

Department of Computer Science & Automation

Indian Institute of Science

Nested Word Automata

Seminar Presentation

08 Dec 16

Dara Singh Vohra

Jibin Surendran

Ashok Rawat

Overview

Motivation and background

Nested words and their acceptors

Determinization proof

Conclusion

Overview

Motivation and background

- Common languages

- Visibly pushdown languages

- Nested words and their acceptors

- Determinization proof

- Conclusion

Regular language

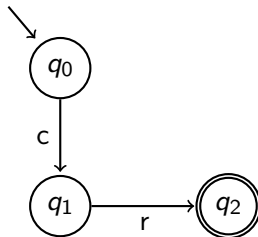
```
1 procedure foo()  
2 {  
3     return ;  
4 }
```

$$\mathcal{L}_1 = \{c r\}$$

Regular language

```
1 procedure foo()  
2 {  
3     return ;  
4 }
```

$$\mathcal{L}_1 = \{c\ r\}$$



(det.) Context-free language

```
1 procedure bar ()  
2 {  
3     if (*)  
4         call bar ();  
5     return ;  
6 }
```

$$\mathcal{L}_2 = \{c^n r^n \mid n > 0\}$$

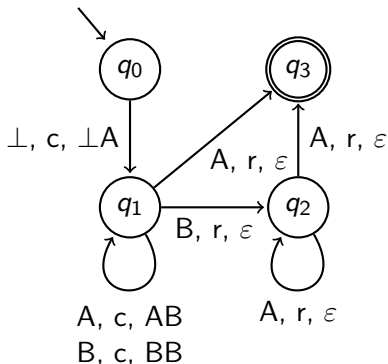
(det.) Context-free language

```

1  procedure bar ()
2  {
3      if (*)
4          call bar ();
5      return;
6  }

```



$$\mathcal{L}_2 = \{c^n r^n \mid n > 0\}$$






Comparison

😊	regular	context-free
comparison of numbers	constants	two variables
closure		
decidability		
determinize		





Comparison

 	regular	context-free
comparison of numbers	constants	two variables
closure	all standard properties	not under intersection and complementation
decidability		
determinize		

Comparison

  	regular	context-free
comparison of numbers	constants	two variables
closure	all standard properties	not under intersection and complementation
decidability	all standard problems	intersection, inclusion, equivalence undecidable
determinize		

Comparison

   	regular	context-free
comparison of numbers	constants	two variables
closure	all standard properties	not under intersection and complementation
decidability	all standard problems	intersection, inclusion, equivalence undecidable
determinize	powerset construction	not possible

Comparison

😊 😐 😞 😡	regular	context-free
comparison of numbers	constants	two variables
closure	all standard properties	not under intersection and complementation
decidability	all standard problems	intersection, inclusion, equivalence undecidable
determinize	powerset construction	not possible

Question: Is there some class of languages in between that is more expressive than regular languages, but keeps their nice properties?

Comparison

😊 😐 😞 😡	regular	context-free
comparison of numbers	constants	two variables
closure	all standard properties	not under intersection and complementation
decidability	all standard problems	intersection, inclusion, equivalence undecidable
determinize	powerset construction	not possible

Question: Is there some class of languages in between that is more expressive than regular languages, but keeps their nice properties?

Answer (Alur & Madhusudan 2004): yes, at least in some sense

Visibly pushdown languages (VPLs)

A *visibly pushdown language* (VPL) is the language accepted by a *visibly pushdown automaton* (VPA).

A VPA $\mathcal{A} = \langle Q, q_0, Q_f, \Sigma, \Gamma, \perp, \delta \rangle$ is a deterministic PDA with special rules: Determined by the input symbol, only one symbol per **push** is allowed and reading the stack implies a **pop**.

Visibly pushdown languages (VPLs)

A *visibly pushdown language* (VPL) is the language accepted by a *visibly pushdown automaton* (VPA).

A VPA $\mathcal{A} = \langle Q, q_0, Q_f, \Sigma, \Gamma, \perp, \delta \rangle$ is a deterministic PDA with special rules: Determined by the input symbol, only one symbol per **push** is allowed and reading the stack implies a **pop**.

- states, initial state, final states, stack alphabet, bottom-of-stack symbol (no change here),

Visibly pushdown languages (VPLs)

A *visibly pushdown language* (VPL) is the language accepted by a *visibly pushdown automaton* (VPA).

A VPA $\mathcal{A} = \langle Q, q_0, Q_f, \Sigma, \Gamma, \perp, \delta \rangle$ is a deterministic PDA with special rules: Determined by the input symbol, only one symbol per **push** is allowed and reading the stack implies a **pop**.

- states, initial state, final states, stack alphabet, bottom-of-stack symbol (no change here),
- partitioning of the input alphabet: $\Sigma = \Sigma_i \uplus \Sigma_c \uplus \Sigma_r$,

Visibly pushdown languages (VPLs)

A *visibly pushdown language* (VPL) is the language accepted by a *visibly pushdown automaton* (VPA).

A VPA $\mathcal{A} = \langle Q, q_0, Q_f, \Sigma, \Gamma, \perp, \delta \rangle$ is a deterministic PDA with special rules: Determined by the input symbol, only one symbol per **push** is allowed and reading the stack implies a **pop**.

- states, initial state, final states, stack alphabet, bottom-of-stack symbol (no change here),
- partitioning of the input alphabet: $\Sigma = \Sigma_i \uplus \Sigma_c \uplus \Sigma_r$,
- $\delta = \delta_i \uplus \delta_c \uplus \delta_r$,
 - $\delta_i \subseteq Q \times \Sigma_i \rightarrow Q$
 - $\delta_c \subseteq Q \times \Sigma_c \rightarrow (\Gamma \setminus \{\perp\}) \times Q$
 - $\delta_r \subseteq Q \times \Sigma_r \times \Gamma \rightarrow Q$

Visibly pushdown languages (VPLs)

A *visibly pushdown language* (VPL) is the language accepted by a *visibly pushdown automaton* (VPA).

