



Computational Complexity Theory

Lecture 20: GNI is in BP.NP

Department of Computer Science,
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Recap: Randomized reduction

- **Definition.** We say a L_1 reduces to a L_2 in randomized polynomial-time, denoted $L_1 \leq_r L_2$, if there's a poly-time PTM M s.t. for every $x \in \{0,1\}^*$

$$\Pr [L_1(x) = L_2(M(x))] \geq 2/3.$$

- For arbitrary L_1 and L_2 , we may not be able to boost the success probability $2/3$, and so, the above kind of reductions **needn't be transitive**. However,
- **Obs.** If $L_1 \leq_r L_2$ and $L_2 \in \text{BPP}$, then $L_1 \in \text{BPP}$.

(Easy homework)

Recap: Randomized reduction

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$$\Pr [L_1(x) = L_2(M(x))] \geq 2/3.$$

- **Obs.** If $L_2 = \text{SAT}$, then we can boost the success probability from $1/2 + |x|^{-c}$ to $1 - \exp(-|x|^d)$.
- **Proof idea.** BPP error reduction trick + Cook-Levin.

(homework)

Recap: Randomized reduction

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- **Obs.** If $L_2 = \text{SAT}$, then we can boost the success probability from $1/2 + |x|^{-c}$ to $1 - \exp(-|x|^d)$.
- Recall, $\text{NP} = \{L : L \leq_p \text{SAT}\}$. It makes sense to define a similar class using randomized poly-time reduction.

Recap: Class BP.NP

- **Definition.** We say a L_1 reduces to a L_2 in randomized polynomial-time, denoted $L_1 \leq_r L_2$, if there's a poly-time PTM M s.t. for every $x \in \{0,1\}^*$

$$\Pr [L_1(x) = L_2(M(x))] \geq 2/3.$$

- **Obs.** If $L_2 = \text{SAT}$, then we can boost the success probability from $1/2 + |x|^{-c}$ to $1 - \exp(-|x|^d)$.
- **Definition.** $\text{BP.NP} = \{L : L \leq_r \text{SAT}\}$.
- Class BP.NP is also known as AM (Arthur-Merlin protocol) in the literature.

Recap: Class BP.NP

- **Definition.** $\text{BP.NP} = \{L : L \leq_r \text{SAT}\}$.
- Observe that $\text{NP} \subseteq \text{BP.NP}$ and $\text{BPP} \subseteq \text{BP.NP}$. Is $\text{BP.NP} = \text{NP}$? Many believe that the answer is “yes”.
- **Theorem.** If certain reasonable circuit lower bounds hold, then $\text{BP.NP} = \text{NP}$.
- **Proof idea.** Similar to Nisan & Wigderson’s conditional $\text{BPP} = \text{P}$ result.

Recap: Class BP.NP

- **Definition.** $\text{BP.NP} = \{L : L \leq_r \text{SAT}\}$.
- Observe that $\text{NP} \subseteq \text{BP.NP}$ and $\text{BPP} \subseteq \text{BP.NP}$. Is $\text{BP.NP} = \text{NP}$? Many believe that the answer is “yes”.
- We may further ask:
 1. Is BP.NP in PH ? Recall, BPP is in PH .
 2. Is $\overline{\text{SAT}} \in \text{BP.NP}$? Recall, if $\text{SAT} \in \text{BPP}$ then PH collapses. ($\text{SAT} \in \text{BP.NP}$ as $\text{NP} \subseteq \text{BP.NP}$.)

Recap: Class BP.NP

- **Definition.** $\text{BP.NP} = \{L : L \leq_r \text{SAT}\}$.
- **Theorem.** BP.NP is in Σ_3 . (In fact, BP.NP is in Π_2 .)
- **Proof idea.** Similar to the Sipser-Gacs-Lautemann theorem. (*Assignment problem*)
- Wondering if $\text{BP.NP} \subseteq \Pi_2$ implies $\text{BP.NP} \subseteq \Sigma_2$? Is $\text{BP.NP} = \text{co-BP.NP}$? (Recall, $\text{BPP} = \text{co-BPP}$).
- If $\text{BP.NP} = \text{co-BP.NP}$ then $\text{co-NP} \subseteq \text{BP.NP}$. The next theorem shows that this would lead to PH collapse.

Recap: Class BP.NP

- **Definition.** $\text{BP.NP} = \{L : L \leq_r \text{SAT}\}.$
- **Theorem.** If $\overline{\text{SAT}} \in \text{BP.NP}$ then $\text{PH} = \Sigma_3$ (in fact, $\text{PH} = \Sigma_2$).
- **Proof idea.** Similar to Adleman's theorem + Karp-Lipton theorem. (*Assignment problem*)

Recap: Class BP.NP

- Definition. $\text{BP.NP} = \{L : L \leq_r \text{SAT}\}$.
- Theorem. If $\overline{\text{SAT}} \in \text{BP.NP}$ then $\text{PH} = \Sigma_2$.
- We would use the above theorem to show that if **GI** is **NP-complete** then **PH** collapses.
- Thus, even without designing an efficient algorithm for **GI**, we know **GI** is unlikely to be **NP-complete**!

