



Computational Complexity Theory

Lecture 2: Turing machines (contd.); Class P

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Recap: Turing Machines

- An algorithm is a set of instructions or rules.
- To understand the performance of an algorithm we need a model of computation. Turing machine is one such *natural* model (introduced by Alan Turing in 1936).
- A TM consists of:
 - Memory tape(s)
 - A finite set of rules
- Turing machines  A mathematical way to describe algorithms.

Recap: Turing Machines

- **Definition.** A k -tape Turing Machine M is described by a tuple (Γ, Q, δ) such that
- M has k memory tapes (input/work/output tapes) with *heads*;
- Γ is a finite set of alphabets. (Every memory cell contains an element of Γ)
- Q is a finite set of states. (special states: q_{start} , q_{halt})
- δ is a function from $Q \times \Gamma^k$ to $Q \times \Gamma^k \times \{L, S, R\}^k$



known as **transition function**; it captures the dynamics of M

Recap: TM Computation

- Start configuration.
 - All tapes other than the input tape contain blank symbols.
 - The input tape contains the input string.
 - All the head positions are at the start of the tapes.
 - The machine is in the start state q_{start} .
- Computation.
 - A **step of computation** is performed by applying δ .
- Halting.
 - Once the machine enters q_{halt} it stops computation.

Recap: TM Running time

- Let $f: \{0,1\}^* \rightarrow \{0,1\}^*$ and $T: \mathbb{N} \rightarrow \mathbb{N}$ and M be a Turing machine.
- **Definition.** We say M **computes** f if on every x in $\{0,1\}^*$, M halts with $f(x)$ on its output tape beginning from the start configuration with x on its input tape.
- **Definition.** M computes f in $T(|x|)$ **time**, if for every x in $\{0,1\}^*$, M halts within $T(|x|)$ steps of computation and outputs $f(x)$.

Turing Machines

- In this course, we would be dealing with
 - Turing machines that halt on every input.
 - Computational problems that can be solved by Turing machines.

Turing Machines

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 - Turing machines that halt on every input.
 - Computational problems that can be solved by Turing machines.
- Can every computational problem be solved using Turing machines?

Turing Machines: Uncomputability

- There are problems for which there exists **no** TM that halts on every input instances of the problem and outputs the correct answer.
 - **Input:** A system of polynomial equations in many variables with integer coefficients.
 - **Output:** Check if the system has **integer solutions** .
 - **Question:** Is there an algorithm to solve this problem?

Turing Machines: Uncomputability

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➤ A typical input instance:

$$x^2y + 5y^3 = 3$$

$$x^2 + z^5 - 3y^2 = 0$$

$$y^2 - 4z^6 = 0$$



Integer solutions for x, y, z ?

Turing Machines: Uncomputability

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 - **Input:** A system of polynomial equations in many variables with integer coefficients.
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 - **Question:** Is there an algorithm to solve this problem?
(Hilbert's tenth problem, 1900)

Turing Machines: Uncomputability

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 - **Input:** A system of polynomial equations in many variables with integer coefficients.
 - **Output:** Check if the system has **integer solutions** .
 - **Question:** Is there an algorithm to solve this problem?
- **Theorem.** There doesn't exist any algorithm (realizable by a TM) to solve this problem. (Davis, Putnam, Robinson, Matiyasevich 1970)

Why Turing Machines?

- TMs are natural and intuitive.
- **Church-Turing thesis:** *“Every physically realizable computation device – whether it’s based on silicon, DNA, neurons or some other alien technology – can be simulated by a Turing machine”.*
— [quoted from Arora-Barak’s book]

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- Several other computational models can be simulated by TMs.

Why Turing Machines?

- TMs are natural and intuitive.
- **Strong Church-Turing thesis:** “Every *physically realizable computation device* – whether it’s based on silicon, DNA, neurons or some other alien technology – can be simulated *efficiently* by a Turing machine”.

Possible exception: Quantum machines!