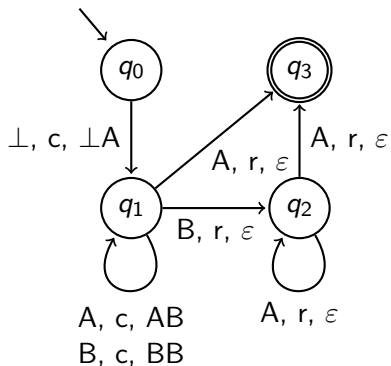
A VPA $\mathcal{A} = \langle Q, q_0, Q_f, \Sigma, \Gamma, \perp, \delta \rangle$ is a deterministic PDA with special rules: Determined by the input symbol, only one symbol per **push** is allowed and reading the stack implies a **pop**.

- states, initial state, final states, stack alphabet, bottom-of-stack symbol (no change here),
- partitioning of the input alphabet: $\Sigma = \Sigma_i \uplus \Sigma_c \uplus \Sigma_r$,
- $\delta = \delta_i \uplus \delta_c \uplus \delta_r$,
 - $\delta_i \subseteq Q \times \Sigma_i \rightarrow Q$
 - $\delta_c \subseteq Q \times \Sigma_c \rightarrow (\Gamma \setminus \{\perp\}) \times Q$
 - $\delta_r \subseteq Q \times \Sigma_r \times \Gamma \rightarrow Q$

Note: pops occur implicitly, \perp never popped, no ε

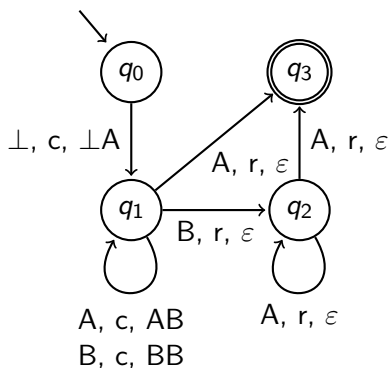
\mathcal{L}_2 as VPL

Consider again $\mathcal{L}_2 = \{c^n r^n \mid n > 0\}$.



\mathcal{L}_2 as VPL

Consider again $\mathcal{L}_2 = \{c^n r^n \mid n > 0\}$. We construct a VPA for \mathcal{L}_2 .

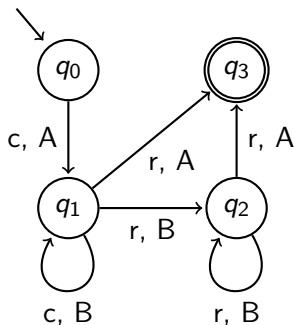


Partitioning:

$$\Sigma_i = \emptyset, \Sigma_c = \{c\}, \Sigma_r = \{r\}$$

\mathcal{L}_2 as VPL

Consider again $\mathcal{L}_2 = \{c^n r^n \mid n > 0\}$. We construct a VPA for \mathcal{L}_2 .



Partitioning:

$$\Sigma_i = \emptyset, \Sigma_c = \{c\}, \Sigma_r = \{r\}$$

$$\delta_c = \{ (q_0, c, A, q_1), \\ (q_1, c, B, q_1) \}$$

$$\delta_r = \{ (q_1, r, A, q_3), \\ (q_1, r, B, q_2), \\ (q_2, r, A, q_3), \\ (q_2, r, B, q_2) \}$$

From VPAs to NWAs

- main differences between VPAs and PDAs:
 - closed under determinism
 - partitioning of the alphabet
 - very limited use of the stack
- Do we really need the stack?

From VPAs to NWAs

- main differences between VPAs and PDAs:
 - closed under determinism
 - partitioning of the alphabet
 - very limited use of the stack
- Do we really need the stack?
(Alur & Madhusudan 2006): no, with some further treatment of the input → *nested words* (NWs)
- automaton model: *nested word automata* (NWAs)

From VPAs to NWAs

- main differences between VPAs and PDAs:
 - closed under determinism
 - partitioning of the alphabet
 - very limited use of the stack
- Do we really need the stack?
(Alur & Madhusudan 2006): no, with some further treatment of the input \rightarrow *nested words* (NWs)
- automaton model: *nested word automata* (NWAs)
- *nested word languages* (NWLs) and VPLs have same power
 \rightarrow NWAs \preceq deterministic PDAs

From VPAs to NWA's

- main differences between VPAs and PDAs:
 - closed under determinism
 - partitioning of the alphabet
 - very limited use of the stack
- Do we really need the stack?
(Alur & Madhusudan 2006): no, with some further treatment of the input \rightarrow *nested words* (NWs)
- automaton model: *nested word automata* (NWA's)
- *nested word languages* (NWLs) and VPLs have same power
 \rightarrow NWA's \preceq deterministic PDAs
- main idea: call and return symbols are matched in the input

Overview

Motivation and background

Nested words and their acceptors

- Nested words

- Nested word automata

Determinization proof

Conclusion

Well nested sequences

A sequence of symbols is *well nested* if calls and returns are matched without crossing, i.e., for any different call-return-pairs (c_i, r_i) , (c_j, r_j) , $c_i < c_j < r_i < r_j$ is forbidden.

Well nested sequences

A sequence of symbols is *well nested* if calls and returns are matched without crossing, i.e., for any different call-return-pairs (c_i, r_i) , (c_j, r_j) , $c_i < c_j < r_i < r_j$ is forbidden.

Examples:

i c i c i i r r i

Well nested sequences

A sequence of symbols is *well nested* if calls and returns are matched without crossing, i.e., for any different call-return-pairs (c_i, r_i) , (c_j, r_j) , $c_i < c_j < r_i < r_j$ is forbidden.

Examples:

i c i c i i r r i

Well nested sequences

A sequence of symbols is *well nested* if calls and returns are matched without crossing, i.e., for any different call-return-pairs (c_i, r_i) , (c_j, r_j) , $c_i < c_j < r_i < r_j$ is forbidden.

Examples:

i c i c i i r r i

r c r r c i c i

Well nested sequences

A sequence of symbols is *well nested* if calls and returns are matched without crossing, i.e., for any different call-return-pairs (c_i, r_i) , (c_j, r_j) , $c_i < c_j < r_i < r_j$ is forbidden.

