Computational Complexity Theory

Lecture 21: Probabilistic Turing Machines; Class BPP

Department of Computer Science, Indian Institute of Science

- So far, we have used deterministic TMs to model "real-world" computation. But, DTMs don't have the ability to make <u>random choices</u> during a computation.
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- The usefulness of randomness in computation was realized as early as the 1940s when the first electronic computer, ENIAC, was developed.
 - The use of statistical methods in a computational model of a thermonuclear reaction for the ENIAC led to the invention of the **Monte Carlo methods**.

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- The usefulness of randomness in computation was realized as early as the 1940s when the first electronic computer, ENIAC, was developed.
- To study randomized computation, we need to give TMs the power of generating random numbers.

 How realistic such a randomized TM model would be depends on our ability to generate bits that are "close" to being <u>truly</u> random.

> I with probability $\frac{1}{2}$ 0 with probability $\frac{1}{2}$

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 $X_{i+1} = aX_i + c \pmod{m}$

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Square an n bit number to get a 2n bit number and take the middle n bits.

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- To what extent a PRG is adequate is studied under the topic `*Pseudorandomness*' in complexity theory.

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- Examples of pseudo-random number generators are <u>linear congruential generators</u> and von Neumann's <u>middle-square method</u>.
- We'll assume that a TM can generate, or has access to, truly random bits/coins. (We'll touch upon "truly vs biased random bits" at end of the lecture.)

Definition. A probabilistic Turing machine (PTM) M has two transition functions δ₀ and δ₁. At each step of computation on input x∈{0,1}*, M applies one of δ₀ and δ₁ uniformly at random (independent of the previous steps). M outputs either I (accept) or 0 (reject).

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- Note. PTMs and NTMs are syntatically similar both have two transition functions.

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- Note. But, semantically, they are quite different unlike NTMs, PTMs are meant to model realistic computation devices.

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- Note. The above definition allows a PTM M to not halt on some computation paths defined by its random choices (unless we explicitly say that M runs in T(n) time). More on this later when we define ZPP.

- Definition. A PTM M <u>decides</u> a language L in time T(n) if M runs in T(n) time, and for every x∈{0,1}*, Pr[M(x) = L(x)] ≥ 2/3.
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Success probability

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Remark. The defn of class BPP is robust. The class remains unaltered if we replace 2/3 by any constant strictly greater than (i.e., <u>bounded</u> <u>away</u> from) ¹/₂. We'll discuss this next.

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Remark. Achieving success probability $\frac{1}{2}$ is trivial for any language. If we replace $\geq 2/3$ by $> \frac{1}{2}$ then the corresponding class is called PP, which is (presumably) larger than BPP. More on PP later.

• Lemma. Let $c \ge 0$ be a constant. Suppose L is decided by a poly-time PTM M s.t. $Pr[M(x) = L(x)] \ge \frac{1}{2} + |x|^{-c}$. Then, for every constant $d \ge 0$, L is decided by a polytime PTM M' s.t. $Pr[M'(x) = L(x)] \ge 1 - exp(-|x|^d)$.

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- *Proof.* Let |x| = n. Think of M' that runs M on input x for $m = 4n^{2c+d}$ times independently. Let $b_1, ..., b_m$ be the outputs of these independent executions of M. M' outputs Majority($b_1, ..., b_m$).

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- Proof. Let $|\mathbf{x}| = n \& m = 4n^{2c+d}$. Let $y_i = 1$ if b_i is correct (i.e., $b_i = L(\mathbf{x})$), otherwise $y_i = 0$. Then M' outputs incorrectly only if $Y = y_1 + ... + y_m \le m/2$.

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- *Proof.* Let $|\mathbf{x}| = n \& m = 4n^{2c+d}$. Let $y_i = 1$ if b_i is correct (i.e., $b_i = L(\mathbf{x})$), otherwise $y_i = 0$. Then M' outputs incorrectly only if $Y = y_1 + ... + y_m \le m/2$.
- $E[y_i] = Pr[y_i = I] = Pr[M(x) = L(x)] = p$ (say). It's given that $p \ge \frac{1}{2} + n^{-c}$. So, $\mu = E[Y] = mp \ge m/2.(I+2n^{-c})$.