Recap: Class BP.NP

- **Definition.** $\text{BP.NP} = \{L : L \leq_r \text{SAT}\}.$
- **Theorem.** If $\overline{\text{SAT}} \in \text{BP.NP}$ then $\text{PH} = \Sigma_2.$
- We would use the above theorem to show that if **GI** is **NP-complete** then **PH** collapses.
- **Theorem.** (*Goldwasser-Sipser '87, Boppana, Hastad, Zachos '87*) $\text{GNI} \in \text{BP.NP}.$
- **Proof.** We'll prove it today.

Recap: Class BP.NP

- **Definition.** $\text{BP.NP} = \{L : L \leq_r \text{SAT}\}.$
- **Theorem.** If $\overline{\text{SAT}} \in \text{BP.NP}$ then $\text{PH} = \Sigma_2.$
- We would use the above theorem to show that if **GI** is **NP-complete** then **PH** collapses.
- **Theorem.** (Goldwasser-Sipser '87, Boppana, Hastad, Zachos '87) **GNI** $\in$ **BP.NP**.
- If **GI** is **NP-complete** then **GNI** is **co-NP-complete**. If so, then the above two theorems imply $\text{PH} = \Sigma_2.$

Recap: GI in Quasi-P

- **Theorem.** (*Babai 2015*) There's a deterministic $\exp(O((\log n)^3))$ time algorithm to solve the graph isomorphism problem.

GNI is in BP.NP

Graph Non-isomorphism

- **Definition.** Let G_1 and G_2 be two undirected graphs on n vertices. Identify the vertices with $[n]$. We say G_1 is isomorphic to G_2 , denoted $G_1 \cong G_2$, if there's a bijection/permutation $\pi: [n] \rightarrow [n]$ s.t. for all $u, v \in [n]$, (u, v) is an edge in G_1 if and only if $(\pi(u), \pi(v))$ is an edge in G_2 .
- **Definition.** $GNI = \{(G_1, G_2) : G_1 \not\cong G_2\}$.
- Clearly, $GNI \in \text{co-NP}$, it is not known if $GNI \in \text{NP}$.

GNI is in BP.NP

- The idea.

- I. **Step I:** Let $x = (G_1, G_2)$. Associate a set S_x with (G_1, G_2) s.t. $|S_x|$ is “large” ($2n!$) if $G_1 \not\cong G_2$, and $|S_x|$ is “small” ($n!$) if $G_1 \cong G_2$. Elements of S_x can be represented using $m = n^{O(1)}$ bits. Furthermore, membership in S_x can be certified in $m^{O(1)} = n^{O(1)}$ time.

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There's a poly-time TM V and a polynomial function $q(\cdot)$ s.t.

$$\begin{aligned} u \in S_x &\Rightarrow \exists c \in \{0, 1\}^{q(|x|)} \quad V(x, u, c) = 1 \\ u \notin S_x &\Rightarrow \forall c \in \{0, 1\}^{q(|x|)} \quad V(x, u, c) = 0. \end{aligned}$$

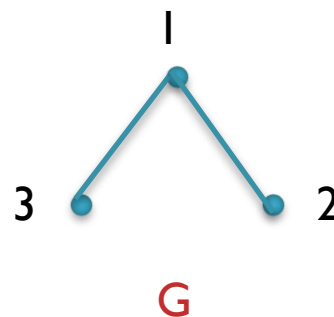
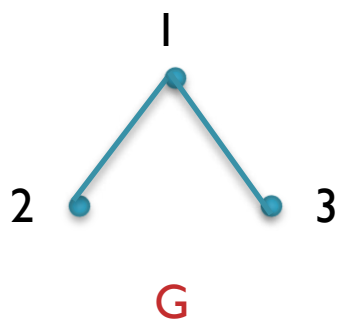
GNI is in BP.NP

- The idea.

1. **Step 1:** Let $x = (G_1, G_2)$. Associate a set S_x with (G_1, G_2) s.t. $|S_x|$ is “large” ($2n!$) if $G_1 \not\cong G_2$, and $|S_x|$ is “small” ($n!$) if $G_1 \cong G_2$. Elements of S_x can be represented using $m = n^{O(1)}$ bits. Furthermore, membership in S_x can be certified in $m^{O(1)} = n^{O(1)}$ time.
2. **Step 2:** Devise a randomized poly-time reduction that maps x to a CNF $\phi_{x,r}$ s.t. over the randomness of r , $\phi_{x,r}$ is satisfiable w.h.p if S_x is “large” and unsatisfiable w.h.p if S_x is “small”.

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- **Defn.** $\text{Aut}(G) = \{\text{bijection } \pi: [n] \rightarrow [n] : \pi(G) = G\}$.



Permutation $\pi = (1,3,2)$ is in $\text{Aut}(G)$.

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- **Defn.** $\text{Aut}(G) = \{\text{bijection } \pi: [n] \rightarrow [n] : \pi(G) = G\}$.
- Let $S_x = \{(H, \pi): H \cong G_1 \text{ or } H \cong G_2 \text{ and } \pi \in \text{Aut}(H)\}$.
- **Obs.** S_x satisfies the properties stated in Step 1.