Examples:

i c i c i i r r i

r c r r c i c i

Well nested sequences

A sequence of symbols is *well nested* if calls and returns are matched without crossing, i.e., for any different call-return-pairs (c_i, r_i) , (c_j, r_j) , $c_i < c_j < r_i < r_j$ is forbidden.

Examples:

i c i c i i r r i

r c r r c i c i

Note: Every sequence has a unique well nesting.

Nested words

A relation $\rightsquigarrow \subset \{-\infty, 1, 2, \dots, \ell\} \times \{1, 2, \dots, \ell, \infty\}$ of length $\ell \geq 0$ is a *matching relation* if the following holds:

- I if $i \rightsquigarrow j$, then $i < j$ (monotone)
- II if $i_1 \rightsquigarrow j$ and $i_2 \rightsquigarrow j$, then $i_1 = i_2$ (left-unique)
if $i \rightsquigarrow j_1$ and $i \rightsquigarrow j_2$, then $j_1 = j_2$ (right-unique)
- III if $i_1 \rightsquigarrow j_1$ and $i_2 \rightsquigarrow j_2$, then we have not $i_1 < i_2 < j_1 < j_2$ (well nested)

Explanation:

- I not **r c**, not reflexive
- II not **c c r**, not **c r r**
- III not **c c r r**

ex post note: $(-\infty, \infty) \notin \rightsquigarrow$,
 $\pm\infty$ excluded from uniqueness

Nested words

A relation $\rightsquigarrow \subset \{-\infty, 1, 2, \dots, \ell\} \times \{1, 2, \dots, \ell, \infty\}$ of length $\ell \geq 0$ is a *matching relation* if the following holds:

- I if $i \rightsquigarrow j$, then $i < j$ (monotone)
- II if $i_1 \rightsquigarrow j$ and $i_2 \rightsquigarrow j$, then $i_1 = i_2$ (left-unique)
- if $i \rightsquigarrow j_1$ and $i \rightsquigarrow j_2$, then $j_1 = j_2$ (right-unique)
- III if $i_1 \rightsquigarrow j_1$ and $i_2 \rightsquigarrow j_2$, then we have not $i_1 < i_2 < j_1 < j_2$ (well nested)

If $i \rightsquigarrow j$, i is a *call position* and j is a *return position*. All the rest is an *internal position*. If $i \neq -\infty$ and $j \neq \infty$, they are *well-matched*, otherwise *pending*. $e \in \rightsquigarrow$ is a *nesting edge*.

Nested words

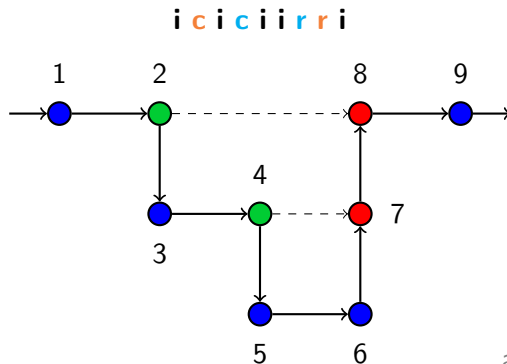
A relation $\rightsquigarrow \subset \{-\infty, 1, 2, \dots, \ell\} \times \{1, 2, \dots, \ell, \infty\}$ of length $\ell \geq 0$ is a *matching relation* if the following holds:

- I if $i \rightsquigarrow j$, then $i < j$ (monotone)
- II if $i_1 \rightsquigarrow j$ and $i_2 \rightsquigarrow j$, then $i_1 = i_2$ (left-unique)
if $i \rightsquigarrow j_1$ and $i \rightsquigarrow j_2$, then $j_1 = j_2$ (right-unique)
- III if $i_1 \rightsquigarrow j_1$ and $i_2 \rightsquigarrow j_2$, then we have not $i_1 < i_2 < j_1 < j_2$ (well nested)

If $i \rightsquigarrow j$, i is a *call position* and j is a *return position*. All the rest is an *internal position*. If $i \neq -\infty$ and $j \neq \infty$, they are *well-matched*, otherwise *pending*. $e \in \rightsquigarrow$ is a *nesting edge*.

A *nested word* n over Σ is a pair $(a_1 \cdots a_\ell, \rightsquigarrow)$, where $a_i \in \Sigma$ and \rightsquigarrow is a matching relation of length ℓ .

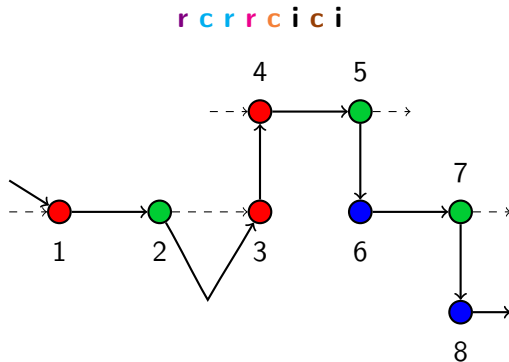
Example 1



adapted from [1]

Here: $2 \rightsquigarrow 8$, $4 \rightsquigarrow 7$ and the whole word is well-matched.

Example 2



adapted from [1]

Here: $-\infty \leadsto 1$, $2 \leadsto 3$, $-\infty \leadsto 4$, $5 \leadsto \infty$, $7 \leadsto \infty$ and only $2 \leadsto 3$ is well-matched.

