(i)} d(j) \cdot \frac{1}{d(j)} = |N(i)| = d(i)$$

## Random Walks and Markov Chains

- ▶  $\pi(i) = d(i)$  is a stationary distribution for any random walk on a graph  $G$

$$\left(d(1) \cdots d(n)\right) \cdot \begin{pmatrix} P_{11} & P_{12} & \cdots & P_{1n} \\ P_{21} & P_{22} & \cdots & P_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ P_{n1} & P_{n2} & \cdots & P_{nn} \end{pmatrix} = \left(d(1) \cdots d(n)\right)$$



$$\sum_{j \in V} d(j) P_{ji} = \sum_{j \in N(i)} d(j) \cdot \frac{1}{d(j)} = |N(i)| = d(i)$$



$$Y^0 = Y, Y^1 = Y \cdot P = YP, Y^2 = Y^1 \cdot P = YP^2, \dots Y^k = YP^k$$

## Random Walks and Markov Chains

- ▶ A markov chain is said to be irreducible if and only for any  $i, j \in \Omega$ , we have that there exists  $t > 0$  (possibly depending on  $i, j$ ) such that

$$P(X_t = j | X_0 = i) > 0$$

## Random Walks and Markov Chains

- ▶ A markov chain is said to be irreducible if and only for any  $i, j \in \Omega$ , we have that there exists  $t > 0$  (possibly depending on  $i, j$ ) such that

$$P(X_t = j | X_0 = i) > 0$$

- ▶ So, if we start a random walk at some  $i \in \Omega$ , we will be at  $j$  after  $t$  steps with some *positive probability*, which means there is a path between  $i$  and  $j$ .

## Random Walks and Markov Chains

- ▶ A markov chain is said to be irreducible if and only for any  $i, j \in \Omega$ , we have that there exists  $t > 0$  (possibly depending on  $i, j$ ) such that

$$P(X_t = j | X_0 = i) > 0$$

- ▶ So, if we start a random walk at some  $i \in \Omega$ , we will be at  $j$  after  $t$  steps with some *positive probability*, which means there is a path between  $i$  and  $j$ .
- ▶ The markov chain corresponding to a random walk on a connected graph  $G$  is irreducible, as there is a path between any 2 vertices.

## Random Walks and Markov Chains

- ▶ A markov chain is said to be irreducible if and only for any  $i, j \in \Omega$ , we have that there exists  $t > 0$  (possibly depending on  $i, j$ ) such that

$$P(X_t = j | X_0 = i) > 0$$

- ▶ So, if we start a random walk at some  $i \in \Omega$ , we will be at  $j$  after  $t$  steps with some *positive probability*, which means there is a path between  $i$  and  $j$ .
- ▶ The markov chain corresponding to a random walk on a connected graph  $G$  is irreducible, as there is a path between any 2 vertices.
- ▶ For a finite irreducible markov chain  $M$ , there is a **unique** stationary distribution for  $M$ , up to a multiplicative factor.

## Random Walks and Markov Chains

- Suppose there are 2 stationary distributions,  $a$  and  $b$ . Note that  $a(i), b(i) \neq 0 \forall i \in \Omega$ , due to irreducibility and stationarity.



## Random Walks and Markov Chains

- ▶ Suppose there are 2 stationary distributions,  $a$  and  $b$ . Note that  $a(i), b(i) \neq 0 \forall i \in \Omega$ , due to irreducibility and stationarity.
- ▶ Let  $j \in \Omega$  be chosen to minimize  $\frac{a(i)}{b(i)}$ , i.e.,  $j = \operatorname{argmin}_{i \in \Omega} \frac{a(i)}{b(i)}$ , and define  $r = \frac{a(j)}{b(j)}$

## Random Walks and Markov Chains

- ▶ Suppose there are 2 stationary distributions,  $a$  and  $b$ . Note that  $a(i), b(i) \neq 0 \forall i \in \Omega$ , due to irreducibility and stationarity.
- ▶ Let  $j \in \Omega$  be chosen to minimize  $\frac{a(i)}{b(i)}$ , i.e.,  $j = \operatorname{argmin}_{i \in \Omega} \frac{a(i)}{b(i)}$ , and define  $r = \frac{a(j)}{b(j)}$

$$\begin{aligned} a(j) &= \sum_{i \in \Omega} a(i) P_{ij} = \sum_{i \in \Omega} \frac{a(i)}{b(i)} b(i) P_{ij} \\ &\geq \sum_{i \in \Omega} r \cdot b(i) P_{ij} \\ &= r \sum_{i \in \Omega} b(i) P_{ij} \\ &= r b(j) \\ &= a(j) \end{aligned}$$

## Random Walks and Markov Chains



$$\frac{a(i)}{b(i)} P_{ij} = r P_{ij} \implies a(i) = r b(i) \text{ IF } P_{ij} > 0$$

## Random Walks and Markov Chains



$$\frac{a(i)}{b(i)} P_{ij} = r P_{ij} \implies a(i) = r b(i) \text{ IF } P_{ij} > 0$$

- By irreducibility, for any  $i, j$  there exists  $t$  such that  $P_{ij}^t > 0$

$$\begin{aligned} a(j) &= \sum_{i \in \Omega} a(i) P_{ij}^t = \sum_{i \in \Omega} \frac{a(i)}{b(i)} b(i) P_{ij}^t \\ &\geq \sum_{i \in \Omega} r \cdot b(i) P_{ij}^t \\ &= r \sum_{i \in \Omega} b(i) P_{ij}^t \\ &= r b(j) \\ &= a(j) \end{aligned}$$

## Random Walks and Markov Chains

- It turns out that any finite irreducible markov chain actually has a stationary distribution, given by

$$\pi(i) = \frac{1}{T^+(i)}$$

where  $T^+(i)$  is the expected first return time of a random walk starting at  $i$ .

## Random Walks and Markov Chains

- It turns out that any finite irreducible markov chain actually has a stationary distribution, given by

$$\pi(i) = \frac{1}{T^+(i)}$$

where  $T^+(i)$  is the expected first return time of a random walk starting at  $i$ .

- A random commute from  $i$  to  $j$  is a random walk starting at  $i$  that ends the first time it visits  $i$ , **provided that it has travelled through  $j$** . Define  $T_{ij}$  to be the expected number of steps in such a random commute.

## Random Walks and Markov Chains

- It turns out that any finite irreducible markov chain actually has a stationary distribution, given by

$$\pi(i) = \frac{1}{T^+(i)}$$

where  $T^+(i)$  is the expected first return time of a random walk starting at  $i$ .

- A random commute from  $i$  to  $j$  is a random walk starting at  $i$  that ends the first time it visits  $i$ , **provided that it has travelled through  $j$** . Define  $T_{ij}$  to be the expected number of steps in such a random commute.
- For any  $i, j, u, v \in V$  with  $\{u, v\} \in E$ , define  $\theta_{ijuv}$  to be the expected number of times the edge  $\{u, v\}$  (in that order) is visited in a random commute from  $i$  to  $j$

## Random Walks and Markov Chains

- $\theta_{ijuv} = \theta_{ijuv'}, \quad \forall v' \in N(u)$ . This is because in any random commute from  $i$  to  $j$ , once  $u$  is visited, any of its neighbours are visited with equal probability. So we write  $\theta_{ijuv} = \theta_{iju}$



## Random Walks and Markov Chains

- ▶  $\theta_{ijuv} = \theta_{ijuv'}, \quad \forall v' \in N(u)$ . This is because in any random commute from  $i$  to  $j$ , once  $u$  is visited, any of its neighbours are visited with equal probability. So we write  $\theta_{ijuv} = \theta_{iju}$
- ▶  $\theta_{iju}$  is actually independent of  $u$ .

$$\begin{aligned} \sum_{v \in N(u)} \theta_{ijuv} &= d(u)\theta_{iju} = \sum_{v \in N(u)} \theta_{ijvu} \\ &= \sum_{v \in N(u)} d(v)\theta_{ijv} \frac{1}{d(v)} = \sum_{v \in V} d(v)\theta_{ijv} P_{vu} \end{aligned}$$

Think of the LHS as the number of times the random commute leaves  $u$ , which must be equal to the number of times it enters  $u$  in any random commute from  $i$  to  $j$ .

