

Introduction to Context-Free Grammars

Deepak D'Souza

Department of Computer Science and Automation
Indian Institute of Science, Bangalore.

29 September 2016

Outline

- 1 Intro
- 2 Examples
- 3 Formal Definitions
- 4 Leftmost derivation and parse trees
- 5 Proving grammars correct

Why study Context-Free Grammars?

- Arise naturally in syntax of programming languages, parsing, compiling.
- Characterize languages accepted by Pushdown automata.
- Pushdown automata are important class of system models:
 - They can model programs with procedure calls
 - Can model other infinite-state systems.
- Easier to prove properties of Pushdown languages using CFG's:
 - Pumping lemma
 - Ultimate periodicity
 - $PDA = PDA \text{ without } \epsilon\text{-transitions}$.
- Parsing algo leads to solution to “CFL reachability” problem:
Given a finite A -labelled graph, a CFG G , are two given vertices u and v connected by a path whose label is in $L(G)$.

Context-Free Grammars: Example 1

CFG G_1

$$S \rightarrow aX$$

$$X \rightarrow aX$$

$$X \rightarrow bX$$

$$X \rightarrow b$$

Derivation of a string: Begin with S and keep rewriting the current string by replacing a non-terminal by its RHS in a production of the grammar.

Example derivation:

S

Context-Free Grammars: Example 1

CFG G_1

$$S \rightarrow aX$$

$$X \rightarrow aX$$

$$X \rightarrow bX$$

$$X \rightarrow b$$

Derivation of a string: Begin with S and keep rewriting the current string by replacing a non-terminal by its RHS in a production of the grammar.

Example derivation:

$$S \Rightarrow aX$$

Context-Free Grammars: Example 1

CFG G_1

$$S \rightarrow aX$$

$$X \rightarrow aX$$

$$X \rightarrow bX$$

$$X \rightarrow b$$

Derivation of a string: Begin with S and keep rewriting the current string by replacing a non-terminal by its RHS in a production of the grammar.

Example derivation:

$$S \Rightarrow aX \Rightarrow abX$$

Context-Free Grammars: Example 1

CFG G_1

$$S \rightarrow aX$$
$$X \rightarrow aX$$
$$X \rightarrow bX$$
$$X \rightarrow b$$

Derivation of a string: Begin with S and keep rewriting the current string by replacing a non-terminal by its RHS in a production of the grammar.

Example derivation:

$$S \Rightarrow aX \Rightarrow abX \Rightarrow abb.$$

Context-Free Grammars: Example 1

CFG G_1

$$S \rightarrow aX$$

$$X \rightarrow aX$$

$$X \rightarrow bX$$

$$X \rightarrow b$$

Derivation of a string: Begin with S and keep rewriting the current string by replacing a non-terminal by its RHS in a production of the grammar.

Example derivation:

$$S \Rightarrow aX \Rightarrow abX \Rightarrow abb.$$

Language defined by G , written $L(G)$, is the set of all terminal strings that can be generated by G .

Context-Free Grammars: Example 1

CFG G_1

$$S \rightarrow aX$$

$$X \rightarrow aX$$

$$X \rightarrow bX$$

$$X \rightarrow b$$

Derivation of a string: Begin with S and keep rewriting the current string by replacing a non-terminal by its RHS in a production of the grammar.

Example derivation:

$$S \Rightarrow aX \Rightarrow abX \Rightarrow abb.$$

Language defined by G , written $L(G)$, is the set of all terminal strings that can be generated by G .

What is the language defined by G_1 above?

Context-Free Grammars: Example 1

CFG G_1

$$S \rightarrow aX$$

$$X \rightarrow aX$$

$$X \rightarrow bX$$

$$X \rightarrow b$$

Derivation of a string: Begin with S and keep rewriting the current string by replacing a non-terminal by its RHS in a production of the grammar.

Example derivation:

$$S \Rightarrow aX \Rightarrow abX \Rightarrow abb.$$

Language defined by G , written $L(G)$, is the set of all terminal strings that can be generated by G .

What is the language defined by G_1 above? $a(a + b)^*b$.