Definition of NWAs

$\mathcal{A} = \langle Q, q_0, Q_f, P, p_0, P_f, \delta_i, \delta_c, \delta_r \rangle$ over alphabet Σ

Definition of NWA's

$\mathcal{A} = \langle Q, q_0, Q_f, P, p_0, P_f, \delta_i, \delta_c, \delta_r \rangle$ over alphabet Σ

- Q finite set of *linear* states,
- $q_0 \in Q$ initial *linear* state,
- $Q_f \subseteq Q$ set of *linear* final states,

Definition of NWA's

$\mathcal{A} = \langle Q, q_0, Q_f, P, p_0, P_f, \delta_i, \delta_c, \delta_r \rangle$ over alphabet Σ

- Q finite set of *linear* states,
- $q_0 \in Q$ initial *linear* state,
- $Q_f \subseteq Q$ set of *linear* final states,
- P finite set of *hierarchical* states,
- $p_0 \in P$ initial *hierarchical* state,
- $P_f \subseteq P$ set of *hierarchical* final states,

Definition of NWA's

$\mathcal{A} = \langle Q, q_0, Q_f, P, p_0, P_f, \delta_i, \delta_c, \delta_r \rangle$ over alphabet Σ

- Q finite set of *linear* states,
- $q_0 \in Q$ initial *linear* state,
- $Q_f \subseteq Q$ set of *linear* final states,
- P finite set of *hierarchical* states,
- $p_0 \in P$ initial *hierarchical* state,
- $P_f \subseteq P$ set of *hierarchical* final states,
- $\delta_i \subseteq Q \times \Sigma \rightarrow Q$ internal transition function,
- $\delta_c \subseteq Q \times \Sigma \rightarrow Q \times P$ call transition function,
- $\delta_r \subseteq Q \times P \times \Sigma \rightarrow Q$ return transition function

Definition of NWA's

$\mathcal{A} = \langle Q, q_0, Q_f, P, p_0, P_f, \delta_i, \delta_c, \delta_r \rangle$ over alphabet Σ

- Q finite set of *linear* states,
- $q_0 \in Q$ initial *linear* state,
- $Q_f \subseteq Q$ set of *linear* final states,
- P finite set of *hierarchical* states,
- $p_0 \in P$ initial *hierarchical* state,
- $P_f \subseteq P$ set of *hierarchical* final states,
- $\delta_i \subseteq Q \times \Sigma \rightarrow Q$ internal transition function,
- $\delta_c \subseteq Q \times \Sigma \rightarrow Q \times P$ call transition function,
- $\delta_r \subseteq Q \times P \times \Sigma \rightarrow Q$ return transition function

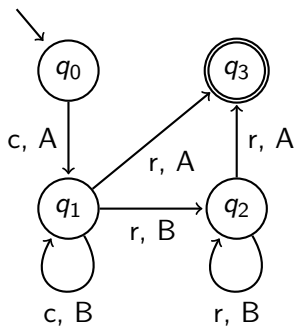
acceptance via both Q_f and P_f

as VPAs: at return implicitly go to hierarchical state before matching call

\mathcal{L}_2 as NWA

Consider again $\mathcal{L}_2 = \{c^n r^n \mid n > 0\}$.

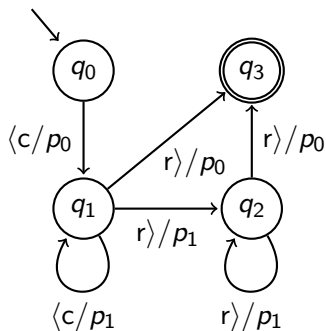
We construct an NWA for $\mathcal{L}'_2 := \{(\langle c \rangle^n (r))^n \mid n > 0\}$.



\mathcal{L}_2 as NWA

Consider again $\mathcal{L}_2 = \{c^n r^n \mid n > 0\}$.

We construct an NWA for $\mathcal{L}'_2 := \{(\langle c \rangle^n (r \rangle)^n \mid n > 0\}$.



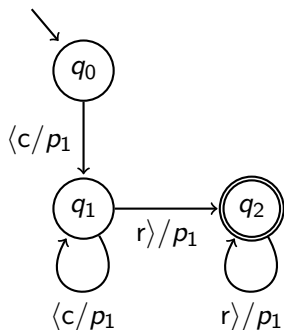
$$P = \{p_0, p_1\}, P_f \subseteq \{p_0\}$$

\mathcal{L}_2 as NWA

Consider again $\mathcal{L}_2 = \{c^n r^n \mid n > 0\}$.

We construct an NWA for $\mathcal{L}'_2 := \{(\langle c \rangle^n (r \rangle)^n \mid n > 0\}$.

We can also use hierarchical states for acceptance.



$$P = \{p_0, p_1\}, P_f = \{p_0\}$$

Remarks

- no stack anymore, but structure on the input word

Remarks

- no stack anymore, but structure on the input word
- nondeterministic NWAs: $Q_0 \subseteq Q$, $P_0 \subseteq P$, δ

Remarks

- no stack anymore, but structure on the input word
- nondeterministic NWAs: $Q_0 \subseteq Q$, $P_0 \subseteq P$, δ
possibly exponentially more states for deterministic NWAs

Remarks

- no stack anymore, but structure on the input word
- nondeterministic NWAs: $Q_0 \subseteq Q$, $P_0 \subseteq P$, δ
possibly exponentially more states for deterministic NWAs
- not all sets of NWs acceptable by NWAs
 $\{(\langle a \rangle^n \langle b \rangle)^n \mid n > 0\}$ vs. $\{a^n b^n \mid n > 0\}$

Comparison of properties

	DFA	DNWA	PDA	DPDA
pre-/suffix	✓	✓	✓	✓
$\cup, \cdot, *$				
complement				
\cap				
emptiness				
equivalence				
inclusion				

Comparison of properties

	DFA	DNWA	PDA	DPDA
pre-/suffix	✓	✓	✓	✓
$\cup, \cdot, *$	✓	✓	✓	✗
complement	✓	✓	✗	✓
\cap	✓	✓	✗	✗
emptiness				
equivalence				
inclusion				

Comparison of properties

	DFA	DNWA	PDA	DPDA
pre-/suffix	✓	✓	✓	✓
$\cup, \cdot, *$	✓	✓	✓	✗
complement	✓	✓	✗	✓
\cap	✓	✓	✗	✗
emptiness	NLOGSPACE	PTIME	PTIME	PTIME
equivalence	NLOGSPACE	PTIME	undecidable	decidable
inclusion	NLOGSPACE	PTIME	undecidable	undecidable

Comparison of properties

	DFA	DNWA	PDA	DPDA
pre-/suffix	✓	✓	✓	✓
$\cup, \cdot, *$	✓	✓	✓	✗
complement	✓	✓	✗	✓
\cap	✓	✓	✗	✗
emptiness	NLOGSPACE	PTIME	PTIME	PTIME
equivalence	NLOGSPACE	PTIME	undecidable	decidable
inclusion	NLOGSPACE	PTIME	undecidable	undecidable

Note: Equivalence and inclusion problem are EXPTIME-complete for nondeterministic NWAs.