Basic facts about TMs

Turing Machines

- **Time constructible functions.** A function $T: \mathbb{N} \rightarrow \mathbb{N}$ is time constructible if $T(n) \geq n$ and there's a TM that computes the function that maps x to $T(\underbrace{|x|}_{\text{in binary}})$ in $O(T(|x|))$ time.
- Examples: $T(n) = n^2$, or 2^n , or $n \log n$

Turing Machines: Robustness

- Let $f: \{0,1\}^* \rightarrow \{0,1\}^*$ and $T: \mathbb{N} \rightarrow \mathbb{N}$ be a time constructible function.
- Binary alphabets suffice.
 - If a TM M computes f in $T(n)$ time using Γ as the alphabet set, then there's another TM M' that computes f in time $4 \cdot \log |\Gamma| \cdot T(n)$ using $\{0, 1, \text{blank}\}$ as the alphabet set.

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- A single tape suffices.
 - If a TM M computes f in $T(n)$ time using k tapes then there's another TM M' that computes f in time $5k \cdot T(n)^2$ using a single tape that is used for input, work and output.

Turing Machines: As strings

- Every TM can be represented by a finite string over $\{0,1\}$.

...simply encode the description of the TM.

Turing Machines: As strings

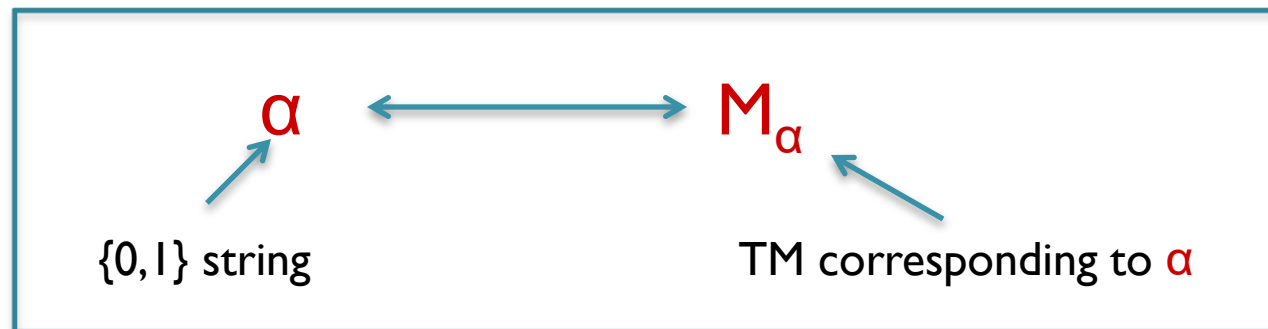
- Every TM can be represented by a finite string over $\{0,1\}$.
- Every string over $\{0,1\}$ represents some TM.
...invalid strings map to a fixed, trivial TM.

Turing Machines: As strings

- Every TM can be represented by a finite string over $\{0,1\}$.
- Every string over $\{0,1\}$ represents some TM.
- Every TM has infinitely many string representations.
 - ... allow padding with arbitrary number of 0's

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Turing Machines: As strings

- Every TM can be represented by a finite string over $\{0,1\}$.
- Every string over $\{0,1\}$ represents some TM.
- Every TM has infinitely many string representations.
- A TM (i.e., its string representation) can be given as an input to another TM !!

Universal Turing Machines

- **Theorem.** There exists a TM U that on every input x , α in $\{0,1\}^*$ outputs $M_\alpha(x)$.
- Further, if M_α halts within T steps then U halts within $C \cdot T \cdot \log T$ steps, where C is a constant that depends only on M_α 's alphabet size, number of states and number of tapes.

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- Physical realization of UTMs are modern day electronic computers.

Complexity class P

Decision Problems

- In the initial part of this course, we'll focus primarily on **decision problems**.

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- Decision problems can be naturally identified with **Boolean functions**, i.e., functions from $\{0,1\}^*$ to $\{0,1\}$.
- Boolean functions can be naturally identified with sets of $\{0,1\}$ strings, also called **languages**.

Decision Problems

Decision problems $\leftrightarrow$ Boolean functions $\leftrightarrow$ Languages

- **Definition.** We say a TM M decides a language $L \subseteq \{0,1\}^*$ if M computes f_L , where $f_L(x) = 1$ if and only if $x \in L$.