## Random Walks and Markov Chains

- ▶  $\theta_{ijuv} = \theta_{ijuv'}, \quad \forall v' \in N(u)$ . This is because in any random commute from  $i$  to  $j$ , once  $u$  is visited, any of its neighbours are visited with equal probability. So we write  $\theta_{ijuv} = \theta_{iju}$
- ▶  $\theta_{iju}$  is actually independent of  $u$ .

$$\begin{aligned} \sum_{v \in N(u)} \theta_{ijuv} &= d(u)\theta_{iju} = \sum_{v \in N(u)} \theta_{ijvu} \\ &= \sum_{v \in N(u)} d(v)\theta_{ijv} \frac{1}{d(v)} = \sum_{v \in V} d(v)\theta_{ijv} P_{vu} \end{aligned}$$

Think of the LHS as the number of times the random commute leaves  $u$ , which must be equal to the number of times it enters  $u$  in any random commute from  $i$  to  $j$ .

- ▶ Let  $\pi'(u) = d(u)\theta_{iju}$  Then  $\pi' = \pi'P$

UPATH is in L

└ UPATH is in RL

└ Random Walks and Markov Chains

---

## Random Walks and Markov Chains

►  $T_{ij} \leq 2|E|$ , for any edge  $\{i, j\} \in E$

## Random Walks and Markov Chains

- ▶  $T_{ij} \leq 2|E|$ , for any edge  $\{i, j\} \in E$
- ▶  $\theta_{ijij} \leq 1$  for any random commute from  $i$  to  $j$ .

$$T_{ij} = \sum_{\{u,v\} \in E} (\theta_{ijuv} + \theta_{ijvu}) = \sum_{\{u,v\} \in E} 2\theta_{ijij} = \theta_{ijij} \left( \sum_{\{u,v\} \in E} 2 \right) \leq 2|E|$$

# Random Walks and Markov Chains

- ▶ Random commute times satisfy the triangle inequality, i.e.,

$$T_{ij} \leq T_{ik} + T_{kj}$$

## Random Walks and Markov Chains

- ▶ Random commute times satisfy the triangle inequality, i.e.,

$$T_{ij} \leq T_{ik} + T_{kj}$$

- ▶ So for any  $s, t$  that are connected by a path of length  $\leq n$ , we have

$$T_{st} \leq n \cdot 2|E| \leq 2n^3$$

## Random Walks and Markov Chains

- ▶ Random commute times satisfy the triangle inequality, i.e.,

$$T_{ij} \leq T_{ik} + T_{kj}$$

- ▶ So for any  $s, t$  that are connected by a path of length  $\leq n$ , we have

$$T_{st} \leq n \cdot 2|E| \leq 2n^3$$

Note that even if the graph is not connected, the above bound holds as long as  $s, t$  are in the same component, as we can simply take our original graph to be the connected component containing  $s, t$  in the analysis.

## Algorithm for UPATH $\in$ RL

- Algorithm: Consider a random walk starting at  $s$  that runs for  $6n^3$  steps. Output YES if you hit  $t$ , no otherwise.



## Algorithm for UPATH $\in$ RL

- ▶ Algorithm: Consider a random walk starting at  $s$  that runs for  $6n^3$  steps. Output YES if you hit  $t$ , no otherwise.
- ▶ Let  $X_{st}$  be the time taken for a random walk starting at  $s$  to hit  $t$ . Note that  $E[X_{st}] \leq E[T_{st}] \leq 2n^3$ .

## Algorithm for UPATH $\in$ RL

- ▶ Algorithm: Consider a random walk starting at  $s$  that runs for  $6n^3$  steps. Output YES if you hit  $t$ , no otherwise.
- ▶ Let  $X_{st}$  be the time taken for a random walk starting at  $s$  to hit  $t$ . Note that  $E[X_{st}] \leq E[T_{st}] \leq 2n^3$ .
- ▶  $P(\text{Failure}) = P(X_{st} > 6n^3) \leq \frac{E[X_{st}]}{6n^3} \leq \frac{2n^3}{6n^3} \leq 1/3$

## Algorithm for UPATH $\in$ RL

- ▶ Algorithm: Consider a random walk starting at  $s$  that runs for  $6n^3$  steps. Output YES if you hit  $t$ , no otherwise.
- ▶ Let  $X_{st}$  be the time taken for a random walk starting at  $s$  to hit  $t$ . Note that  $E[X_{st}] \leq E[T_{st}] \leq 2n^3$ .
- ▶  $P(\text{Failure}) = P(X_{st} > 6n^3) \leq \frac{E[X_{st}]}{6n^3} \leq \frac{2n^3}{6n^3} \leq 1/3$
- ▶ This is in logspace as it takes  $O(\log n)$  bits to index any vertex, and we don't need to keep track of previously visited vertices.

## Random Walk Matrix (for $d$ -regular graphs)

- ▶ Let  $G$  be a  $d$ -regular  $n$ -vertex graph.
- ▶  $M$  is the adjacency matrix of  $G$ , where

$$M_{i,j} = \text{number of edges between } i \text{ and } j$$

## Random Walk Matrix (for $d$ -regular graphs)

- ▶ Let  $G$  be a  $d$ -regular  $n$ -vertex graph.
- ▶  $M$  is the adjacency matrix of  $G$ , where

$$M_{i,j} = \text{number of edges between } i \text{ and } j$$

- ▶ Define  $A = \frac{1}{d}M$ .  $A$  is the random walk matrix of  $G$ .

## Random Walk Matrix (for $d$ -regular graphs)

- ▶ Let  $G$  be a  $d$ -regular  $n$ -vertex graph.
- ▶  $M$  is the adjacency matrix of  $G$ , where

$$M_{i,j} = \text{number of edges between } i \text{ and } j$$

- ▶ Define  $A = \frac{1}{d}M$ .  $A$  is the random walk matrix of  $G$ .
- ▶ Observe that rows and columns of  $A$  sum to 1, and that  $A$  is symmetric.

## Parameter $\lambda(G)$

- Denote by  $\mathbf{1}$  the vector  $\left(\frac{1}{n}, \frac{1}{n}, \dots, \frac{1}{n}\right)$ . Denote by  $\mathbf{1}^\perp$  the set of vectors perpendicular to  $\mathbf{1}$ .

## Parameter $\lambda(G)$

- ▶ Denote by  $\mathbf{1}$  the vector  $\left(\frac{1}{n}, \frac{1}{n}, \dots, \frac{1}{n}\right)$ . Denote by  $\mathbf{1}^\perp$  the set of vectors perpendicular to  $\mathbf{1}$ .
- ▶ The parameter  $\lambda(A)$ , also denoted as  $\lambda(G)$ , is the maximum value of  $\|A\mathbf{v}\|_2$  over all vectors  $\mathbf{v} \in \mathbf{1}^\perp$  with  $\|\mathbf{v}\|_2 = 1$

$$\lambda(G) = \max_{\mathbf{v} \in \mathbf{1}^\perp: \|\mathbf{v}\|_2=1} \|A\mathbf{v}\|_2$$



# Claim

$\lambda(G)$  is the absolute value of the second largest eigenvalue of  $A$  (the random walk matrix of  $G$ ).

In particular, for connected graphs

$$1 = \lambda_1 > \lambda(G) \geq |\lambda_3| \cdots \geq |\lambda_n|$$

## Proof

- Since  $A$  is a symmetric matrix, we can find an orthogonal basis of eigenvectors  $v_1, \dots, v_n$  with corresponding eigenvalues  $\lambda_1, \dots, \lambda_n$  which we can sort to ensure  $|\lambda_1| \geq |\lambda_2| \geq \dots \geq |\lambda_n|$ .

## Proof

- ▶ Since  $A$  is a symmetric matrix, we can find an orthogonal basis of eigenvectors  $v_1, \dots, v_n$  with corresponding eigenvalues  $\lambda_1, \dots, \lambda_n$  which we can sort to ensure  $|\lambda_1| \geq |\lambda_2| \geq \dots \geq |\lambda_n|$ .
- ▶ Note that  $A\mathbf{1} = \mathbf{1}$ . This is because  $(A\mathbf{1})_i$  is the dot product  $i^{th}$  row of  $A$  and the vector  $\mathbf{1}$ . Because row sum for any row is equal to 1, we get  $(A\mathbf{1})_i = 1/n$

## Proof

- ▶ Since  $A$  is a symmetric matrix, we can find an orthogonal basis of eigenvectors  $v_1, \dots, v_n$  with corresponding eigenvalues  $\lambda_1, \dots, \lambda_n$  which we can sort to ensure  $|\lambda_1| \geq |\lambda_2| \geq \dots \geq |\lambda_n|$ .
- ▶ Note that  $A\mathbf{1} = \mathbf{1}$ . This is because  $(A\mathbf{1})_i$  is the dot product  $i^{th}$  row of  $A$  and the vector  $\mathbf{1}$ . Because row sum for any row is equal to 1, we get  $(A\mathbf{1})_i = 1/n$
- ▶ Therefore,  $\mathbf{1}$  is an *eigenvector* of  $A$  and the corresponding eigenvalue is equal to 1.