Implication: determinization $\in \Omega(\text{EXPTIME})$ if at all possible

Overview

Motivation and background

Nested words and their acceptors

Determinization proof

- Intuition

- Construction

Conclusion

Idea behind the proof

- goal: determinize a nondeterministic NWA (NNWA)

Idea behind the proof

- goal: determinize a nondeterministic NWA (NNWA)
- state of automaton \mathcal{A} for nested word n with position k :
deterministic NWA (DNWA): (q_k, p_k)
NNWA: one of $(q_{k_1}, p_{k_1}), \dots, (q_{k_i}, p_{k_j})$

Idea behind the proof

- goal: determinize a nondeterministic NWA (NNWA)
- state of automaton \mathcal{A} for nested word n with position k :
deterministic NWA (DNWA): (q_k, p_k)
NNWA: one of $(q_{k_1}, p_{k_1}), \dots, (q_{k_i}, p_{k_j})$
- finite automata: call the states $\{q_{k_1}, \dots, q_{k_i}\}$

Idea behind the proof

- goal: determinize a nondeterministic NWA (NNWA)
- state of automaton \mathcal{A} for nested word n with position k :
deterministic NWA (DNWA): (q_k, p_k)
NNWA: one of $(q_{k_1}, p_{k_1}), \dots, (q_{k_i}, p_{k_j})$
- finite automata: call the states $\{q_{k_1}, \dots, q_{k_i}\}$
- NWAs: also need information about hierarchical states
→ powerset construction over nesting edges
hierarchical states = nesting edges + call symbol so far

Idea behind the proof

- goal: determinize a nondeterministic NWA (NNWA)
- state of automaton \mathcal{A} for nested word n with position k :
deterministic NWA (DNWA): (q_k, p_k)
NNWA: one of $(q_{k_1}, p_{k_1}), \dots, (q_{k_i}, p_{k_j})$
- finite automata: call the states $\{q_{k_1}, \dots, q_{k_i}\}$
- NWAs: also need information about hierarchical states
→ powerset construction over nesting edges
hierarchical states = nesting edges + call symbol so far
- handle hierarchical proceeding when reading return symbols

The states: definition

$$\begin{matrix} \text{wlog} \\ =P \end{matrix}$$

Consider the NNWA $\mathcal{A} = \langle Q, Q_0, Q_f, P, P_0, \underbrace{P_f}_{=P}, \delta_i, \delta_c, \delta_r \rangle$.

We construct the DNWA $\mathcal{B} = \langle Q', q'_0, Q'_f, P', p'_0, P'_f, \delta'_i, \delta'_c, \delta'_r \rangle$:

The states: definition

$$\begin{matrix} \text{wlog} \\ =P \end{matrix}$$

Consider the NNWA $\mathcal{A} = \langle Q, Q_0, Q_f, P, P_0, \underbrace{P_f}_{=P}, \delta_i, \delta_c, \delta_r \rangle$.

We construct the DNWA $\mathcal{B} = \langle Q', q'_0, Q'_f, P', p'_0, P'_f, \delta'_i, \delta'_c, \delta'_r \rangle$:

- $Q' := 2^{Q \times Q} = \{S_1, \dots, S_i\}$

The states: definition

$$\begin{matrix} \text{wlog} \\ =P \end{matrix}$$

Consider the NNWA $\mathcal{A} = \langle Q, Q_0, Q_f, P, P_0, \underbrace{P_f}_{=P}, \delta_i, \delta_c, \delta_r \rangle$.

We construct the DNWA $\mathcal{B} = \langle Q', q'_0, Q'_f, P', p'_0, P'_f, \delta'_i, \delta'_c, \delta'_r \rangle$:

- $Q' := 2^{Q \times Q} = \{S_1, \dots, S_i\}$
- $q'_0 := Q_0 \times Q_0$

The states: definition

Consider the NNWA $\mathcal{A} = \langle Q, Q_0, Q_f, P, P_0, \overbrace{P_f}^{\text{wlog } = P}, \delta_i, \delta_c, \delta_r \rangle$.

We construct the DNWA $\mathcal{B} = \langle Q', q'_0, Q'_f, P', p'_0, P'_f, \delta'_i, \delta'_c, \delta'_r \rangle$:

- $Q' := 2^{Q \times Q} = \{S_1, \dots, S_i\}$
- $q'_0 := Q_0 \times Q_0$
- $Q'_f := \{S \mid \exists q, q'. (q, q') \in S \wedge q' \in Q_f\}$
or: $S \in Q'_f :\Leftrightarrow S$ contains (q, q') with $q' \in Q_f$

The states: definition

Consider the NNWA $\mathcal{A} = \langle Q, Q_0, Q_f, P, P_0, \overbrace{P_f}^{\text{wlog } = P}, \delta_i, \delta_c, \delta_r \rangle$.

We construct the DNWA $\mathcal{B} = \langle Q', q'_0, Q'_f, P', p'_0, P'_f, \delta'_i, \delta'_c, \delta'_r \rangle$:

- $Q' := 2^{Q \times Q} = \{S_1, \dots, S_i\}$
- $q'_0 := Q_0 \times Q_0$
- $Q'_f := \{S \mid \exists q, q'. (q, q') \in S \wedge q' \in Q_f\}$
or: $S \in Q'_f :\Leftrightarrow S$ contains (q, q') with $q' \in Q_f$
- $P' := \{p'_0\} \cup (Q' \times \Sigma)$

The states: definition

Consider the NNWA $\mathcal{A} = \langle Q, Q_0, Q_f, P, P_0, \overbrace{P_f}^{\text{wlog} = P}, \delta_i, \delta_c, \delta_r \rangle$.

