## CFL closure under complement?

- Show that  $\overline{L_{\text{double}}} = \{y \in \{a, b\}^* \mid \forall x \in \{a, b\}^*, y \neq x, x\}$  is a CFL
- Note:  $\overline{L_{\text{double}}} = L_{\text{odd-length}} \cup L'$  where L' is generated by this CFG:  $S \rightarrow AB \mid BA$   $A \rightarrow aAa \mid aAb \mid bAa \mid bAb \mid a$ 
  - $B \rightarrow aBa \mid aBb \mid bBa \mid bBb \mid b$

#### CFL closure under intersection?

- Claim 1:  $L_1 = \{a^n, b^n, a^m \mid m, n \ge 0\}$  is a CFL
- Claim 2:  $L_2 = \{a^m. b^n. a^n \mid m, n \ge 0\}$  is a CFL
- Claim 3:  $L_1 \cap L_2 = \{a^n, b^n, a^n \mid n \ge 0\}$  is NOT a CFL
  - Proof using Pumping Lemma
- Claim 4: If  $L_1$  is a CFL and  $L_2$  is regular, then  $L_1 \cap L_2$  is a CFL
- **Proof sketch**: Let G = (N, A, S, P) be a CFG in Chomsky Normal Form for  $L_1$  and let  $M = (Q, s, \delta, F)$  be a DFA for  $L_2$
- Let  $G' = (N \times Q \times Q \cup \{S_0\}, A, S_0, P')$ ;  $P' = \{S_0 \to (S, s, q) | q \in F\} \cup \{(X, q, q') \to (Y, q, p)(Z, p, q') | X \to YZ \in P, p, q, q' \in Q\}$ Handle  $\varepsilon$  separately  $\cup \{(X, q, q') \to a | X \to a \in P, \delta(q, a) = q'\}$

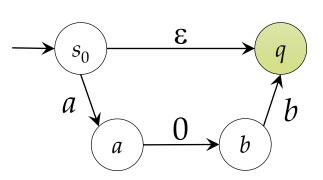
#### Automata for CFLs?

- Automata model control flow in programs naturally, so it is useful to have automata models for CFLs
- Recursive Automata (RA)
  - NFAs which can call each other (potentially recursively, implicit call stack)
  - RA ↔ CFG conversion is trivial
- Pushdown Automata (PDA)
  - Classical model, explicit stack
- Visibly Pushdown Automata (VPA)
  - Restricted PDA + nice properties (e.g., DVPL = VPL ⊂ DCFL)

Deterministic versions are strictly less powerful DCFL  $\subset$  CFL

#### Recursive Automata

- Models programs with finite memory + unlimited recursion
- A recursive automaton over an alphabet A is a non-empty set of NFA  $\{N_i = (Q_i, s_i, \delta_i, F_i) \mid i = 0, ..., k\}$  and each  $\delta_i \subseteq Q_i \times \hat{A} \times Q_i$  where  $\hat{A} = A \cup \{\epsilon\} \cup \{0,1,...,k\}$
- The RA starts from the initial state of NFA  $N_0$  (the main NFA)
- Example:



This RA accepts the language  $\{a^n, b^n | n \ge 0\}$ 

### Formal definition of acceptance

- A stack  $t \in Q^*$  lists the return states for incomplete recursive calls (where  $Q = \bigcup_{i=0}^k Q_i$ )
- A configuration c is a pair  $(q, t) \in Q \times Q^*$ 
  - Initial configuration is  $c_0 = (s_0, \varepsilon)$
- We say that configuration c can go to c' on reading  $e \in A \cup \{\varepsilon\}$  ( $c \stackrel{e}{\rightarrow} c'$ ) if:

Extend  $\stackrel{e}{\rightarrow}$ 

to strings

- Internal: c = (q, t); c' = (q', t);  $q, q' \in Q_i$ ;  $(q, e, q') \in \delta_i$
- Call:  $e = \varepsilon$ ; c = (q, t);  $c' = (q_j, t, q')$ ;  $q, q' \in Q_i$ ;  $(q, j, q') \in \delta_i$
- **Return**:  $e = \varepsilon$ ; c = (q, t, q'); c' = (q', t);  $q \in F_i$
- The RA accepts the language  $\{w \in A^* | c_0 \stackrel{w}{\rightarrow} (q, \varepsilon) \text{ for some } q \in F_0\}$

# RA \(\to\) CFG equivalence (sketch)

- Let  $G = (N, A, X_0, P)$  be a CFG where  $N = \{X_i | i = 0, ..., k\}$
- The equivalent RA is the set of NFAs  $\{N_i|i=0,...,k\}$  where each  $N_i$  accepts the regular language  $L_i = \{\hat{\alpha} \in \hat{A}^* | X_i \to \alpha \in P\}$  where  $\hat{\alpha}$  is obtained from  $\alpha$  by replacing each  $X_i$  with i
- Given an RA  $R = \{N_i | i = 0, ..., k\}$  where  $Q = \bigcup_i Q_i$ , the equivalent CFG is  $G = (\{X_q | q \in Q\}, A, X_{q_0}, P)$  where P is generated as follows:

**Internal**: if  $(p, e, q) \in \delta_i$  then  $X_p \to e$ .  $X_q \in P$ 

**Call**: if  $(p, j, q) \in \delta_i$  then  $X_p \to X_{q_j}$ .  $X_q \in P$ 

**Return**: if  $p \in F_i$  then  $X_p \to \varepsilon \in P$ 

### Example

• Construct an RA for the CFG with these rules:

$$S \rightarrow AB \mid BA$$
  
 $A \rightarrow aAa \mid aAb \mid bAa \mid bAb \mid a$   
 $B \rightarrow aBa \mid aBb \mid bBa \mid bBb \mid b$