## Proof

- ▶ Since  $A$  is a symmetric matrix, we can find an orthogonal basis of eigenvectors  $v_1, \dots, v_n$  with corresponding eigenvalues  $\lambda_1, \dots, \lambda_n$  which we can sort to ensure  $|\lambda_1| \geq |\lambda_2| \geq \dots \geq |\lambda_n|$ .
- ▶ Note that  $A\mathbf{1} = \mathbf{1}$ . This is because  $(A\mathbf{1})_i$  is the dot product  $i^{th}$  row of  $A$  and the vector  $\mathbf{1}$ . Because row sum for any row is equal to 1, we get  $(A\mathbf{1})_i = 1/n$
- ▶ Therefore,  $\mathbf{1}$  is an *eigenvector* of  $A$  and the corresponding eigenvalue is equal to 1.
- ▶ Now suppose  $Ax = \lambda x$ . Then,  $A^n x = \lambda^n x$ . Since any row in  $A^n$  has sum 1, we are taking positive weight combinations of entries in  $x$ .

## Proof

- ▶ Since  $A$  is a symmetric matrix, we can find an orthogonal basis of eigenvectors  $v_1, \dots, v_n$  with corresponding eigenvalues  $\lambda_1, \dots, \lambda_n$  which we can sort to ensure  $|\lambda_1| \geq |\lambda_2| \geq \dots \geq |\lambda_n|$ .
- ▶ Note that  $A\mathbf{1} = \mathbf{1}$ . This is because  $(A\mathbf{1})_i$  is the dot product  $i^{th}$  row of  $A$  and the vector  $\mathbf{1}$ . Because row sum for any row is equal to 1, we get  $(A\mathbf{1})_i = 1/n$
- ▶ Therefore,  $\mathbf{1}$  is an *eigenvector* of  $A$  and the corresponding eigenvalue is equal to 1.
- ▶ Now suppose  $Ax = \lambda x$ . Then,  $A^n x = \lambda^n x$ . Since any row in  $A^n$  has sum 1, we are taking positive weight combinations of entries in  $x$ .
- ▶ So, the absolute value of any entry in  $A^n x$  is at most the maximum absolute value in the vector  $x$ . Thus,  $|\lambda_i| \leq 1 \forall i \in [n]$ , and  $\lambda_1 = 1$  and  $v_1 = \mathbf{1}$ .

UPATH is in L

└ Parameter  $\lambda(G)$

└  $\lambda(G) = |\lambda_2(A)|$

---

## Proof

- Since algebraic multiplicity = geometric multiplicity for symmetric matrices, the eigenvalue 1 has multiplicity 1.

## Proof

- ▶ Since algebraic multiplicity = geometric multiplicity for symmetric matrices, the eigenvalue 1 has multiplicity 1.
- ▶ Moreover, this inequality is strict if not all entries of  $x$  are equal. So, all the other eigenvalues have eigenvalue strictly less than 1.



# Proof

- ▶ Since algebraic multiplicity = geometric multiplicity for symmetric matrices, the eigenvalue 1 has multiplicity 1.
- ▶ Moreover, this inequality is strict if not all entries of  $x$  are equal. So, all the other eigenvalues have eigenvalue strictly less than 1.
- ▶ Also  $\mathbf{1}^\perp = \text{Span}\{v_2, \dots, v_n\}$  and the value of  $\|Av\|_2$  for  $v \in \mathbf{1}^\perp$  is maximized when  $v = v_2$ . So, if  $v = \sum_{i=2}^n c_i v_i$

$$\|Av\|_2 = \sqrt{\sum_{i=2}^n c_i^2 \lambda_i^2} \leq |\lambda_2|$$

Therefore,  $|\lambda_2| = G(\lambda)$

# Lemma 1

Let  $G$  be an  $n$ -vertex regular graph and  $\mathbf{p}$  a probability distribution over  $G$ 's vertices, then

$$\|A^t \mathbf{p} - \mathbf{1}\|_2 \leq \lambda^t$$

where  $\lambda = \lambda(G)$

## Proof

- We know,  $\|A\mathbf{v}\|_2 \leq \lambda \|\mathbf{v}\|_2$  for all  $\mathbf{v} \perp \mathbf{1}$ .

# Proof

- ▶ We know,  $\|A\mathbf{v}\|_2 \leq \lambda\|\mathbf{v}\|_2$  for all  $\mathbf{v} \perp \mathbf{1}$ .
- ▶ Observe that if  $\mathbf{v} \perp \mathbf{1}$ , then  $A\mathbf{v} \perp \mathbf{1}$  since  $\langle \mathbf{1}, A\mathbf{v} \rangle = \langle A^T \mathbf{1}, \mathbf{v} \rangle = \langle \mathbf{1}, \mathbf{v} \rangle = 0$ . Thus  $A$  maps the subspace  $\mathbf{1}^\perp$  to itself.

# Proof

- ▶ We know,  $\|A\mathbf{v}\|_2 \leq \lambda\|\mathbf{v}\|_2$  for all  $\mathbf{v} \perp \mathbf{1}$ .
- ▶ Observe that if  $\mathbf{v} \perp \mathbf{1}$ , then  $A\mathbf{v} \perp \mathbf{1}$  since  $\langle \mathbf{1}, A\mathbf{v} \rangle = \langle A^T \mathbf{1}, \mathbf{v} \rangle = \langle \mathbf{1}, \mathbf{v} \rangle = 0$ . Thus  $A$  maps the subspace  $\mathbf{1}^\perp$  to itself.
- ▶ Also the eigen vectors that are different from  $\mathbf{1}$  span this subspace, therefore  $A$  must shrink every vector in  $\mathbf{1}^\perp$  by atleast  $\lambda$ .

## Proof

- ▶ We know,  $\|A\mathbf{v}\|_2 \leq \lambda\|\mathbf{v}\|_2$  for all  $\mathbf{v} \perp \mathbf{1}$ .
- ▶ Observe that if  $\mathbf{v} \perp \mathbf{1}$ , then  $A\mathbf{v} \perp \mathbf{1}$  since  $\langle \mathbf{1}, A\mathbf{v} \rangle = \langle A^T \mathbf{1}, \mathbf{v} \rangle = \langle \mathbf{1}, \mathbf{v} \rangle = 0$ . Thus  $A$  maps the subspace  $\mathbf{1}^\perp$  to itself.
- ▶ Also the eigen vectors that are different from  $\mathbf{1}$  span this subspace, therefore  $A$  must shrink every vector in  $\mathbf{1}^\perp$  by atleast  $\lambda$ .
- ▶  $A^t$  shrinks every vector in  $\mathbf{1}^\perp$  by a factor of atleast  $\lambda^t$ . Therefore, we can say that  $\lambda(A^t) \leq \lambda(A)^t$ . (In fact, using diagonalization we can show  $\lambda(A^t) = \lambda(A)^t$ )

- Let  $\mathbf{p}$  be some vector. We can break  $\mathbf{p}$  into component parallel and orthogonal to  $\mathbf{1}$ , i.e,  $\mathbf{p} = \alpha \mathbf{1} + \mathbf{p}'$ . As  $\mathbf{p}$  is a probability distribution, we must have  $\alpha = 1$  since sum of coordinates in  $\mathbf{p}'$  is zero.