(Homework)

GNI is in BP.NP

- **Step 2:** Devise a randomized poly-time reduction that maps x to a CNF $\phi_{x,r}$ s.t. over the randomness of r , $\phi_{x,r}$ is satisfiable w.h.p if S_x is “large” and unsatisfiable w.h.p if S_x is “small”.

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- **Lemma ***. There’s a poly-time TM M that takes input $x = (G_1, G_2)$, y & r , and a polynomial function $q(\cdot)$ s.t.

$|S_x| = 2n!$ (large) $\Rightarrow \Pr_r [\exists y \text{ s.t. } M(x, y, r) = 1] \geq 2/3$

$|S_x| = n!$ (small) $\Rightarrow \Pr_r [\forall y \text{ s.t. } M(x, y, r) = 0] \geq 2/3.$

$r \in \{0, 1\}^{q(|x|)}$

$y \in \{0, 1\}^{q(|x|)}$

GNI is in BP.NP

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 $|S_x| = n!$ (small) $\Rightarrow \Pr_r [\forall y \text{ s.t. } M(x, y, r) = 0] \geq 2/3$.
- **Proof.** Uses **Goldwasser-Sipser set lower bound protocol**. We’ll see the proof in a while.

GNI is in BP.NP

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We can think of M 's computation as a Boolean circuit $\psi_{x,r}(y)$, which can be computed in randomized $|x|^{O(1)}$ time by fixing x and picking $r \in \{0,1\}^{q(n)}$ randomly. *Cook-Levin*

GNI is in BP.NP

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- **Corollary.** There’s randomized poly-time reduction that maps x to a Boolean circuit $\psi_{x,r}$ s.t.
 $|S_x| = 2n!$ (large) $\Rightarrow \Pr_r [\psi_{x,r}(y) \text{ is satisfiable}] \geq 2/3$
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- **Corollary.** There’s randomized poly-time reduction that maps x to a CNF $\phi_{x,r}$ s.t.

$|S_x| = 2n!$ (large) $\Rightarrow \Pr_r [\phi_{x,r}(z) \text{ is satisfiable}] \geq 2/3$

$|S_x| = n!$ (small) $\Rightarrow \Pr_r [\phi_{x,r}(z) \text{ is unsatisfiable}] \geq 2/3.$

$\phi_{x,r}$ is a CNF and $z = y + \text{auxiliary variables.}$

Cook-Levin

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 $|S_x| = n!$ (small) $\Rightarrow \Pr_r [\phi_{x,r}(z) \text{ is unsatisfiable}] \geq 2/3$.
- Hence, **GNI** is in **BP.NP**. It remains to prove **Lemma ***.



Set lower bound protocol

- **Lemma ***. There's a poly-time TM **M** that takes input **x** = (G_1, G_2) , **y** & **r**, and a polynomial function **q(.)** s.t.

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- *Proof idea*. Let $H = \{h_i\}$ be a “suitable” family of hash functions that map m -bit strings to k -bit strings for an appropriate k . Recall, m = size of an element in S_x .

The value of k will be fixed in the analysis.

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- Let $t = n^{O(1)}$ be sufficiently large. M interprets r as $(i_1, i_2, \dots, i_t)$, where $i_1, \dots, i_t$ are indices of hash functions in H .

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$$|r| = n^{O(1)}.$$

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- *Proof idea*. Let $H = \{h_i\}$ be a “suitable” family of hash functions that map m -bit strings to k -bit strings for an appropriate k . Recall, m = size of an element in S_x .
- M interprets y as $((u_1, c_1), (u_2, c_2), \dots, (u_t, c_t))$, where $u_1, \dots, u_t$ are m -bit strings, and c_p is an alleged certificate of u_p 's membership in S_x for every $p \in [t]$.
 $|y| = n^{O(1)}$.

Set lower bound protocol

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- For every $p \in [t]$: M uses c_p & x to check if $u_p \in S_x$. If yes, M checks if $h_{i_p}(u_p) = 0^k$.

Recall, membership in S_x can be efficiently certified.

Set lower bound protocol

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Pairwise independent hash functions

- **Definition.** A family $H_{m,k}$ of (hash) functions from $\{0,1\}^m$ to $\{0,1\}^k$ is *pairwise independent* if for every distinct $x, x' \in \{0,1\}^m$ and for every $y, y' \in \{0,1\}^k$,
$$\Pr_{h \in_r H_{m,k}} [h(x) = y \text{ and } h(x') = y'] = 2^{-2k}.$$

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$$\Pr_{h \in_r H_{m,k}} [h(x) = y \text{ and } h(x') = y'] = 2^{-2k}.$$

- **Obs.** Let $H_{m,k}$ be a pairwise independent hash function family. For every $x \in \{0,1\}^m$ and $y \in \{0,1\}^k$,

$$\Pr_{h \in_r H_{m,k}} [h(x) = y] = 2^{-k}.$$

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$$\begin{aligned} & \Pr_{h \in_r H_{m,k}} [h(x) = y \text{ and } h(x') = y'] = 2^{-2k}. \\ &= \Pr_{h \in_r H_{m,k}} [h(x) = y] \cdot \Pr_{h \in_r H_{m,k}} [h(x') = y'] . \end{aligned}$$