Context-Free Grammars: Example 2

CFG G_2

$$S \rightarrow aSb$$

$$S \rightarrow \epsilon.$$

Example derivation:

S

Context-Free Grammars: Example 2

CFG G_2

$$S \rightarrow aSb$$

$$S \rightarrow \epsilon.$$

Example derivation:

$$S \Rightarrow aSb$$

Context-Free Grammars: Example 2

CFG G_2

$$S \rightarrow aSb$$

$$S \rightarrow \epsilon.$$

Example derivation:

$$S \Rightarrow aSb \Rightarrow aaSbb$$

Context-Free Grammars: Example 2

CFG G_2

$$S \rightarrow aSb$$

$$S \rightarrow \epsilon.$$

Example derivation:

$$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aaaSbbb \Rightarrow aaabbb.$$

Context-Free Grammars: Example 2

CFG G_2

$$S \rightarrow aSb$$

$$S \rightarrow \epsilon.$$

Example derivation:

$$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aaaSbbb \Rightarrow aaabbbb.$$

What is the language defined by G_2 above?

Context-Free Grammars: Example 2

CFG G_2

$$S \rightarrow aSb$$

$$S \rightarrow \epsilon.$$

Example derivation:

$$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aaaSbbb \Rightarrow aaabbbb.$$

What is the language defined by G_2 above? $\{a^n b^n \mid n \geq 0\}$.

Context-Free Grammars: Example 3

CFG G_3

$$S \rightarrow aSa \mid bSb \mid a \mid b \mid \epsilon.$$

Example derivation:

S

Context-Free Grammars: Example 3

CFG G_3

$$S \rightarrow aSa \mid bSb \mid a \mid b \mid \epsilon.$$

Example derivation:

$$S \Rightarrow aSa$$

Context-Free Grammars: Example 3

CFG G_3

$$S \rightarrow aSa \mid bSb \mid a \mid b \mid \epsilon.$$

Example derivation:

$$S \Rightarrow aSa \Rightarrow abSba$$

Context-Free Grammars: Example 3

CFG G_3

$$S \rightarrow aSa \mid bSb \mid a \mid b \mid \epsilon.$$

Example derivation:

$$S \Rightarrow aSa \Rightarrow abSba \Rightarrow abbSbba \Rightarrow abbbba.$$

Context-Free Grammars: Example 3

CFG G_3

$$S \rightarrow aSa \mid bSb \mid a \mid b \mid \epsilon.$$

Example derivation:

$$S \Rightarrow aSa \Rightarrow abSba \Rightarrow abbSbba \Rightarrow abbbba.$$

What is the language defined by G_3 above?

Context-Free Grammars: Example 3

CFG G_3

$$S \rightarrow aSa \mid bSb \mid a \mid b \mid \epsilon.$$

Example derivation:

$$S \Rightarrow aSa \Rightarrow abSba \Rightarrow abbSbba \Rightarrow abbbba.$$

What is the language defined by G_3 above? Palindromes:
 $\{w \in \{a, b\}^* \mid w = w^R\}.$

Context-Free Grammars: Example 4

CFG G_4

$$S \rightarrow (S) \mid SS \mid \epsilon.$$

Context-Free Grammars: Example 4

CFG G_4

$$S \rightarrow (S) \mid SS \mid \epsilon.$$

Exercise: Derive “(((())())())”.

Context-Free Grammars: Example 4

CFG G_4

$$S \rightarrow (S) \mid SS \mid \epsilon.$$

Exercise: Derive “(((())())())”.

s

Context-Free Grammars: Example 4

CFG G_4

$$S \rightarrow (S) \mid SS \mid \epsilon.$$

Exercise: Derive “(((())())())”.

$$S \Rightarrow (S)$$

Context-Free Grammars: Example 4

CFG G_4

$$S \rightarrow (S) \mid SS \mid \epsilon.$$

Exercise: Derive “(((())())())”.

$$\begin{aligned} S &\Rightarrow (S) \\ &\Rightarrow (SS) \end{aligned}$$

Context-Free Grammars: Example 4

CFG G_4

$$S \rightarrow (S) \mid SS \mid \epsilon.$$

Exercise: Derive “(((())())())”.

$$\begin{aligned} S &\Rightarrow (S) \\ &\Rightarrow (SS) \\ &\Rightarrow (SSS) \end{aligned}$$