- ▶ Let  $\mathbf{p}$  be some vector. We can break  $\mathbf{p}$  into component parallel and orthogonal to  $\mathbf{1}$ , i.e,  $\mathbf{p} = \alpha \mathbf{1} + \mathbf{p}'$ . As  $\mathbf{p}$  is a probability distribution, we must have  $\alpha = 1$  since sum of coordinates in  $\mathbf{p}'$  is zero.
- ▶ Therefore,

$$A^t \mathbf{p} = A^t(\mathbf{1} + \mathbf{p}') = \mathbf{1} + A^t \mathbf{p}'$$

and we get

$$\|A^t \mathbf{p} - \mathbf{1}\|_2 = \|A^t \mathbf{p}'\|_2 \leq \lambda^t \|\mathbf{p}'\|_2 \leq \lambda^t$$

because  $\|\mathbf{p}'\|_2 \leq \|\mathbf{p}\|_2 \leq \|\mathbf{p}\|_1 = 1$



## Lemma 2

If  $G$  is a *regular connected graph* with self-loops at each vertex,  
then  $\lambda(G) \leq 1 - \frac{1}{4dn^2}$

# Proof

- Let  $\epsilon = \frac{1}{2dn^2}$ , let  $\mathbf{u} \perp \mathbf{1}$  be a unit vector and let  $\mathbf{v} = A\mathbf{u}$ . We need to prove that  $\|\mathbf{v}\|_2 \leq 1 - \epsilon/2$  and for this it suffices to prove that  $1 - \|\mathbf{v}\|_2^2 \geq \epsilon$ .

# Proof

- Let  $\epsilon = \frac{1}{2dn^2}$ , let  $\mathbf{u} \perp \mathbf{1}$  be a unit vector and let  $\mathbf{v} = A\mathbf{u}$ . We need to prove that  $\|\mathbf{v}\|_2 \leq 1 - \epsilon/2$  and for this it suffices to prove that  $1 - \|\mathbf{v}\|_2^2 \geq \epsilon$ .

This is because if  $\|\mathbf{v}\|_2 > 1 - \epsilon/2$ , then

$$\|\mathbf{v}\|_2^2 > 1 - \epsilon \implies 1 - \|\mathbf{v}\|_2^2 < \epsilon$$

# Proof

- ▶ Let  $\epsilon = \frac{1}{2dn^2}$ , let  $\mathbf{u} \perp \mathbf{1}$  be a unit vector and let  $\mathbf{v} = A\mathbf{u}$ . We need to prove that  $\|\mathbf{v}\|_2 \leq 1 - \epsilon/2$  and for this it suffices to prove that  $1 - \|\mathbf{v}\|_2^2 \geq \epsilon$ .  
This is because if  $\|\mathbf{v}\|_2 > 1 - \epsilon/2$ , then  
$$\|\mathbf{v}\|_2^2 > 1 - \epsilon \implies 1 - \|\mathbf{v}\|_2^2 < \epsilon$$
- ▶ Since  $\mathbf{u}$  is a unit vector, we get  $1 - \|\mathbf{v}\|_2^2 = \|\mathbf{u}\|_2^2 - \|\mathbf{v}\|_2^2$ . We claim that this is equal to  $\sum_{i,j} A_{i,j}(\mathbf{u}_i - \mathbf{v}_j)^2$  where  $i, j$  ranges from 1 to  $n$ .

► This is because

$$\begin{aligned}\sum_{i,j} A_{i,j}(\mathbf{u}_i - \mathbf{v}_j)^2 &= \sum_{i,j} A_{i,j} \mathbf{u}_i^2 - 2 \sum_{i,j} A_{i,j} \mathbf{u}_i \mathbf{v}_j + \sum_{i,j} A_{i,j} \mathbf{v}_j^2 \\ &= \|\mathbf{u}\|_2^2 - 2 \langle A\mathbf{u}, \mathbf{v} \rangle + \|\mathbf{v}\|_2^2 \\ &= \|\mathbf{u}\|_2^2 - 2\|\mathbf{v}\|_2^2 + \|\mathbf{v}\|_2^2 \\ &= \|\mathbf{u}\|_2^2 - \|\mathbf{v}\|_2^2\end{aligned}$$

$$\|\mathbf{v}\|_2^2 = \langle \mathbf{v}, \mathbf{v} \rangle = \langle A\mathbf{u}, \mathbf{v} \rangle = \sum_{i,j} A_{i,j} \mathbf{u}_i \mathbf{v}_j$$

- Therefore, now we want to show that  $\sum_{i,j} A_{i,j}(\mathbf{u}_i - \mathbf{v}_j)^2 \geq \epsilon$ . Since  $\mathbf{u}$  is a unit vector with coordinates summing to zero, there must exist vertices  $i, j$  such that  $\mathbf{u}_i > 0$  and  $\mathbf{u}_j < 0$  and at least one of these coordinates has absolute value  $\geq \frac{1}{\sqrt{n}}$ , which implies that  $\mathbf{u}_i - \mathbf{u}_j \geq \frac{1}{\sqrt{n}}$ .

- Therefore, now we want to show that  $\sum_{i,j} A_{i,j}(\mathbf{u}_i - \mathbf{v}_j)^2 \geq \epsilon$ . Since  $\mathbf{u}$  is a unit vector with coordinates summing to zero, there must exist vertices  $i, j$  such that  $\mathbf{u}_i > 0$  and  $\mathbf{u}_j < 0$  and atleast one of these coordinates has absolute value  $\geq \frac{1}{\sqrt{n}}$ , which implies that  $\mathbf{u}_i - \mathbf{u}_j \geq \frac{1}{\sqrt{n}}$ .
- Also because  $G$  is connected, there is a path between  $i$  and  $j$  containing atmost  $D + 1$  vertices ( $D$  is the diameter of the graph  $G$ ). Let us rename the vertices, and assume that  $i = 1$  and  $j = D + 1$ , and the coordinates  $2, 3, \dots, D$  correspond to the vertices on this path in order.

Then, we have

$$\begin{aligned}\frac{1}{\sqrt{n}} &\leq \mathbf{u}_1 - \mathbf{u}_{D+1} \\ &= (\mathbf{u}_1 - \mathbf{v}_1) + (\mathbf{v}_1 - \mathbf{u}_2) + \dots + (\mathbf{v}_D - \mathbf{u}_{D+1}) \\ &\leq |\mathbf{u}_1 - \mathbf{v}_1| + |\mathbf{v}_1 - \mathbf{u}_2| + \dots + |\mathbf{v}_D - \mathbf{u}_{D+1}| \\ &\leq \sqrt{(\mathbf{u}_1 - \mathbf{v}_1)^2 + (\mathbf{v}_1 - \mathbf{u}_2)^2 + \dots + (\mathbf{v}_D - \mathbf{u}_{D+1})^2} \sqrt{2D+1}\end{aligned}$$



Then, we have

$$\begin{aligned}\frac{1}{\sqrt{n}} &\leq \mathbf{u}_1 - \mathbf{u}_{D+1} \\ &= (\mathbf{u}_1 - \mathbf{v}_1) + (\mathbf{v}_1 - \mathbf{u}_2) + \dots + (\mathbf{v}_D - \mathbf{u}_{D+1}) \\ &\leq |\mathbf{u}_1 - \mathbf{v}_1| + |\mathbf{v}_1 - \mathbf{u}_2| + \dots + |\mathbf{v}_D - \mathbf{u}_{D+1}| \\ &\leq \sqrt{(\mathbf{u}_1 - \mathbf{v}_1)^2 + (\mathbf{v}_1 - \mathbf{u}_2)^2 + \dots + (\mathbf{v}_D - \mathbf{u}_{D+1})^2} \sqrt{2D+1}\end{aligned}$$

Therefore, we get

$$(\mathbf{u}_1 - \mathbf{v}_1)^2 + (\mathbf{v}_1 - \mathbf{u}_2)^2 + \dots + (\mathbf{v}_D - \mathbf{u}_{D+1})^2 \geq \frac{1}{n(2D+1)}$$

► Observe that

$$\begin{aligned}\sum_{i,j} A_{i,j}(\mathbf{u}_i - \mathbf{v}_j)^2 &\geq \sum_i A_{i,i}(\mathbf{u}_i - \mathbf{v}_i)^2 + A_{i,i+1}(\mathbf{v}_i - \mathbf{u}_{i+1})^2 \\ &\geq \frac{1}{d}(\mathbf{u}_1 - \mathbf{v}_1)^2 + (\mathbf{v}_1 - \mathbf{u}_2)^2 + \dots + (\mathbf{v}_D - \mathbf{u}_{D+1})^2 \\ &\geq \frac{1}{nd(2D+1)}\end{aligned}$$