Pairwise independent hash functions

- **Definition.** A family $H_{m,k}$ of (hash) functions from $\{0,1\}^m$ to $\{0,1\}^k$ is *pairwise independent* if for every distinct $x, x' \in \{0,1\}^m$ and for every $y, y' \in \{0,1\}^k$,

$$\begin{aligned} & \Pr_{h \in_r H_{m,k}} [h(x) = y \text{ and } h(x') = y'] = 2^{-2k}. \\ & = \Pr_{h \in_r H_{m,k}} [h(x) = y] \cdot \Pr_{h \in_r H_{m,k}} [h(x') = y'] . \end{aligned}$$

- **Example.** Let $\ell > 0$ and F be the finite field of size 2^ℓ . We can identify F with $\{0,1\}^\ell$ as elements of F are ℓ -bit strings. For $a, b \in F$, define the function $h_{a,b}$ as $h_{a,b}(x) = ax + b$ for every $x \in F$. Then, $H_{\ell,\ell} = \{h_{a,b} : a, b \in F\}$ is a pairwise independent hash family.

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- **Proof.** Let $x, x' \in F$ be distinct and $y, y' \in F$. Then, $h_{a,b}(x) = y$ & $h_{a,b}(x') = y'$ if and only if $a = (y - y') / (x - x')$ and $b = (xy' - x'y) / (x - x')$.

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- **Proof.** Let $x, x' \in F$ be distinct and $y, y' \in F$. Then, $h_{a,b}(x) = y$ & $h_{a,b}(x') = y'$ if and only if $a = (y - y') / (x - x')$ and $b = (xy' - x'y) / (x - x')$. Therefore,
$$\begin{aligned} & \Pr_{a,b \in_r F} [h_{a,b}(x) = y \text{ \& \; } h_{a,b}(x') = y'] \\ &= \Pr_{a,b \in_r F} [a = (y - y') / (x - x') \text{ \& \; } b = (xy' - x'y) / (x - x')] \\ &= 2^{-2\ell} \quad (\text{as } a \text{ and } b \text{ are independently chosen}). \end{aligned}$$



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- **Example.** Let $\ell > 0$ and F be the finite field of size 2^ℓ . We can identify F with $\{0,1\}^\ell$ as elements of F are ℓ -bit strings. For $a, b \in F$, define the function $h_{a,b}$ as $h_{a,b}(x) = ax + b$ for every $x \in F$. Then, $H_{\ell,\ell} = \{h_{a,b} : a, b \in F\}$ is a pairwise independent hash family.
- **Obs.** If $m \geq k$, then we can construct a pairwise independent $H_{m,k}$ by considering $H_{m,m}$ as above. Truncate the output of a function to the first k bits.

(Homework)

Pairwise independent hash functions

- **Example.** Let $\ell > 0$ and F be the finite field of size 2^ℓ . We can identify F with $\{0,1\}^\ell$ as elements of F are ℓ -bit strings. For $a, b \in F$, define the function $h_{a,b}$ as $h_{a,b}(x) = ax + b$ for every $x \in F$. Then, $H_{\ell,\ell} = \{h_{a,b} : a, b \in F\}$ is a pairwise independent hash family.
- **Obs.** If $m \leq k$, then we can construct a pairwise independent $H_{m,k}$ by considering $H_{k,k}$ as above. Generate k -bit i/p for a function by padding with 0.

(Homework)

Set lower bound protocol (contd.)

- **Lemma ***. There's a poly-time TM **M** that takes input **x** = (G_1, G_2) , **y** & **r**, and a polynomial function **q(.)** s.t.
 $|S_x| = 2n!$ (large) $\Rightarrow \Pr_r [\exists y \text{ s.t. } M(x, y, r) = 1] \geq 2/3$
 $|S_x| = n!$ (small) $\Rightarrow \Pr_r [\forall y \text{ s.t. } M(x, y, r) = 0] \geq 2/3$.
- **Proof.** Let $H_{m,k}$ be a family of pairwise independent hash functions.

Set lower bound protocol (contd.)

- **Lemma ***. There's a poly-time TM **M** that takes input **x** = (G_1, G_2) , **y** & **r**, and a polynomial function **q(.)** s.t.
 $|S_x| = 2n!$ (large) $\Rightarrow \Pr_r [\exists y \text{ s.t. } M(x, y, r) = 1] \geq 2/3$
 $|S_x| = n!$ (small) $\Rightarrow \Pr_r [\forall y \text{ s.t. } M(x, y, r) = 0] \geq 2/3$.
- **Proof.** Let $H_{m,k}$ be a family of pairwise independent hash functions. Recall, $r = (i_1, i_2, \dots, i_t)$, where $i_1, \dots, i_t$ are indices of functions in $H_{m,k}$.

Set lower bound protocol (contd.)