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- By Chernoff bound, $\Pr[Y \le (I \delta)\mu] \le \exp(-(\delta^2 \mu)/2)$, for any $\delta \in [0, I]$. We'll now fix the value of δ .

- Lemma. Let $c \ge 0$ be a constant. Suppose L is decided by a poly-time PTM M s.t. $Pr[M(x) = L(x)] \ge \frac{1}{2} + |x|^{-c}$. Then, for every constant $d \ge 0$, L is decided by a polytime PTM M' s.t. $Pr[M'(x) = L(x)] \ge 1 - exp(-|x|^d)$.
- Proof. $m = 4n^{2c+d}, p \ge \frac{1}{2} + n^{-c}, \mu = mp \ge m/2.(1+2n^{-c}).$
- $\Pr[Y \le (I \delta)\mu] \le \exp(-(\delta^2 \mu)/2)$, for any $\delta \in [0, I]$.
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- M' outputs incorrectly only if $Y \le m/2$. If we choose δ s.t. $m/2 \le (1-\delta)\mu$ then $Pr[Y \le m/2] \le Pr[Y \le (1-\delta)\mu]$.
- Picking $\delta \leq 2/(n^{c}+2)$ is sufficient. Set $\delta = n^{-c}$.

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- *Proof.* $m = 4n^{2c+d}, p \ge \frac{1}{2} + n^{-c}, \mu = mp \ge m/2.(1+2n^{-c}).$
- $\Pr[Y \leq (I \delta)\mu] \leq \exp(-(\delta^2 \mu)/2)$, and $\delta = n^{-c}$.
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- $\Pr[Y \leq (I \delta)\mu] \leq \exp(-(\delta^2 \mu)/2)$, and $\delta = n^{-c}$.
- Therefore, $\Pr[M'(x) \neq L(x)] \leq \exp(-(\delta^2 \mu)/2), \leq \exp(-n^d).$

 Definition. A language L in BPP if there's a poly-time <u>DTM</u> M(., .) and a polynomial function q(.) s.t. for every x∈{0,1}*,

$$\Pr_{r \in_{R} \{0,1\}^{q(|x|)}} [M(x,r) = L(x)] \ge 2/3.$$

• 2/3 can be replaced by $I - \exp(-|\mathbf{x}|^d)$ as before.

(Easy Homework)

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- How large is BPP? Is NP \subseteq BPP? i.e., is SAT \in BPP?
- Next we show that BPP \subseteq P/poly. So, if NP \subseteq BPP then PH = \sum_2 . (*Karp-Lipton*)

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- Hence, $P \subseteq BPP \subseteq EXP$.
- Sipser-Gacs-Lautemann. BPP $\subseteq \sum_{2}$. (We'll prove this)
- Most complexity theorist believe that P = BPP! (More on this later.)

- Theorem. (Adleman 1978) BPP \subseteq P/poly.
- Proof. Let $L \in BPP$. Then, there's a poly-time \underline{DTM} M and a polynomial function q(.) s.t. for every $x \in \{0, I\}^*$, $Pr_{r \in_{R} \{0, I\}^{q(|x|)}} [M(x, r) = L(x)] \ge I - 2^{-(|x|+1)}$.

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- Summing over all $x \in \{0, 1\}^n$, at most $2^n \cdot 2^{-(n+1)} = \frac{1}{2}$ fraction of the r's are "bad" for some n-bit string x.

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- By hardwiring this r₀, the computation of M(., r₀) can be viewed as a poly(n)-size circuit C. (Cook-Levin)

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- There's a p and a PTM M with access to p-biased random bits s.t. M decides an undecidable language!

- On the other hand, we can obtain truly random bits from biased random bits.
- Claim. (von-Neumann 1951) A truly random bit can be simulated by a PTM with access to p-biased random bits in expected O(p⁻¹(1-p)⁻¹) time. (Homework)