Context-Free Grammars: Example 4

CFG G_4

$$S \rightarrow (S) \mid SS \mid \epsilon.$$

Exercise: Derive “(((())())())”.

$$\begin{aligned} S &\Rightarrow (S) \\ &\Rightarrow (SS) \\ &\Rightarrow (SSS) \\ &\Rightarrow ((S)SS) \end{aligned}$$

Context-Free Grammars: Example 4

CFG G_4

$$S \rightarrow (S) \mid SS \mid \epsilon.$$

Exercise: Derive “(((())())())”.

$$\begin{aligned} S &\Rightarrow (S) \\ &\Rightarrow (SS) \\ &\Rightarrow (SSS) \\ &\Rightarrow ((S)SS) \\ &\Rightarrow ((SS)SS) \end{aligned}$$

Context-Free Grammars: Example 4

CFG G_4

$$S \rightarrow (S) \mid SS \mid \epsilon.$$

Exercise: Derive “(((())())())”.

$$\begin{aligned} S &\Rightarrow (S) \\ &\Rightarrow (SS) \\ &\Rightarrow (SSS) \\ &\Rightarrow ((S)SS) \\ &\Rightarrow ((SS)SS) \\ &\Rightarrow (((S)S)SS) \end{aligned}$$

Context-Free Grammars: Example 4

CFG G_4

$$S \rightarrow (S) \mid SS \mid \epsilon.$$

Exercise: Derive “(((())())())”.

$$\begin{aligned} S &\Rightarrow (S) \\ &\Rightarrow (SS) \\ &\Rightarrow (SSS) \\ &\Rightarrow ((S)SS) \\ &\Rightarrow ((SS)SS) \\ &\Rightarrow (((S)S)SS) \\ &\Rightarrow (((S)S)SS) \end{aligned}$$

Context-Free Grammars: Example 4

CFG G_4

$$S \rightarrow (S) \mid SS \mid \epsilon.$$

Exercise: Derive “(((())())())”.

$S \Rightarrow (S)$
 $\Rightarrow (SS)$
 $\Rightarrow (SSS)$
 $\Rightarrow ((S)SS)$
 $\Rightarrow ((SS)SS)$
 $\Rightarrow (((S)S)SS)$
 $\Rightarrow (((()S)SS)$
 $\Rightarrow (((())S)SS)$

Context-Free Grammars: Example 4

CFG G_4

$$S \rightarrow (S) \mid SS \mid \epsilon.$$

Exercise: Derive “(((())())())”.

$S \Rightarrow (S)$
 $\Rightarrow (SS)$
 $\Rightarrow (SSS)$
 $\Rightarrow ((S)SS)$
 $\Rightarrow ((SS)SS)$
 $\Rightarrow (((S)S)SS)$
 $\Rightarrow (((()S)SS)$
 $\Rightarrow (((()S))SS)$
 $\Rightarrow (((()S))SS)$

Context-Free Grammars: Example 4

CFG G_4

$$S \rightarrow (S) \mid SS \mid \epsilon.$$

Exercise: Derive “((()())())”.

S

- $\Rightarrow (S)$
- $\Rightarrow (SS)$
- $\Rightarrow (SSS)$
- $\Rightarrow ((S)SS)$
- $\Rightarrow ((SS)SS)$
- $\Rightarrow (((S)S)SS)$
- $\Rightarrow (((S))SS)$
- $\Rightarrow (((()S))SS)$
- $\Rightarrow (((())SS)$
- $\Rightarrow (((())(S)S)$

Context-Free Grammars: Example 4

CFG G_4

$$S \rightarrow (S) \mid SS \mid \epsilon.$$

Exercise: Derive “(((())())())”.

$S \Rightarrow (S)$
 $\Rightarrow (SS)$
 $\Rightarrow (SSS)$
 $\Rightarrow ((S)SS)$
 $\Rightarrow ((SS)SS)$
 $\Rightarrow (((S)S)SS)$
 $\Rightarrow (((()S)SS)$
 $\Rightarrow (((()S))SS)$
 $\Rightarrow (((()())SS)$
 $\Rightarrow (((()())S)S)$
 $\Rightarrow (((()())()S)$

Context-Free Grammars: Example 4

CFG G_4

$$S \rightarrow (S) \mid SS \mid \epsilon.$$

Exercise: Derive “(((())())())”.