- Observe that

$$\begin{aligned}\sum_{i,j} A_{i,j}(\mathbf{u}_i - \mathbf{v}_j)^2 &\geq \sum_i A_{i,i}(\mathbf{u}_i - \mathbf{v}_i)^2 + A_{i,i+1}(\mathbf{v}_i - \mathbf{u}_{i+1})^2 \\ &\geq \frac{1}{d}(\mathbf{u}_1 - \mathbf{v}_1)^2 + (\mathbf{v}_1 - \mathbf{u}_2)^2 + \dots + (\mathbf{v}_D - \mathbf{u}_{D+1})^2 \\ &\geq \frac{1}{nd(2D+1)}\end{aligned}$$

- Using this bound and substituting  $D \leq n - 1$  we get

$$1 - \|\mathbf{v}\|_2^2 \geq \frac{1}{2dn^2}$$

which implies that  $\lambda(G) \leq 1 - \frac{1}{4dn^2}$

- Observe that

$$\begin{aligned}\sum_{i,j} A_{i,j}(\mathbf{u}_i - \mathbf{v}_j)^2 &\geq \sum_i A_{i,i}(\mathbf{u}_i - \mathbf{v}_i)^2 + A_{i,i+1}(\mathbf{v}_i - \mathbf{u}_{i+1})^2 \\ &\geq \frac{1}{d}(\mathbf{u}_1 - \mathbf{v}_1)^2 + (\mathbf{v}_1 - \mathbf{u}_2)^2 + \dots + (\mathbf{v}_D - \mathbf{u}_{D+1})^2 \\ &\geq \frac{1}{nd(2D+1)}\end{aligned}$$

- Using this bound and substituting  $D \leq n - 1$  we get

$$1 - \|\mathbf{v}\|_2^2 \geq \frac{1}{2dn^2}$$

which implies that  $\lambda(G) \leq 1 - \frac{1}{4dn^2}$

- In fact, we can show  $D \leq 3n/(d+1)$

# Expander Graphs

There are two ways to define Expander graphs

- ▶ Algebraic definition
- ▶ Combinatorial definition

## Algebraic Definition

- **$(n, d, \lambda)$ -expander graphs:** If  $G$  is an  $n$ -vertex  $d$ -regular graph with  $\lambda(G) \leq \lambda$  for some number  $\lambda < 1$ , then we say that  $G$  is an  $(n, d, \lambda)$ -graph.

## Algebraic Definition

- ▶  **$(n, d, \lambda)$ -expander graphs:** If  $G$  is an  $n$ -vertex  $d$ -regular graph with  $\lambda(G) \leq \lambda$  for some number  $\lambda < 1$ , then we say that  $G$  is an  $(n, d, \lambda)$ -graph.
- ▶ A family of graphs  $\{G_n\}_{n \in \mathbb{N}}$  is an *expander graph family* if there are some constants  $d \in \mathbb{N}$  and  $\lambda < 1$  such that for every  $n$ ,  $G_n$  is an  $(n, d, \lambda)$ -graph.

## Combinatorial (edge) Definition

- An  $n$ -vertex  $d$ -regular graph  $G = (V, E)$  is called an  $(n, d, \rho)$ -combinatorial edge expander if for every subset  $S$  of vertices satisfying  $|S| \leq n/2$ ,

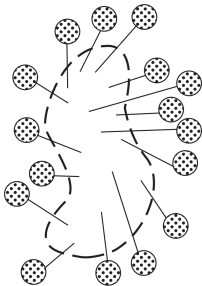
$$|E(S, \bar{S})| \geq \rho d |S|$$

where  $\bar{S}$  denotes the complement of  $S$  and for any 2 subsets  $S, T$  of vertices,  $E(S, T)$  denotes the set of edges between  $S$  and  $T$



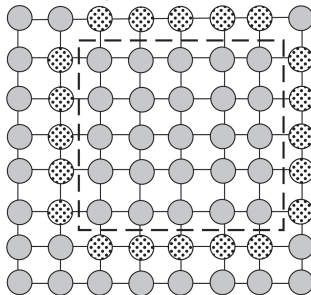
# Edge expander graphs

Expander: no. of  $S$ 's neighbors =  $\Omega(|S|)$



Grid is not an expander:

no. of  $S$ 's neighbors =  $O(|S|^{1/2})$



## Relation between the two definitions

- If  $G$  is an  $(n, d, \lambda)$ -expander graph, then it is an  $(n, d, (1 - \lambda)/2)$  edge expander.

## Relation between the two definitions

- ▶ If  $G$  is an  $(n, d, \lambda)$ -expander graph, then it is an  $(n, d, (1 - \lambda)/2)$  edge expander.
- ▶ If  $G$  is an  $(n, d, \rho)$  edge expander, then its second largest eigenvalue (without taking absolute values) is at most  $1 - \frac{\rho^2}{2}$ .  
If furthermore  $G$  has all self loops, then it is an  $(n, d, 1 - \epsilon)$ -expander where  $\epsilon = \min \left\{ \frac{2}{d}, \frac{\rho^2}{2} \right\}$

## Rotation Maps

- For a  $d$ -regular graph, we can assign a permutation of  $[d]$  to label the outgoing edges of a vertex.

## Rotation Maps

- ▶ For a  $d$ -regular graph, we can assign a permutation of  $[d]$  to label the outgoing edges of a vertex.
- ▶ Let  $G$  be a  $d$ -regular graph on  $n$  vertices. Go to each vertex, and label its outgoing edges with a permutation of  $[d]$ . Capture this by the function  $\hat{G} : [n] \times [d] \mapsto [n] \times [d]$  which maps  $\langle v, i \rangle$  to  $\langle u, j \rangle$  where  $u$  is the  $i^{th}$  neighbour of  $v$  and  $v$  is the  $j^{th}$  neighbour of  $u$ .

## Rotation Maps

- ▶ For a  $d$ -regular graph, we can assign a permutation of  $[d]$  to label the outgoing edges of a vertex.
- ▶ Let  $G$  be a  $d$ -regular graph on  $n$  vertices. Go to each vertex, and label its outgoing edges with a permutation of  $[d]$ .  
Capture this by the function  $\hat{G} : [n] \times [d] \mapsto [n] \times [d]$  which maps  $\langle v, i \rangle$  to  $\langle u, j \rangle$  where  $u$  is the  $i^{th}$  neighbour of  $v$  and  $v$  is the  $j^{th}$  neighbour of  $u$ .
- ▶ Observe that  $\hat{G}$  is a permutation (in fact, it is an involution).

## Path Product

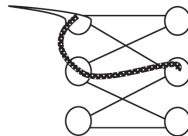
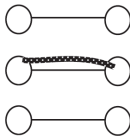
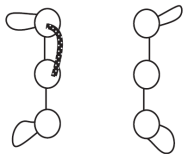
- ▶ Let  $G$  and  $G'$  be two  $n$ -vertex graphs with degrees  $d, d'$  and random-walk matrices  $A, A'$  respectively. Then we describe the graph  $G'G$  as the graph described by the random-walk matrix  $A'A$ .

## Path Product

- ▶ Let  $G$  and  $G'$  be two  $n$ -vertex graphs with degrees  $d, d'$  and random-walk matrices  $A, A'$  respectively. Then we describe the graph  $G'G$  as the graph described by the random-walk matrix  $A'A$ .
- ▶ That is,  $G'G$  has an edge  $(u, v)$  for every length two-path from  $u$  to  $v$  where the first step in the path is taken on an edge of  $G$  and the second is on an edge of  $G'$ .



# Path Product



## Path Product

- Consider a  $n$  vertex graph  $G$  with degree  $d$ . Let  $A$  be it's random walk matrix. Then  $G^k$  is a  $d^k$  regular graph with random walk matrix  $A^k$ .

## Path product makes expansion better

- As we have seen earlier (Lemma 1) ,

$$\lambda(G^k) \leq (\lambda(G))^k$$

## Computing rotation map of $G^k$

- Relabel the outgoing edges by a  $k$  tuple where the tuple represents the walk due to which this edge is present.

## Computing rotation map of $G^k$

- ▶ Relabel the outgoing edges by a  $k$  tuple where the tuple represents the walk due to which this edge is present.
- ▶ That is, the rotation map of  $G^k$  is a permutation on  $[n] \times [d^k]$ .