We construct the DNWA $\mathcal{B} = \langle Q', q'_0, Q'_f, P', p'_0, P'_f, \delta'_i, \delta'_c, \delta'_r \rangle$:

- $Q' := 2^{Q \times Q} = \{S_1, \dots, S_i\}$
- $q'_0 := Q_0 \times Q_0$
- $Q'_f := \{S \mid \exists q, q'. (q, q') \in S \wedge q' \in Q_f\}$
or: $S \in Q'_f \Leftrightarrow S$ contains (q, q') with $q' \in Q_f$
- $P' := \{p'_0\} \cup (Q' \times \Sigma)$
- $p'_0 :=$ fresh hierarchical state

The states: definition

Consider the NNWA $\mathcal{A} = \langle Q, Q_0, Q_f, P, P_0, \overbrace{P_f}^{\text{wlog} = P}, \delta_i, \delta_c, \delta_r \rangle$.

We construct the DNWA $\mathcal{B} = \langle Q', q'_0, Q'_f, P', p'_0, P'_f, \delta'_i, \delta'_c, \delta'_r \rangle$:

- $Q' := 2^{Q \times Q} = \{S_1, \dots, S_i\}$
- $q'_0 := Q_0 \times Q_0$
- $Q'_f := \{S \mid \exists q, q'. (q, q') \in S \wedge q' \in Q_f\}$
or: $S \in Q'_f \Leftrightarrow S$ contains (q, q') with $q' \in Q_f$
- $P' := \{p'_0\} \cup (Q' \times \Sigma)$
- $p'_0 :=$ fresh hierarchical state
- $P'_f := P'$

The states: semantics

Consider a nested word n with k pending calls. We can write this

$$n = n_1 \langle c_1 n_2 \langle c_2 \cdots n_k \langle c_k n_{k+1}$$

where the n_i have no pending calls.

The states: semantics

Consider a nested word n with k pending calls. We can write this

$$n = n_1 \langle c_1 n_2 \langle c_2 \cdots n_k \langle c_k n_{k+1}$$

where the n_i have no pending calls.

Invariants

- After reading n , \mathcal{B} will be in state S_{k+1} , where (S_i, c_i) will be the hierarchical state for each $\langle c_i$.

The states: semantics

Consider a nested word n with k pending calls. We can write this

$$n = n_1 \langle c_1 n_2 \langle c_2 \cdots n_k \langle c_k n_{k+1}$$

where the n_i have no pending calls.

Invariants

- I After reading n , \mathcal{B} will be in state S_{k+1} , where (S_i, c_i) will be the hierarchical state for each $\langle c_i$.
- II S_i contains the pair (q, q') iff $q \xrightarrow{n_i}_{\mathcal{A}} q'$.

The states: semantics

Consider a nested word n with k pending calls. We can write this

$$n = n_1 \langle c_1 n_2 \langle c_2 \cdots n_k \langle c_k n_{k+1}$$

where the n_i have no pending calls.

Invariants

- I After reading n , \mathcal{B} will be in state S_{k+1} , where (S_i, c_i) will be the hierarchical state for each $\langle c_i$.
- II S_i contains the pair (q, q') iff $q \xrightarrow{n_i}_{\mathcal{A}} q'$.

Question: acceptance condition of \mathcal{B} for n ?

The states: semantics

Consider a nested word n with k pending calls. We can write this

$$n = n_1 \langle c_1 n_2 \langle c_2 \cdots n_k \langle c_k n_{k+1}$$

where the n_i have no pending calls.

Invariants

- I After reading n , \mathcal{B} will be in state S_{k+1} , where (S_i, c_i) will be the hierarchical state for each $\langle c_i$.
- II S_i contains the pair (q, q') iff $q \xrightarrow{n_i}_{\mathcal{A}} q'$.

Question: acceptance condition of \mathcal{B} for n ?

Answer: $S_{k+1} \in Q'_f$

The states: semantics

Consider a nested word n with k pending calls. We can write this

$$n = n_1 \langle c_1 n_2 \langle c_2 \cdots n_k \langle c_k n_{k+1}$$

where the n_i have no pending calls.

Invariants

- I After reading n , \mathcal{B} will be in state S_{k+1} , where (S_i, c_i) will be the hierarchical state for each $\langle c_i$.
- II S_i contains the pair (q, q') iff $q \xrightarrow{n_i}_{\mathcal{A}} q'$.

Question: acceptance condition of \mathcal{B} for n ?

Answer: $S_{k+1} \in Q'_f$,

i.e., $\exists q, q'. (q, q') \in S_{k+1} \wedge q \xrightarrow{n_{k+1}}_{\mathcal{A}} q' \wedge q' \in Q_f$

Internal transitions

- I After reading n , \mathcal{B} will be in state S_{k+1} , where (S_i, c_i) will be the hierarchical state for each $\langle c_i$.
- II S_i contains the pair (q, q') iff $q \xrightarrow{n_i}_{\mathcal{A}} q'$.

$$n' = n \cdot i = n_1 \langle c_1 n_2 \langle c_2 \cdots n_k \langle c_k n_{k+1} i$$

$$\delta'_i(S_{k+1}, i) =$$

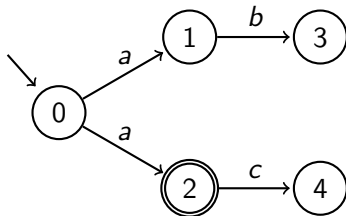
Internal transitions

- I After reading n , \mathcal{B} will be in state S_{k+1} , where (S_i, c_i) will be the hierarchical state for each $\langle c_i$.
- II S_i contains the pair (q, q') iff $q \xrightarrow{n_i}_{\mathcal{A}} q'$. $q \xrightarrow{n_{k+1}} q' \xrightarrow{i} q''$