- **Lemma ***. There's a poly-time TM **M** that takes input $\mathbf{x} = (G_1, G_2)$, $\mathbf{y}$ & $\mathbf{r}$, and a polynomial function $q(\cdot)$ s.t.
 $|S_x| = 2n!$ (large) $\Rightarrow \Pr_r [\exists \mathbf{y} \text{ s.t. } M(\mathbf{x}, \mathbf{y}, \mathbf{r}) = 1] \geq 2/3$
 $|S_x| = n!$ (small) $\Rightarrow \Pr_r [\forall \mathbf{y} \text{ s.t. } M(\mathbf{x}, \mathbf{y}, \mathbf{r}) = 0] \geq 2/3$.
- **Proof.** Let $H_{m,k}$ be a family of pairwise independent hash functions. Recall, $\mathbf{r} = (i_1, i_2, \dots, i_t)$, where $i_1, \dots, i_t$ are indices of functions in $H_{m,k}$. Also, $\mathbf{y} = ((u_1, c_1), (u_2, c_2), \dots, (u_t, c_t))$, where $u_1, \dots, u_t \in \{0, 1\}^m$, and c_p is an alleged certificate of u_p 's membership in S_x for every $p \in [t]$.

Set lower bound protocol (contd.)

- **Lemma ***. There's a poly-time TM **M** that takes input **x** = (G_1, G_2) , **y** & **r**, and a polynomial function **q(.)** s.t.
 $|S_x| = 2n!$ (large) $\Rightarrow \Pr_r [\exists y \text{ s.t. } M(x, y, r) = 1] \geq 2/3$
 $|S_x| = n!$ (small) $\Rightarrow \Pr_r [\forall y \text{ s.t. } M(x, y, r) = 0] \geq 2/3$.
- **Proof**. For every $p \in [t]$: **M** uses c_p & **x** to check if $u_p \in S_x$. If yes, **M** checks if $h_{i_p}(u_p) = 0^k$.

Set lower bound protocol (contd.)

- **Lemma ***. There's a poly-time TM M that takes input $x = (G_1, G_2)$, y & r , and a polynomial function $q(\cdot)$ s.t.
 $|S_x| = 2n!$ (large) $\Rightarrow \Pr_r [\exists y \text{ s.t. } M(x, y, r) = 1] \geq 2/3$
 $|S_x| = n!$ (small) $\Rightarrow \Pr_r [\forall y \text{ s.t. } M(x, y, r) = 0] \geq 2/3$.
- **Proof**. For every $p \in [t]$: M uses c_p & x to check if $u_p \in S_x$. If yes, M checks if $h_{i_p}(u_p) = 0^k$.
- For a fixed p , what is the probability (over the randomness of i_p) there's a $u_p \in S_x$ s.t. $h_{i_p}(u_p) = 0^k$? We'll upper & lower bound this probability.

Set lower bound protocol (contd.)

- **Lemma ***. There's a poly-time TM M that takes input $x = (G_1, G_2)$, y & r , and a polynomial function $q(\cdot)$ s.t.
 $|S_x| = 2n!$ (large) $\Rightarrow \Pr_r [\exists y \text{ s.t. } M(x, y, r) = 1] \geq 2/3$
 $|S_x| = n!$ (small) $\Rightarrow \Pr_r [\forall y \text{ s.t. } M(x, y, r) = 0] \geq 2/3$.
- **Proof**. For every $p \in [t]$: M uses c_p & x to check if $u_p \in S_x$. If yes, M checks if $h_{i_p}(u_p) = 0^k$.
- **Simplifying notations**. As p is fixed, let $h_{i_p} = h$ and $u_p = u$.

Set lower bound protocol (contd.)

- Lemma ***. There's a poly-time TM M that takes input $x = (G_1, G_2)$, y & r , and a polynomial function $q(\cdot)$ s.t.
 $|S_x| = 2n!$ (large) $\Rightarrow \Pr_r [\exists y \text{ s.t. } M(x, y, r) = 1] \geq 2/3$
 $|S_x| = n!$ (small) $\Rightarrow \Pr_r [\forall y \text{ s.t. } M(x, y, r) = 0] \geq 2/3$.
- Proof.** For every $p \in [t]$: M uses c_p & x to check if $u_p \in S_x$. If yes, M checks if $h_{i_p}(u_p) = 0^k$.
- Upper bound.** $\Pr_h [\exists u \in S_x \text{ s.t. } h(u) = 0^k] \leq |S_x|/2^k$.
- As $H_{m,k}$ is pairwise independent, for every $u \in \{0,1\}^m$, $\Pr_h [h(u) = 0^k] = 2^{-k}$.

Set lower bound protocol (contd.)