## Replacement Product

Let  $G$  and  $G'$  be two graphs where  $G$  is  $(n, D)$ -graph,  $G'$  is  $(D, d)$ -graph. The replacement product of  $G$  and  $G'$  is defined as  $G \circledast G'$  is  $(nD, 2d)$ -graph is defined as:

## Replacement Product

Let  $G$  and  $G'$  be two graphs where  $G$  is  $(n, D)$ -graph,  $G'$  is  $(D, d)$ -graph. The replacement product of  $G$  and  $G'$  is defined as  $G \circledast G'$  is  $(nD, 2d)$ -graph is defined as:

- For each vertex  $u$  of  $G$ , the graph  $G \circledast G'$  has a copy of  $G'$  (including edges and vertices).

## Replacement Product

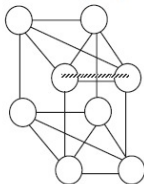
Let  $G$  and  $G'$  be two graphs where  $G$  is  $(n, D)$ -graph,  $G'$  is  $(D, d)$ -graph. The replacement product of  $G$  and  $G'$  is defined as  $G \circledast G'$  is  $(nD, 2d)$ -graph is defined as:

- ▶ For each vertex  $u$  of  $G$ , the graph  $G \circledast G'$  has a copy of  $G'$  (including edges and vertices).
- ▶ If  $u, v$  are two neighbouring vertices in  $G$  where  $\langle u, i \rangle$  is mapped to  $\langle v, j \rangle$ , then we place  $d$  parallel edges between the  $i^{th}$  vertex in the copy of  $G'$  corresponding to  $u$  and the  $j^{th}$  vertex in the copy of  $G'$  corresponding to  $v$ .

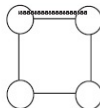


# Replacement Product

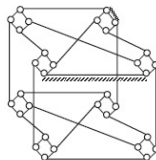
$G: (n, D, 1-\epsilon)$ -graph



$G': (D, d, 1-\epsilon')$ -graph



$G \otimes G': (nD, 2d, 1-\epsilon\epsilon'/24)$ -graph



## Replacement Product

- Observe that replacement product 'preserves' the connected components in  $G$ . (assuming  $G'$  is connected)

## Replacement Product

- ▶ Observe that replacement product 'preserves' the connected components in  $G$ . (assuming  $G'$  is connected)
- ▶ Two copies of  $G'$  are connected if and only if they are connected in  $G$ .

## Rotation map of replacement product

- First, observe that the rotation map will be a permutation over  $([n] \times [D]) \times ([d] \times \{0, 1\})$  as  $G \circledast G'$ . For some input  $((u, v), (i, b))$ , the rotation map function first checks if  $b = 0$  or  $b = 1$ .

## Rotation map of replacement product

- First, observe that the rotation map will be a permutation over  $([n] \times [D]) \times ([d] \times \{0, 1\})$  as  $G \mathbin{\textcircled{R}} G'$ . For some input  $((u, v), (i, b))$ , the rotation map function first checks if  $b = 0$  or  $b = 1$ .
- If  $b = 0$ , then it treats  $v$  as a vertex of  $G'$ . Thus, it outputs  $(u, \hat{G}'(v, i), b)$ .

## Rotation map of replacement product

- ▶ First, observe that the rotation map will be a permutation over  $([n] \times [D]) \times ([d] \times \{0, 1\})$  as  $G \mathbb{R} G'$ . For some input  $((u, v), (i, b))$ , the rotation map function first checks if  $b = 0$  or  $b = 1$ .
- ▶ If  $b = 0$ , then it treats  $v$  as a vertex of  $G'$ . Thus, it outputs  $(u, \hat{G}'(v, i), b)$ .
- ▶ If  $b = 1$ , then it treats  $v$  as an edge label of  $G$ . Thus, it outputs  $(\hat{G}(u, v), i, b)$ .

## Rotation Map of replacement product

- In other words,  $b = 0$  indicates an edge inside a cluster of  $G'$ , while  $b = 1$  indicates a cross edge between clusters.

## Expansion of a replacement product

- ▶ Claim: If  $\lambda(G) \leq 1 - \epsilon$  and  $\lambda(H) \leq 1 - \delta$  then
$$\lambda(G \circledast H) \leq 1 - \frac{\epsilon\delta^2}{24}$$
- ▶ This shows that replacement product does not worsen the expansion by too much.



## Recap

- ▶ Path Product - Improves expansion but increases degree

## Recap

- ▶ Path Product - Improves expansion but increases degree
- ▶ Replacement Product - Decreases degree and does not worsen expansion by too much

UPATH is in L

└  $UPATH \in L$  when  $G$  is an expander

---

$UPATH \in L$  when  $G$  is an expander

- Let  $G$  be a  $d$ -regular graph, whose every connected component is an expander.

## $UPATH \in L$ when $G$ is an expander

- ▶ Let  $G$  be a  $d$ -regular graph, whose every connected component is an expander.
- ▶ Observe that for such graphs, there is a number  $l = O(\log n)$  such that if  $s$  and  $t$  are connected, they are connected with a path of length at most  $l$ . (due to Lemma 1)

## $\text{UPATH} \in L$ when $G$ is an expander

- ▶ Let  $G$  be a  $d$ -regular graph, whose every connected component is an expander.
- ▶ Observe that for such graphs, there is a number  $l = O(\log n)$  such that if  $s$  and  $t$  are connected, they are connected with a path of length at most  $l$ . (due to Lemma 1)
- ▶ Put  $t = \frac{\log \frac{1}{n^2}}{\log \lambda}$  in Lemma 1 to get  $\|A^t p - 1\| \leq \lambda^t \leq \frac{1}{n^2}$ . Put  $p = \hat{e}_u$  (vector with all zeros except 1 at starting vertex  $u$ ). After  $t$  steps, the entry corresponding to final vertex  $v$  in  $A^t p$  must be non zero (otherwise  $\|A^t p - 1\|_2 \geq \frac{1}{n}$ ). Thus, there must be some path of length  $t$  between  $u$  and  $v$ .

## $UPATH \in L$ when $G$ is an expander

- ▶ Let  $G$  be a  $d$ -regular graph, whose every connected component is an expander.
- ▶ Observe that for such graphs, there is a number  $l = O(\log n)$  such that if  $s$  and  $t$  are connected, they are connected with a path of length at most  $l$ . (due to Lemma 1)
- ▶ Put  $t = \frac{\log \frac{1}{n^2}}{\log \lambda}$  in Lemma 1 to get  $\|A^t p - 1\| \leq \lambda^t \leq \frac{1}{n^2}$ . Put  $p = \hat{e}_u$  (vector with all zeros except 1 at starting vertex  $u$ ). After  $t$  steps, the entry corresponding to final vertex  $v$  in  $A^t p$  must be non zero (otherwise  $\|A^t p - 1\|_2 \geq \frac{1}{n}$ ). Thus, there must be some path of length  $t$  between  $u$  and  $v$ .
- ▶ We can now enumerate over all paths of length  $O(\log n)$  (instead of  $O(n)$ ). This can be done in logspace now as the number of walks are  $O(d^{O(\log n)}) = \text{poly}(n)$ , which can be enumerated in logspace.

## Reingold's Theorem [2005]

$$\text{UPATH} \in \mathbf{L}$$

## Motivation

- It is easy to check whether  $s$  and  $t$  are connected in an expander graph. This is because if  $s$  and  $t$  are connected, then there is a  $O(\log n)$  length path between  $s$  and  $t$ .



## Motivation

- ▶ It is easy to check whether  $s$  and  $t$  are connected in an expander graph. This is because if  $s$  and  $t$  are connected, then there is a  $O(\log n)$  length path between  $s$  and  $t$ .
- ▶ Then we can check all walks of length  $O(\log n)$  from  $s$  to see whether it hits  $t$ . Assuming that the degree of each vertex is at most some constant  $d$ , there will be  $d^{O(\log n)}$  walks (as there are  $d$  choices at every vertex), which is  $\text{poly}(n)$  and takes logspace to enumerate.

## Motivation

- ▶ It is easy to check whether  $s$  and  $t$  are connected in an expander graph. This is because if  $s$  and  $t$  are connected, then there is a  $O(\log n)$  length path between  $s$  and  $t$ .
- ▶ Then we can check all walks of length  $O(\log n)$  from  $s$  to see whether it hits  $t$ . Assuming that the degree of each vertex is at most some constant  $d$ , there will be  $d^{O(\log n)}$  walks (as there are  $d$  choices at every vertex), which is  $\text{poly}(n)$  and takes logspace to enumerate.
- ▶ So, the main idea is to convert the given graph into an expander graph while maintaining connectivity properties.