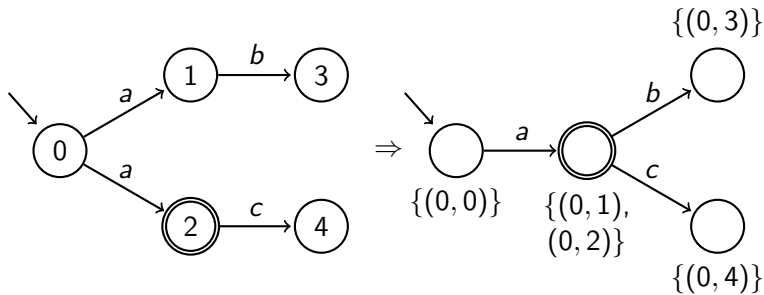
$$n' = n \cdot i = n_1 \langle c_1 n_2 \langle c_2 \cdots n_k \langle c_k n_{k+1} i$$

$$\delta'_i(S_{k+1}, i) = \{(q, q'') \mid (q, q') \in S_{k+1} \wedge q'' \in \delta_i(q', i)\}$$

Example



Example



Call transitions

- I After reading n , \mathcal{B} will be in state S_{k+1} , where (S_i, c_i) will be the hierarchical state for each $\langle c_i$.
- II S_i contains the pair (q, q') iff $q \xrightarrow{n_i}_{\mathcal{A}} q'$.

$$n' = n \cdot \langle c_{k+1} = n_1 \langle c_1 n_2 \langle c_2 \cdots n_k \langle c_k n_{k+1} \langle c_{k+1}$$

$$\delta'_c(S_{k+1}, c_{k+1}) =$$

new hierarchical state that keeps track of the old state/symbol

Call transitions

- I After reading n , \mathcal{B} will be in state S_{k+1} , where (S_i, c_i) will be the hierarchical state for each $\langle c_i$.
- II S_i contains the pair (q, q') iff $q \xrightarrow{n_i}_{\mathcal{A}} q'$.

$$n' = n \cdot \langle c_{k+1} = n_1 \langle c_1 n_2 \langle c_2 \cdots n_k \langle c_k n_{k+1} \langle c_{k+1}$$

$$\delta'_c(S_{k+1}, c_{k+1}) = (S', (S_{k+1}, c_{k+1})),$$

new hierarchical state that keeps track of the old state/symbol

Call transitions

- I After reading n , \mathcal{B} will be in state S_{k+1} , where (S_i, c_i) will be the hierarchical state for each $\langle c_i$.
- II S_i contains the pair (q, q') iff $q \xrightarrow{n_i}_{\mathcal{A}} q'$.

$$\begin{array}{ccc}
 q & \xrightarrow{n_{k+1}} & q' \\
 & & \downarrow c_{k+1}/p \\
 & & q''
 \end{array}$$

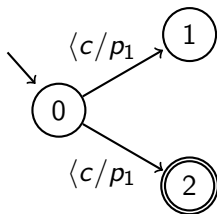
$$n' = n \cdot \langle c_{k+1} = n_1 \langle c_1 n_2 \langle c_2 \cdots n_k \langle c_k n_{k+1} \langle c_{k+1}$$

$$\delta'_c(S_{k+1}, c_{k+1}) = (S', (S_{k+1}, c_{k+1})),$$

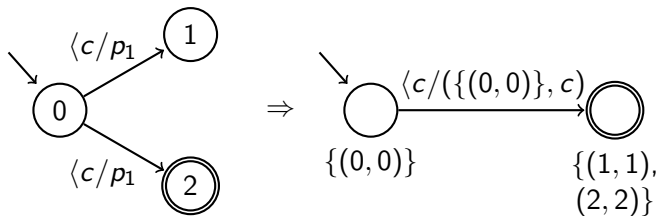
$$S' = \{(q'', q'') \mid (q, q') \in S_{k+1} \wedge \exists p \in P. (q'', p) \in \delta_c(q', c_{k+1})\}$$

new hierarchical state that keeps track of the old state/symbol

Example



Example



Return transitions

- I After reading n , \mathcal{B} will be in state S_{k+1} , where (S_i, c_i) will be the hierarchical state for each $\langle c_i$.
- II S_i contains the pair (q, q') iff $q \xrightarrow{n_i}_{\mathcal{A}} q'$.

$$n' = n \cdot r \rangle = n_1 \langle c_1 n_2 \langle c_2 \cdots n_k \langle c_k n_{k+1} r \rangle$$

We have two cases here:

$k = 0$ no matching call, like internal transition

$$\delta'_r(S_{k+1}, p'_0, r) =$$

Return transitions

- I After reading n , \mathcal{B} will be in state S_{k+1} , where (S_i, c_i) will be the hierarchical state for each $\langle c_i$.
- II S_i contains the pair (q, q') iff $q \xrightarrow{n_i}_{\mathcal{A}} q'$.

$$n' = n \cdot r \rangle = n_1 \langle c_1 n_2 \langle c_2 \cdots n_k \langle c_k n_{k+1} r \rangle$$

$$\begin{array}{c} q'' \\ \uparrow r/p \\ q \xrightarrow{n_{k+1}} q' \end{array}$$

We have two cases here:

$k = 0$ no matching call, like internal transition

$$\delta'_r(S_{k+1}, p'_0, r) = \{(q, q'') \mid (q, q') \in S_{k+1} \wedge \exists p \in P_0. q'' \in \delta_r(q', p, r)\}$$

Return transitions

- I After reading n , \mathcal{B} will be in state S_{k+1} , where (S_i, c_i) will be the hierarchical state for each $\langle c_i$.
- II S_i contains the pair (q, q') iff $q \xrightarrow{n_i}_{\mathcal{A}} q'$.

$$n' = n \cdot r \rangle = n_1 \langle c_1 n_2 \langle c_2 \cdots n_k \langle c_k n_{k+1} r \rangle$$

We have two cases here:

$k = 0$ no matching call, like internal transition

$$\delta'_r(S_{k+1}, p'_0, r) = \{(q, q'') \mid (q, q') \in S_{k+1} \wedge \exists p \in P_0. q'' \in \delta_r(q', p, r)\}$$

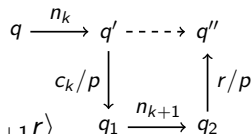
$k > 0$ subword $n_k \langle c_k n_{k+1} r \rangle$, hierarchical state = (S_k, c_k)

$$\delta'_r(S_{k+1}, (S_k, c_k), r) =$$

Return transitions

- I After reading n , \mathcal{B} will be in state S_{k+1} , where (S_i, c_i) will be the hierarchical state for each $\langle c_i$.