The characteristic function of L .

Complexity Class P

- Let $T: \mathbb{N} \rightarrow \mathbb{N}$ be some function.
- **Definition:** A language L is in $\text{DTIME}(T(n))$ if there's a TM that decides L in time $O(T(n))$.
- **Definition:** Class $P = \bigcup_{c > 0} \text{DTIME}(n^c)$.

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Deterministic polynomial-time

Complexity Class P : Examples

- Cycle detection (*DFS*)
 - Check if a given graph has a cycle.

Complexity Class P : Examples

- Cycle detection
- Solvability of a system of linear equations (*Gaussian elimination*)
 - Given a system of linear equations over $\mathbb{Q}$ check if there exists a common solution to all the linear equations.

Complexity Class P : Examples

- Cycle detection
- Solvability of a system of linear equations
- Perfect matching (*Edmonds 1965*) (birth of class P)
 - Check if a given graph has a perfect matching

Complexity Class P : Examples

- Cycle detection
- Solvability of a system of linear equations
- Perfect matching
- Planarity testing (*Hopcroft & Tarjan 1974*)
 - Check if a given graph is planar

Complexity Class P : Examples

- Cycle detection
- Solvability of a system of linear equations
- Perfect matching
- Planarity testing
- Primality testing (*Agrawal, Kayal & Saxena 2002*)
 - Check if a number is prime

Polynomial-time Turing Machines

- **Definition.** A TM M is a *polynomial-time* TM if there's a polynomial function $q: \mathbb{N} \rightarrow \mathbb{N}$ such that for every input $x \in \{0,1\}^*$, M halts within $q(|x|)$ steps.

Polynomial function. $q(n) = O(n^c)$ for some constant c .

Class (functional) P

- What if a problem is not a decision problem? Like the task of adding two integers.

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- One way is to focus on the **i-th** bit of the output and make it a decision problem.

(Is the **i-th** bit, on input **x**, **1**?)

Class (functional) P

- What if a problem is not a decision problem? Like the task of adding two integers.
- One way is to focus on the **i-th** bit of the output and make it a decision problem.
- Alternatively, we define a class called **functional P** or **FP**.

Class (functional) P

- What if a problem is not a decision problem? Like the task of adding two integers.
- One way is to focus on the **i-th** bit of the output and make it a decision problem.
- We say that a problem or a function $f: \{0,1\}^* \rightarrow \{0,1\}^*$ is in **FP** (functional **P**) if there's a polynomial-time TM that computes **f**.

Complexity Class FP : Examples

- Greatest Common Divisor (*Euclid ~300 BC*)
 - Given two integers a and b , find their gcd.

Complexity Class FP : Examples

- Greatest Common Divisor
- Counting paths in a DAG (*homework*)
 - Find the number of paths between two vertices in a directed acyclic graph.

Complexity Class FP : Examples

- Greatest Common Divisor
- Counting paths in a DAG
- Maximum matching (*Edmonds 1965*)
 - Find a maximum matching in a given graph

Complexity Class FP : Examples

- Greatest Common Divisor
- Counting paths in a DAG
- Maximum matching
- Linear Programming (*Khachiyan 1979, Karmarkar 1984*)
 - Optimize a linear objective function subject to linear (in)equality constraints

Complexity Class FP : Examples

- Greatest Common Divisor

Not known if LP has a *strongly polynomial-time* algorithm.

- Counting paths in a DAG

Homework: Read about the differences between *strongly poly-time*, *weakly poly-time* and *pseudo poly-time* algorithms.

- Maximum matching

- Linear Programming (*Khachiyan 1979, Karmarkar 1984*)

➤ Optimize a linear objective function subject to linear (in)equality constraints

Complexity Class FP : Examples

- Greatest Common Divisor
- Counting paths in a DAG
- Maximum matching
- Linear Programming
- Factoring Polynomials (*Lenstra, Lenstra, Lovasz 1982*)
 - Compute the irreducible factors of a univariate polynomial over $\mathbb{Q}$