## Converting into 4-regular graph

- We can convert any graph into a 4-regular graph, preserving the connectivity properties.

## Converting into 4-regular graph

- ▶ We can convert any graph into a 4-regular graph, preserving the connectivity properties.
- ▶ If a vertex has degree  $d'' < 3$ , we can add self loops to increase multiplicity.

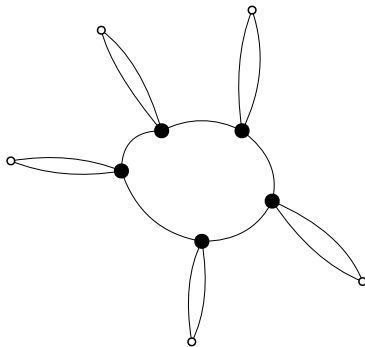
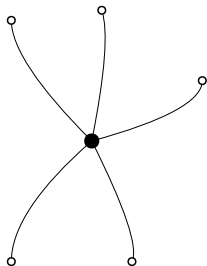
## Converting into 4-regular graph

- ▶ We can convert any graph into a 4-regular graph, preserving the connectivity properties.
- ▶ If a vertex has degree  $d' < 3$ , we can add self loops to increase multiplicity.
- ▶ If vertex has degree  $d' > 3$ , then we can replace the cycle by a cycle containing  $d'$  vertices, each of the  $d'$  vertices that were incident to the old vertices attach to one of the cycle nodes.

## Converting into 4-regular graph

- ▶ We can convert any graph into a 4-regular graph, preserving the connectivity properties.
- ▶ If a vertex has degree  $d'' < 3$ , we can add self loops to increase multiplicity.
- ▶ If vertex has degree  $d' > 3$ , then we can replace the cycle by a cycle containing  $d'$  vertices, each of the  $d'$  vertices that were incident to the old vertices attach to one of the cycle nodes.
- ▶ This transformation does not change connectivity properties.

## Converting into 4-regular graph



## Recursive Algorithm

- We can convert the 4-regular graph into a  $d^{50}$ -regular graph by adding self loops (assuming  $d$  is even).



## Recursive Algorithm

- ▶ We can convert the 4-regular graph into a  $d^{50}$ -regular graph by adding self loops (assuming  $d$  is even).
- ▶ Let  $H$  be a  $(d^{50}, d/2, 0.01)$ -expander graph. Note that  $H$  is the same for all problems.

## Recursive Algorithm

- ▶ We can convert the 4-regular graph into a  $d^{50}$ -regular graph by adding self loops (assuming  $d$  is even).
- ▶ Let  $H$  be a  $(d^{50}, d/2, 0.01)$ -expander graph. Note that  $H$  is the same for all problems.
- ▶ Let  $G_0$  be our  $d^{50}$ -regular graph. And let us define

$$G_k = (G_{k-1} \mathbin{\textcircled{R}} H)^{50}$$

.

## Number of Vertices

- If  $G_0$  has  $n$  vertices and is  $d^{50}$ -regular, then  $G_0 \circledast H$  has  $d^{50}n$  vertices and is  $d$ -regular. Therefore,  $G_1 = ((G_0 \circledast H)^{50})$  has  $d^{50}n$  vertices and is  $d^{50}$ -regular.

## Number of Vertices

- ▶ If  $G_0$  has  $n$  vertices and is  $d^{50}$ -regular, then  $G_0 \circledast H$  has  $d^{50}n$  vertices and is  $d$ -regular. Therefore,  $G_1 = ((G_0 \circledast H)^{50})$  has  $d^{50}n$  vertices and is  $d^{50}$ -regular.
- ▶ Therefore in general,  $G_k$  has  $d^{50k}n$  vertices, and is  $d^{50}$ -regular

## Claim

For all  $\epsilon < 1/20$ , if  $\lambda(F) \leq 1 - \epsilon$ , then

$$\lambda \left( (F \circ H)^{50} \right) \leq 1 - 2\epsilon$$

.

## Claim

For all  $\epsilon < 1/20$ , if  $\lambda(F) \leq 1 - \epsilon$ , then

$$\lambda\left((F \circ H)^{50}\right) \leq 1 - 2\epsilon$$

. **Proof:**

► This is because if  $\lambda(F) \leq 1 - \epsilon$ , then

$$\lambda(F \circ H) \leq 1 - \frac{\epsilon(1 - 0.01)^2}{24} \leq 1 - \frac{\epsilon}{25}$$

.  
► Then  $\lambda\left((F \circ H)^{50}\right) \leq (1 - \epsilon/25)^{50} \leq 1 - 2\epsilon$ .

$G_k$  is an expander graph

- Recall that for a  $D$ -regular graph containing self loops, every connected component of  $G_0$  has expansion parameter of at most  $1 - \frac{1}{4Dn^2}$ . Here  $D = d^{50}$ .

## $G_k$ is an expander graph

- ▶ Recall that for a  $D$ -regular graph containing self loops, every connected component of  $G_0$  has expansion parameter of atmost  $1 - \frac{1}{4Dn^2}$ . Here  $D = d^{50}$ .
- ▶ By previous claim, we get that expansion parameter of  $G_1$  is atmost  $\max\left(1 - \frac{1}{20}, 1 - \frac{2}{4Dn^2}\right)$ . Similarly, we get expansion parameter of  $G_k$  is atmost  $\max\left(1 - \frac{1}{20}, 1 - \frac{2^k}{4Dn^2}\right)$ .



## $G_k$ is an expander graph

- ▶ Recall that for a  $D$ -regular graph containing self loops, every connected component of  $G_0$  has expansion parameter of atmost  $1 - \frac{1}{4Dn^2}$ . Here  $D = d^{50}$ .
- ▶ By previous claim, we get that expansion parameter of  $G_1$  is atmost  $\max\left(1 - \frac{1}{20}, 1 - \frac{2}{4Dn^2}\right)$ . Similarly, we get expansion parameter of  $G_k$  is atmost  $\max\left(1 - \frac{1}{20}, 1 - \frac{2^k}{4Dn^2}\right)$ .
- ▶ Therefore, for  $k = O(\log n)$ , we get  $\lambda(G_k)$  is atmost  $1 - \frac{1}{20}$ .

## Analysis

- ▶ Here  $N = d^{50c \log n} n$ . Therefore, we get that there is a  $O(\log n)$  length path between  $s$  and  $t$ .

## Analysis

- ▶ Here  $N = d^{50c \log n} n$ . Therefore, we get that there is a  $O(\log n)$  length path between  $s$  and  $t$ .
- ▶ Now we explore each path starting from  $s$  of length at most  $O(\log n)$  to check whether it hits  $t$ . As the degree of each vertex is  $d^{50}$ , we get that there are at most  $d^{O(\log n)}$  (polynomially many) paths to explore.

## Analysis

- ▶ Here  $N = d^{50c \log n} n$ . Therefore, we get that there is a  $O(\log n)$  length path between  $s$  and  $t$ .
- ▶ Now we explore each path starting from  $s$  of length atmost  $O(\log n)$  to check whether it hits  $t$ . As the degree of each vertex is  $d^{50}$ , we get that there are atmost  $d^{O(\log n)}$  (polynomially many) paths to explore.
- ▶ Assuming we know  $G_k$ , we can do this in log space as we only have to maintain the current vertex, number of edges traversed in current path and number of paths traversed.

## Analysis

- ▶ We don't know  $G_k$  explicitly. So finding the neighbours of a vertex in  $G_k$  is not trivial.

## Analysis

- ▶ We don't know  $G_k$  explicitly. So finding the neighbours of a vertex in  $G_k$  is not trivial.
- ▶ Observe that we only need to be able to find the  $i^{th}$  neighbour of  $v$  in log space.

## Analysis

- ▶ We don't know  $G_k$  explicitly. So finding the neighbours of a vertex in  $G_k$  is not trivial.
- ▶ Observe that we only need to be able to find the  $i^{th}$  neighbour of  $v$  in log space.
- ▶ If we can take a single step in log space, then we can take  $l$  steps in log space by reusing the space.