- **Lemma ***. There's a poly-time TM **M** that takes input **x** = (G_1, G_2) , **y** & **r**, and a polynomial function **q(.)** s.t.
 $|S_x| = 2n!$ (large) $\Rightarrow \Pr_r [\exists y \text{ s.t. } M(x, y, r) = 1] \geq 2/3$
 $|S_x| = n!$ (small) $\Rightarrow \Pr_r [\forall y \text{ s.t. } M(x, y, r) = 0] \geq 2/3$.
- **Proof**. For every $p \in [t]$: **M** uses c_p & **x** to check if $u_p \in S_x$. If yes, **M** checks if $h_{i_p}(u_p) = 0^k$.
- **Lower bound**.

$$\begin{aligned} & \Pr_h [\exists u \in S_x \text{ s.t. } h(u) = 0^k] \\ & \geq \sum_{u \in S_x} \Pr_h [h(u) = 0^k] - \sum_{\substack{u, u' \in S_x \\ u \neq u'}} \Pr_h [h(u) = 0^k \text{ \& } h(u') = 0^k] \\ & \hspace{25em} \text{(by inclusion-exclusion principle)} \end{aligned}$$

Set lower bound protocol (contd.)

- **Lemma ***. There's a poly-time TM **M** that takes input **x** = (G_1, G_2) , **y** & **r**, and a polynomial function **q(.)** s.t.
 $|S_x| = 2n!$ (large) $\Rightarrow \Pr_r [\exists y \text{ s.t. } M(x, y, r) = 1] \geq 2/3$
 $|S_x| = n!$ (small) $\Rightarrow \Pr_r [\forall y \text{ s.t. } M(x, y, r) = 0] \geq 2/3$.
- **Proof**. For every $p \in [t]$: **M** uses c_p & **x** to check if $u_p \in S_x$. If yes, **M** checks if $h_{i_p}(u_p) = 0^k$.
- **Lower bound**.

$$\begin{aligned} & \Pr_h [\exists u \in S_x \text{ s.t. } h(u) = 0^k] \\ & \geq |S_x|/2^k - |S_x|^2 / 2^{2k+1}. \end{aligned} \quad (\text{as } H_{m,k} \text{ is pairwise independent})$$

Set lower bound protocol (contd.)

- **Lemma ***. There's a poly-time TM **M** that takes input $\mathbf{x} = (G_1, G_2)$, $\mathbf{y}$ & $\mathbf{r}$, and a polynomial function $q(\cdot)$ s.t.
 $|S_x| = 2n!$ (large) $\Rightarrow \Pr_r [\exists \mathbf{y} \text{ s.t. } M(\mathbf{x}, \mathbf{y}, \mathbf{r}) = 1] \geq 2/3$
 $|S_x| = n!$ (small) $\Rightarrow \Pr_r [\forall \mathbf{y} \text{ s.t. } M(\mathbf{x}, \mathbf{y}, \mathbf{r}) = 0] \geq 2/3$.
- **Proof**. For every $p \in [t]$: **M** uses c_p & $\mathbf{x}$ to check if $u_p \in S_x$. If yes, **M** checks if $h_{i_p}(u_p) = 0^k$.
- **Lower bound**.

$$\Pr_h [\exists u \in S_x \text{ s.t. } h(u) = 0^k] \\ \geq |S_x|/2^k \cdot (1 - |S_x|/2^{k+1}).$$

(as $H_{m,k}$ is pairwise independent)

Set lower bound protocol (contd.)

- **Lemma ***. There's a poly-time TM **M** that takes input **x** = (G_1, G_2) , **y** & **r**, and a polynomial function **q(.)** s.t.
 $|S_x| = 2n!$ (large) $\Rightarrow \Pr_r [\exists y \text{ s.t. } M(x, y, r) = 1] \geq 2/3$
 $|S_x| = n!$ (small) $\Rightarrow \Pr_r [\forall y \text{ s.t. } M(x, y, r) = 0] \geq 2/3$.
- **Proof**. For every $p \in [t]$: **M** uses c_p & **x** to check if $u_p \in S_x$. If yes, **M** checks if $h_{i_p}(u_p) = 0^k$.
- If $|S_x| = n!$ then (by the upper bound)
 $\Pr_h [\exists u \in S_x \text{ s.t. } h(u) = 0^k] \leq n!/2^k$.

Set lower bound protocol (contd.)

- Lemma ***. There's a poly-time TM M that takes input $x = (G_1, G_2)$, y & r , and a polynomial function $q(.)$ s.t.
 $|S_x| = 2n!$ (large) $\Rightarrow \Pr_r [\exists y \text{ s.t. } M(x, y, r) = 1] \geq 2/3$
 $|S_x| = n!$ (small) $\Rightarrow \Pr_r [\forall y \text{ s.t. } M(x, y, r) = 0] \geq 2/3$.
- Proof.** For every $p \in [t]$: M uses c_p & x to check if $u_p \in S_x$. If yes, M checks if $h_{i_p}(u_p) = 0^k$.
- If $|S_x| = n!$ then (by the upper bound)
 $\Pr_h [\exists u \in S_x \text{ s.t. } h(u) = 0^k] \leq n!/2^k$. Hence,
- $\text{Exp}_r [|\{p \in [t] : \exists u_p \in S_x \text{ s.t. } h_{i_p}(u_p) = 0^k\}|] \leq t \cdot n!/2^k$.

Set lower bound protocol (contd.)