$S \Rightarrow (S)$
 $\Rightarrow (SS)$
 $\Rightarrow (SSS)$
 $\Rightarrow ((S)SS)$
 $\Rightarrow ((SS)SS)$
 $\Rightarrow (((S)S)SS)$
 $\Rightarrow (((()S)SS)$
 $\Rightarrow (((())S)SS)$
 $\Rightarrow (((())(S))SS)$
 $\Rightarrow (((())(S))S)$
 $\Rightarrow (((())(S))S)$
 $\Rightarrow (((())(S))S)$
 $\Rightarrow (((())(S))S)$

CFG G_4

$$S \rightarrow (S) \mid SS \mid \epsilon.$$

Exercise: Derive “((()())()())”.

[illegible]

What is the language defined by G_4 above?

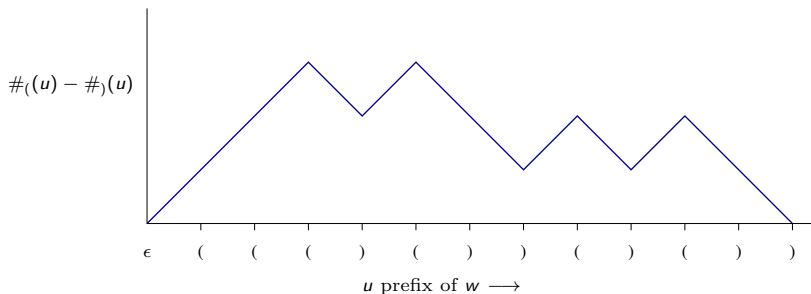
Balanced Parenthesis: $w \in \{(,)\}^*$ such that

- $\#_I(w) = \#_J(w)$, and
- for each prefix u of w , $\#_I(u) \geq \#_J(u)$.

Visualizing balanced parenthesis

Balanced Parenthesis: $w \in \{ (,) \}^*$ such that

- $\#_((w) = \#_)(w)$, and
- for each prefix u of w , $\#_((u) \geq \#_)(u)$.



CFG's more formally

A Context-Free Grammar (CFG) is of the form

$$G = (N, A, S, P)$$

where

- N is a finite set of **non-terminal** symbols
- A is a finite set of **terminal** symbols.
- $S \in N$ is the **start** non-terminal symbol.
- P is a finite subset of $N \times (N \cup A)^*$, called the set of **productions** or **rules**. Productions are written as

$$X \rightarrow \alpha.$$

Derivations, language etc.

- “ α derives β in 0 or more steps”: $\alpha \Rightarrow_G^* \beta$.
- First define $\alpha \Rightarrow^n \beta$ inductively:
 - $\alpha \xRightarrow{1} \beta$ iff α is of the form $\alpha_1 X \alpha_2$ and $X \rightarrow \gamma$ is a production in P , and $\beta = \alpha_1 \gamma \alpha_2$.
 - $\alpha \xRightarrow{n+1} \beta$ iff there exists γ such that $\alpha \xRightarrow{n} \gamma$ and $\gamma \xRightarrow{1} \beta$.
- **Sentential form** of G : any $\alpha \in (N \cup A)^*$ such that $S \Rightarrow_G^* \alpha$.
- Language defined by G :

$$L(G) = \{w \in A^* \mid S \Rightarrow_G^* w\}.$$

- $L \subseteq A^*$ is called a **Context-Free Language** (CFL) if there is a CFG G such that $L = L(G)$.

Leftmost derivations

- A **leftmost** derivation in G is a derivation sequence in which at each step the **leftmost** non-terminal in the sentential form is re-written.
- Example:

S

Leftmost derivations

- A **leftmost** derivation in G is a derivation sequence in which at each step the **leftmost** non-terminal in the sentential form is re-written.
- Example:

$$\underline{S} \Rightarrow (\underline{S})$$

Leftmost derivations

- A **leftmost** derivation in G is a derivation sequence in which at each step the **leftmost** non-terminal in the sentential form is re-written.
- Example:

$$\begin{aligned}\underline{S} &\Rightarrow (\underline{S}) \\ &\Rightarrow (\underline{SS})\end{aligned}$$