## Analysis

- Recall that  $G_k = (G_{k-1} \circledast H)^{50}$ , thus it suffices to show that we can take a single step in the graph  $G_{k-1} \circledast H$  in logspace.



## Analysis

- ▶ Recall that  $G_k = (G_{k-1} \circ H)^{50}$ , thus it suffices to show that we can take a single step in the graph  $G_{k-1} \circ H$  in logspace.
- ▶ Suppose we are at some vertex  $\langle u, v \rangle$  (where  $u$  is a vertex of  $G_{k-1}$  and  $v$  is a vertex in  $H$ ). We want to take a step from this vertex.

## Analysis

- Suppose we want to take a step on the edge labelled  $\langle b, i \rangle$ .

## Analysis

- ▶ Suppose we want to take a step on the edge labelled  $\langle b, i \rangle$ .
- ▶ If  $b = 0$ , then the edge is inside a copy of  $H$ , so this requires us to access the rotation map of  $H$ , which takes  $O(1)$  space.

## Analysis

- ▶ Suppose we want to take a step on the edge labelled  $\langle b, i \rangle$ .
- ▶ If  $b = 0$ , then the edge is inside a copy of  $H$ , so this requires us to access the rotation map of  $H$ , which takes  $O(1)$  space.
- ▶ If  $b = 1$ , then the edge is a cross edge between clusters, so this requires us to access the rotation map of  $G^{k-1}$

## Analysis

- ▶ This leads to a recursive algorithm where we need  $O(1)$  space at every step.

## Analysis

- ▶ This leads to a recursive algorithm where we need  $O(1)$  space at every step.
- ▶ If  $s_k$  is the space needed to compute the rotation map of  $G^k$ , we have  $s_k = s_{k-1} + O(1)$ .

## Analysis

- ▶ This leads to a recursive algorithm where we need  $O(1)$  space at every step.
- ▶ If  $s_k$  is the space needed to compute the rotation map of  $G^k$ , we have  $s_k = s_{k-1} + O(1)$ .
- ▶ Hence,  $s_k = O(\log n)$  as  $k = O(\log n)$ .

## Recap

- ▶ In a graph with  $n$  vertices, there is a path with length  $\leq n$  between any two connected vertices.



## Recap

- ▶ In a graph with  $n$  vertices, there is a path with length  $\leq n$  between any two connected vertices.
- ▶ The naive way to derandomize in general graphs fails since there are  $d^n$  possible walks to search, and enumerating these walks will take  $O(n)$  space. (assuming  $d$  to be constant)

## Recap

- ▶ In a graph with  $n$  vertices, there is a path with length  $\leq n$  between any two connected vertices.
- ▶ The naive way to derandomize in general graphs fails since there are  $d^n$  possible walks to search, and enumerating these walks will take  $O(n)$  space. (assuming  $d$  to be constant)
- ▶ Fortunately, in graphs with good expansion there is a  $O(\log n)$  length path between any two connected vertices.

## Recap

- ▶ This leads to a natural question - Can we transform *any* graph into an expander? (that is, every connected component of the final graph will be an expander).

## Recap

- ▶ This leads to a natural question - Can we transform *any* graph into an expander? (that is, every connected component of the final graph will be an expander).
- ▶ Graph product improves expansion, but increases degree (which is a problem).

## Recap

- ▶ This leads to a natural question - Can we transform *any* graph into an expander? (that is, every connected component of the final graph will be an expander).
- ▶ Graph product improves expansion, but increases degree (which is a problem).
- ▶ Replacement product reduces degree, and does not worsen expansion by too much. We can get away with this by using a graph  $H$  with very good expansion.

## Recap

- ▶ Finally, we use rotation maps to implicitly keep track of the different graphs in logspace.
- ▶ The final algorithm is as follows:

## Recap

- ▶ Given  $G$ , implicitly construct  $G_k$  for appropriate  $k$  (that is, you don't actually construct  $G_k$  but treat its adjacency list/rotation map as a recursive lookup function).
- ▶ We start enumerating all walks of  $O(\log n)$  length in  $G_k$  implicitly, using rotation maps to ensure logspace.
- ▶ Effectively, this gives a complete derandomization (logspace constructible universal exploration sequences for general graphs).

## Extra

- ▶ Every permutation can be represented by a permutation matrix, hence every rotation map can be represented by a permutation matrix.



## Extra

- ▶ Every permutation can be represented by a permutation matrix, hence every rotation map can be represented by a permutation matrix.
- ▶ One can algebraically represent replacement product as

$$A \circledast A' = \frac{1}{2} \hat{A} + \frac{1}{2} (I_n \otimes A')$$

where  $A$  and  $A'$  are corresponding random walk matrices.

## Extra

- Lemma: Let  $M$  be a random walk matrix of an  $(n, d, \lambda)$  expander graph  $G$ . Let  $J$  be the random walk matrix of the  $n$  clique with self loops, that is every entry is  $\frac{1}{n}$ . Then,
- $$M = (1 - \lambda) J + \lambda M' \text{ where } \|M'\| \leq 1 \text{ (can check that } M' = \frac{1}{\lambda} (M - (1 - \lambda) J) \text{ works).}$$

## Extra

- ▶ Lemma: Let  $M$  be a random walk matrix of an  $(n, d, \lambda)$  expander graph  $G$ . Let  $J$  be the random walk matrix of the  $n$  clique with self loops, that is every entry is  $\frac{1}{n}$ . Then,  
 $M = (1 - \lambda) J + \lambda M'$  where  $|M'| \leq 1$  (can check that  $M' = \frac{1}{\lambda} (M - (1 - \lambda) J)$  works).
- ▶ Let  $A$  be the  $n \times n$  random walk matrix of  $G$  (with  $\hat{A}$  as the  $nD \times nD$  permutation matrix)

## Extra

- ▶ Lemma: Let  $M$  be a random walk matrix of an  $(n, d, \lambda)$  expander graph  $G$ . Let  $J$  be the random walk matrix of the  $n$  clique with self loops, that is every entry is  $\frac{1}{n}$ . Then,  
 $M = (1 - \lambda) J + \lambda M'$  where  $|M'| \leq 1$  (can check that  $M' = \frac{1}{\lambda} (M - (1 - \lambda) J)$  works).
- ▶ Let  $A$  be the  $n \times n$  random walk matrix of  $G$  (with  $\hat{A}$  as the  $nD \times nD$  permutation matrix)
- ▶ Let  $B$  be the  $D \times D$  random walk matrix of  $H$ , and let  $C$  be the  $nD \times nD$  random walk matrix of  $(G \hat{\otimes} H)^3$

# Extra

- Using the algebraic definition of replacement product, we get,  
$$C = \left( \frac{1}{2} \hat{A} + \frac{1}{2} (I_n \otimes B) \right)^3$$

## Extra

- ▶ Applying the lemma on  $B$ , we obtain  $B = (1 - \delta) B' + \delta J$   
where  $\|B'\| \leq 1$

## Extra

- ▶ Applying the lemma on  $B$ , we obtain  $B = (1 - \delta) B' + \delta J$  where  $\|B'\| \leq 1$
- ▶ Substituting and manipulating,

$$C = \left(1 - \frac{\delta^2}{8}\right) C' + \frac{\delta^2}{8} (I_n \otimes J) \hat{A} (I_n \otimes J)$$

## Extra

- ▶ Applying the lemma on  $B$ , we obtain  $B = (1 - \delta) B' + \delta J$  where  $\|B'\| \leq 1$
- ▶ Substituting and manipulating,

$$C = \left(1 - \frac{\delta^2}{8}\right) C' + \frac{\delta^2}{8} (I_n \otimes J) \hat{A} (I_n \otimes J)$$

- ▶ One can algebraically check that

$$(I_n \otimes J) \hat{A} (I_n \otimes J) = A \otimes J$$

and

$$\lambda(A \otimes J) \leq \max(\lambda(A), 0)$$



## Extra

- Plugging this back, we obtain  $\lambda \left( (G \otimes H)^3 \right)$ .

# Extra

- ▶ Plugging this back, we obtain  $\lambda\left((G \mathbin{\mathbb{R}} H)^3\right)$ .
- ▶ This implies that  $\lambda(G \mathbin{\mathbb{R}} H) \leq 1 - \frac{\epsilon \delta^2}{24}$  since  $\lambda(G^3) = \lambda(G)^3$ .