- Lemma ***. There's a poly-time TM M that takes input $x = (G_1, G_2)$, y & r , and a polynomial function $q(\cdot)$ s.t.
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- Proof.** For every $p \in [t]$: M uses c_p & x to check if $u_p \in S_x$. If yes, M checks if $h_{i_p}(u_p) = 0^k$.
- Choosing k .** Fix k s.t. $2^{k-2} < 2n! \leq 2^{k-1}$.
- If $|S_x| = 2n!$ then (by the lower bound)

$$\Pr_h [\exists u \in S_x \text{ s.t. } h(u) = 0^k] \geq |S_x|/2^k \cdot (1 - |S_x|/2^{k+1})$$

$$\geq |S_x|/2^k \cdot 3/4 = 3/2 \cdot n!/2^k$$

Set lower bound protocol (contd.)

- **Lemma ***. There's a poly-time TM **M** that takes input $\mathbf{x} = (G_1, G_2)$, $\mathbf{y}$ & $\mathbf{r}$, and a polynomial function $q(\cdot)$ s.t.
 $|S_x| = 2n!$ (large) $\Rightarrow \Pr_r [\exists \mathbf{y} \text{ s.t. } M(\mathbf{x}, \mathbf{y}, \mathbf{r}) = 1] \geq 2/3$
 $|S_x| = n!$ (small) $\Rightarrow \Pr_r [\forall \mathbf{y} \text{ s.t. } M(\mathbf{x}, \mathbf{y}, \mathbf{r}) = 0] \geq 2/3$.
- **Proof**. For every $p \in [t]$: **M** uses c_p & $\mathbf{x}$ to check if $u_p \in S_x$. If yes, **M** checks if $h_{i_p}(u_p) = 0^k$.
- **Choosing k**. Fix k s.t. $2^{k-2} < 2n! \leq 2^{k-1}$.
- If $|S_x| = 2n!$ then (by the lower bound)
 $\Pr_h [\exists u \in S_x \text{ s.t. } h(u) = 0^k] \geq 3/2 \cdot n!/2^k$. Hence,
- $\text{Exp}_r [|\{p \in [t] : \exists u_p \in S_x \text{ s.t. } h_{i_p}(u_p) = 0^k\}|] \geq 3/2 \cdot t \cdot n!/2^k$.

Set lower bound protocol (contd.)

- **Lemma ***. There's a poly-time TM **M** that takes input $\mathbf{x} = (G_1, G_2)$, $\mathbf{y}$ & $\mathbf{r}$, and a polynomial function $q(\cdot)$ s.t.
 $|S_x| = 2n!$ (large) $\Rightarrow \Pr_r [\exists \mathbf{y} \text{ s.t. } M(\mathbf{x}, \mathbf{y}, \mathbf{r}) = 1] \geq 2/3$
 $|S_x| = n!$ (small) $\Rightarrow \Pr_r [\forall \mathbf{y} \text{ s.t. } M(\mathbf{x}, \mathbf{y}, \mathbf{r}) = 0] \geq 2/3$.
- **Proof**. For every $p \in [t]$: **M** uses c_p & $\mathbf{x}$ to check if $u_p \in S_x$. If yes, **M** checks if $h_{i_p}(u_p) = 0^k$.
- If $|S_x| = 2n!$ then
 $\text{Exp}_r [|\{p \in [t] : \exists u_p \in S_x \text{ s.t. } h_{i_p}(u_p) = 0^k\}|] \geq 3/2 \cdot t \cdot n!/2^k$.
- If $|S_x| = n!$ then
 $\text{Exp}_r [|\{p \in [t] : \exists u_p \in S_x \text{ s.t. } h_{i_p}(u_p) = 0^k\}|] \leq t \cdot n!/2^k$.

Set lower bound protocol (contd.)

- Lemma ***. There's a poly-time TM **M** that takes input $\mathbf{x} = (G_1, G_2)$, $\mathbf{y}$ & $\mathbf{r}$, and a polynomial function $q(\cdot)$ s.t.
 $|S_x| = 2n!$ (large) $\Rightarrow \Pr_r [\exists \mathbf{y} \text{ s.t. } M(\mathbf{x}, \mathbf{y}, \mathbf{r}) = 1] \geq 2/3$
 $|S_x| = n!$ (small) $\Rightarrow \Pr_r [\forall \mathbf{y} \text{ s.t. } M(\mathbf{x}, \mathbf{y}, \mathbf{r}) = 0] \geq 2/3$.
- Proof.** For every $p \in [t]$: **M** uses c_p & $\mathbf{x}$ to check if $u_p \in S_x$. If yes, **M** checks if $h_{i_p}(u_p) = 0^k$.
- If $|S_x| = 2n!$ then

$$\text{Exp}_r [|\{p \in [t] : \exists u_p \in S_x \text{ s.t. } h_{i_p}(u_p) = 0^k\}|] \geq 3/2 \cdot t \cdot n!/2^k.$$
- If $|S_x| = n!$ then

$$\text{Exp}_r [|\{p \in [t] : \exists u_p \in S_x \text{ s.t. } h_{i_p}(u_p) = 0^k\}|] \leq t \cdot n!/2^k.$$

 gap

Set lower bound protocol (contd.)