- II S_i contains the pair (q, q') iff $q \xrightarrow{n_i}_{\mathcal{A}} q'$.



$$n' = n \cdot r \rangle = n_1 \langle c_1 n_2 \langle c_2 \cdots n_k \langle c_k n_{k+1} r \rangle$$

We have two cases here:

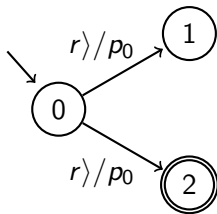
$k = 0$ no matching call, like internal transition

$$\begin{aligned}
 \delta'_r(S_{k+1}, p'_0, r) = \\
 \{(q, q'') \mid (q, q') \in S_{k+1} \wedge \exists p \in P_0. q'' \in \delta_r(q', p, r)\}
 \end{aligned}$$

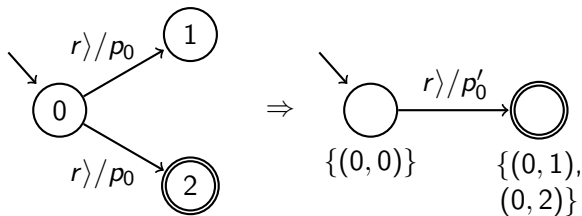
$k > 0$ subword $n_k \langle c_k n_{k+1} r \rangle$, hierarchical state = (S_k, c_k)

$$\begin{aligned}
 \delta'_r(S_{k+1}, (S_k, c_k), r) = \{(q, q'') \mid (q, q') \in S_k \wedge (q_1, q_2) \in S_{k+1} \\
 \wedge \exists p \in P. (q_1, p) \in \delta_c(q', c_k) \wedge q'' \in \delta_r(q_2, p, r)\}
 \end{aligned}$$

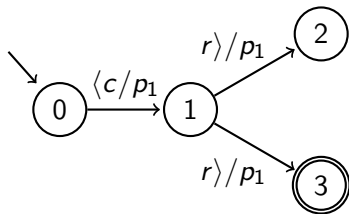
Example



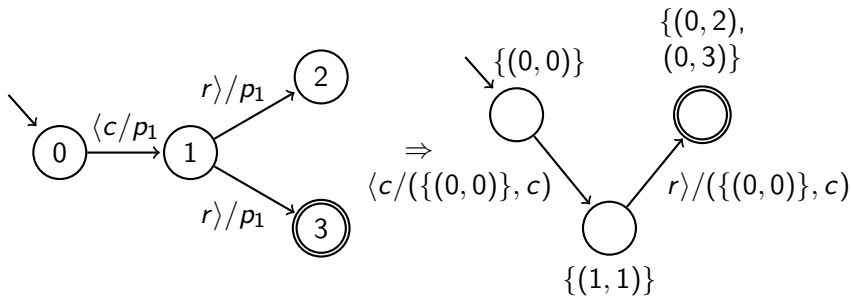
Example



Example



Example



Résumé

- now all components of \mathcal{B} defined

Résumé

- now all components of \mathcal{B} defined
- correctness results from invariants

Résumé

- now all components of \mathcal{B} defined
- correctness results from invariants
- complexity: if $|Q| = s$, then $|Q'| = 2^{s^2}$ and $|P'| \in \mathcal{O}(2^{s^2})$
This is succinct, so there exists an example where the DNWA cannot have less states.

Overview

Motivation and background

Nested words and their acceptors

Determinization proof

Conclusion

Conclusion

- nested word languages as a (proper) fragment of deterministic context-free languages strictly more expressive than regular languages

Conclusion

- nested word languages as a (proper) fragment of deterministic context-free languages strictly more expressive than regular languages
- visibly pushdown automata and nested word automata as suitable models for this class

Conclusion

- nested word languages as a (proper) fragment of deterministic context-free languages strictly more expressive than regular languages
- visibly pushdown automata and nested word automata as suitable models for this class
- no stack, but complexity shifted to the input word

Conclusion

- nested word languages as a (proper) fragment of deterministic context-free languages strictly more expressive than regular languages
- visibly pushdown automata and nested word automata as suitable models for this class
- no stack, but complexity shifted to the input word
- all relevant closure properties, all interesting problems decidable

Conclusion

- nested word languages as a (proper) fragment of deterministic context-free languages strictly more expressive than regular languages
- visibly pushdown automata and nested word automata as suitable models for this class
- no stack, but complexity shifted to the input word
- all relevant closure properties, all interesting problems decidable
- determinization always possible in $\mathcal{O}(2^{s^2})$

Conclusion

- nested word languages as a (proper) fragment of deterministic context-free languages strictly more expressive than regular languages
- visibly pushdown automata and nested word automata as suitable models for this class
- no stack, but complexity shifted to the input word
- all relevant closure properties, all interesting problems decidable
- determinization always possible in $\mathcal{O}(2^{s^2})$
- many practical problems describable as nested words

Conclusion

- nested word languages as a (proper) fragment of deterministic context-free languages strictly more expressive than regular languages
- visibly pushdown automata and nested word automata as suitable models for this class
- no stack, but complexity shifted to the input word
- all relevant closure properties, all interesting problems decidable
- determinization always possible in $\mathcal{O}(2^{s^2})$
- many practical problems describable as nested words
- recent concept, time will show the relevance

References



Rajeev Alur and Parthasarathy Madhusudan.
Adding Nested Structure to Words.
In Journal of the ACM, 2009.



Rajeev Alur and Parthasarathy Madhusudan.
Visibly Pushdown Languages.
In STOC '04, 2004.