Leftmost derivations

- A **leftmost** derivation in G is a derivation sequence in which at each step the **leftmost** non-terminal in the sentential form is re-written.
- Example:

$$\begin{aligned}\underline{S} &\Rightarrow (\underline{S}) \\ &\Rightarrow (\underline{SS}) \\ &\Rightarrow ((\underline{S})S)\end{aligned}$$

Leftmost derivations

- A **leftmost** derivation in G is a derivation sequence in which at each step the **leftmost** non-terminal in the sentential form is re-written.
- Example:

$$\begin{aligned}\underline{S} &\Rightarrow (\underline{S}) \\ &\Rightarrow (\underline{SS}) \\ &\Rightarrow ((\underline{S})S) \\ &\Rightarrow (() \underline{S})\end{aligned}$$

Leftmost derivations

- A **leftmost** derivation in G is a derivation sequence in which at each step the **leftmost** non-terminal in the sentential form is re-written.
- Example:

$$\begin{aligned}\underline{S} &\Rightarrow (\underline{S}) \\ &\Rightarrow (\underline{SS}) \\ &\Rightarrow ((\underline{S})S) \\ &\Rightarrow ((\underline{)})S \\ &\Rightarrow ((\underline{S}))\end{aligned}$$

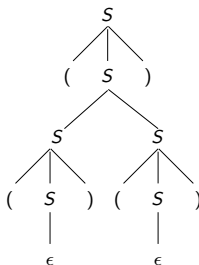
Leftmost derivations

- A **leftmost** derivation in G is a derivation sequence in which at each step the **leftmost** non-terminal in the sentential form is re-written.
- Example:

$$\begin{aligned}\underline{S} &\Rightarrow (\underline{S}) \\ &\Rightarrow (\underline{SS}) \\ &\Rightarrow ((\underline{S})S) \\ &\Rightarrow (() \underline{S}) \\ &\Rightarrow (() (\underline{S})) \\ &\Rightarrow (() ())\end{aligned}$$

Parse trees

Derivation represented as parse tree:

$$\begin{aligned}\underline{S} &\Rightarrow (\underline{S}) \\ &\Rightarrow (\underline{SS}) \\ &\Rightarrow ((\underline{S})S) \\ &\Rightarrow ((\underline{S})S) \\ &\Rightarrow ((\underline{S})S) \\ &\Rightarrow ((\underline{S})S) \\ &\Rightarrow ((\underline{S})S)\end{aligned}$$


- Sentential form can be read off from the leaves of the parse tree in a left-to-right manner.
- Leftmost derivations and parse trees represent each other.

Proving that a CFG accepts a certain language

CFG G_1

$$S \rightarrow aX$$
$$X \rightarrow aX$$
$$X \rightarrow bX$$
$$X \rightarrow b$$

Prove that $L(G_1) = a(a + b)^*b$.

Proving that a CFG accepts a certain language

CFG G_1

$$S \rightarrow aX$$

$$X \rightarrow aX$$

$$X \rightarrow bX$$

$$X \rightarrow b$$

Prove that $L(G_1) = a(a + b)^*b$.

- Show that $L(G_1) \subseteq L(a(a + b)^*b)$, and $L(a(a + b)^*b) \subseteq L(G_1)$.
- Use induction statement that talks about **sentential forms** rather than just terminal strings.
- Eg: “ $P(n)$: If $S \xRightarrow{n}_{G_1} \alpha$ then α is of the form S , auX , or aub .”
- Follows that all terminal sentential forms are of the form “ aub ” $\in L(a(a + b)^*b)$.
- For $L(a(a + b)^*b) \subseteq L(G_1)$ use induction statement “If $|u| = n$ then $S \Rightarrow_{G_1}^* auX$.”

Proving that a CFG accepts a certain language

CFG G_2

$$S \rightarrow aSb$$

$$S \rightarrow \epsilon.$$

Prove that $L(G_2) = \{a^n b^n \mid n \geq 0\}$.

Proving that a CFG accepts a certain language

CFG G_4

$$S \rightarrow (S) \mid SS \mid \epsilon.$$

Prove that $L(G_4) = \text{BP}$.

Visualizing balanced parenthesis

Balanced Parenthesis: $w \in \{ (,) \}^*$ such that

- $\#_((w) = \#_)(w)$, and
- for each prefix u of w , $\#_((u) \geq \#_)(u)$.