- **Lemma ***. There's a poly-time TM **M** that takes input **x** = (G_1, G_2) , **y** & **r**, and a polynomial function **q(.)** s.t.
 $|S_x| = 2n!$ (large) $\Rightarrow \Pr_r [\exists y \text{ s.t. } M(x, y, r) = 1] \geq 2/3$
 $|S_x| = n!$ (small) $\Rightarrow \Pr_r [\forall y \text{ s.t. } M(x, y, r) = 0] \geq 2/3$.
- **Proof**. For every $p \in [t]$: **M** uses c_p & **x** to check if $u_p \in S_x$. If yes, **M** checks if $h_{i_p}(u_p) = 0^k$.
- If $|S_x| = 2n!$, by Chernoff bd. & $n!/2^k \in [1/8, 1/4]$,
 $\Pr_r [|\{p \in [t] : \exists u_p \in S_x \text{ s.t. } h_{i_p}(u_p) = 0^k\}| \geq 1.4 \cdot t \cdot n!/2^k] \geq 2/3$.
- If $|S_x| = n!$, by Chernoff/Markov bd. & $n!/2^k \in [1/8, 1/4]$
 $\Pr_r [|\{p \in [t] : \exists u_p \in S_x \text{ s.t. } h_{i_p}(u_p) = 0^k\}| < 1.4 \cdot t \cdot n!/2^k] \geq 2/3$.

(Easy homework)

Set lower bound protocol (contd.)

- **Lemma ***. There's a poly-time TM **M** that takes input $\mathbf{x} = (G_1, G_2)$, $\mathbf{y}$ & $\mathbf{r}$, and a polynomial function $q(\cdot)$ s.t.
 $|S_x| = 2n!$ (large) $\Rightarrow \Pr_r [\exists \mathbf{y} \text{ s.t. } M(\mathbf{x}, \mathbf{y}, \mathbf{r}) = 1] \geq 2/3$
 $|S_x| = n!$ (small) $\Rightarrow \Pr_r [\forall \mathbf{y} \text{ s.t. } M(\mathbf{x}, \mathbf{y}, \mathbf{r}) = 0] \geq 2/3$.
- **Proof.** For every $p \in [t]$: **M** uses c_p & $\mathbf{x}$ to check if $u_p \in S_x$. If yes, **M** checks if $h_{i_p}(u_p) = 0^k$. $t^* = 1.4 \cdot t \cdot n!/2^k$
- If $|S_x| = 2n!$, by Chernoff bd. & $n!/2^k \in [1/8, 1/4]$,
 $\Pr_r [|\{p \in [t] : \exists u_p \in S_x \text{ s.t. } h_{i_p}(u_p) = 0^k\}| \geq 1.4 \cdot t \cdot n!/2^k] \geq 2/3$.
- If $|S_x| = n!$, by Chernoff/Markov bd. & $n!/2^k \in [1/8, 1/4]$
 $\Pr_r [|\{p \in [t] : \exists u_p \in S_x \text{ s.t. } h_{i_p}(u_p) = 0^k\}| < 1.4 \cdot t \cdot n!/2^k] \geq 2/3$.

Set lower bound protocol (contd.)

- **Lemma ***. There's a poly-time TM **M** that takes input **x** = (G_1, G_2) , **y** & **r**, and a polynomial function **q(.)** s.t.
 $|S_x| = 2n!$ (large) $\Rightarrow \Pr_r [\exists y \text{ s.t. } M(x, y, r) = 1] \geq 2/3$
 $|S_x| = n!$ (small) $\Rightarrow \Pr_r [\forall y \text{ s.t. } M(x, y, r) = 0] \geq 2/3$.
- **Proof**. For every $p \in [t]$: **M** uses c_p & **x** to check if $u_p \in S_x$. If yes, **M** checks if $h_{i_p}(u_p) = 0^k$. $t^* = 1.4 \cdot t \cdot n! / 2^k$
- If $|S_x| = 2n!$ then
 $\Pr_r [|\{p \in [t] : \exists u_p \in S_x \text{ s.t. } h_{i_p}(u_p) = 0^k\}| \geq t^*] \geq 2/3$.
- If $|S_x| = n!$ then
 $\Pr_r [|\{p \in [t] : \exists u_p \in S_x \text{ s.t. } h_{i_p}(u_p) = 0^k\}| < t^*] \geq 2/3$.

Set lower bound protocol (contd.)

- **Lemma ***. There's a poly-time TM M that takes input $x = (G_1, G_2)$, y & r , and a polynomial function $q(\cdot)$ s.t.
 $|S_x| = 2n!$ (large) $\Rightarrow \Pr_r [\exists y \text{ s.t. } M(x, y, r) = 1] \geq 2/3$
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- **Proof.** For every $p \in [t]$: M uses c_p & x to check if $u_p \in S_x$. If yes, M checks if $h_{i_p}(u_p) = 0^k$. $t^* = 1.4 \cdot t \cdot n! / 2^k$
- If $|S_x| = 2n!$ then
 $\Pr_r [\exists y \text{ s.t. } M(x, y, r) = 1] \geq 2/3$.
- If $|S_x| = n!$ then
 $\Pr_r [\forall y \text{ s.t. } M(x, y, r) = 0] \geq 2/3$.

