

# Reductions and Rice's theorems

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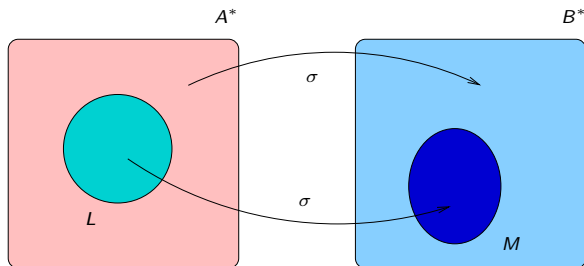
# Outline

- 1 Reductions
- 2 Rice's theorems

# Reductions

Let  $L \subseteq A^*$  and  $M \subseteq B^*$  be two languages. We say  $L$  **reduces** to  $M$  and write  $L \leq M$  iff there exists a **computable** map  $\sigma : A^* \rightarrow B^*$  such that

$$w \in L \text{ iff } \sigma(w) \in M.$$



# Examples of reductions

- Let  $L$  be the language  $\{n \mid n \text{ is even}\}$  (with say  $n$  encoded in binary). Let  $L'$  be the language  $\{l\#m\#r \mid l \bmod m = r\}$ . Then  $L \leq L'$  via the computable map  $n \mapsto n\#2\#0$ .
- Does  $L'$  reduce to  $L$ ?
- Let  $L$  be the language  $\{M \mid M \text{ accepts } \epsilon\}$ . Then

$$\text{HP} \leq L.$$

- Describe a computable map  $\sigma$  which witnesses the reduction.

# Reductions and recursive/re-ness

## Theorem

*If  $L \leq M$  then:*

- 1 *If  $M$  is r.e. then so is  $L$ .*
- 2 *If  $M$  is recursive then so is  $L$ .*

Or to put it differently:

## Theorem

*If  $L \leq M$  then:*

- 1 *If  $L$  is not r.e. then neither is  $M$ .*
- 2 *If  $L$  is not recursive then neither is  $M$ .*

## Examples of reductions

Let  $L$  be the language  $\{M \mid M \text{ accepts } \epsilon\}$ . Then

$$\text{HP} \leq L.$$

- Describe a computable map  $\sigma$  which witnesses the reduction.

Hence, since HP is undecidable (i.e. not recursive) so is  $L$ .

## Examples of reductions

Let  $L$  be the language  $\{M \mid M \text{ accepts a regular language}\}$ . Then

$$\neg\text{HP} \leq L.$$

- Describe a computable map  $\sigma$  which witnesses the reduction.
- Hence, since  $\neg\text{HP}$  is undecidable (i.e. not recursive) so is  $L$ .
- In fact, since  $\neg\text{HP}$  is not r.e., we can say that  $L$  is **not r.e.**

# Rice's theorem

## Theorem (Rice)

*Any non-trivial property of r.e. languages is undecidable.*



# Rice's theorem

## Theorem (Rice)

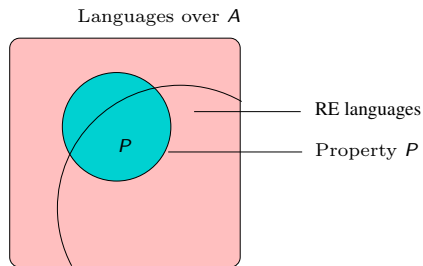
*Any non-trivial property of r.e. languages is undecidable.*

## Theorem (Rice)

*Any **non-monotone** property of r.e. languages is not even recursively enumerable.*

# Properties of languages

A property  $P$  of languages over an alphabet  $A$  is a subset of languages over  $A$ .



## Non-trivial and montone properties

- A property  $P$  is a **non-trivial** property of r.e. languages, if there is at least one r.e. language  $L$  satisfying  $P$ , and another  $L'$  not satisfying  $P$ .

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  - E.g. “is empty” is non-trivial
  - “is not accepted by a TM” is trivial.
- A property  $P$  of languages is **monotone** (w.r.t r.e. languages) if for all r.e. sets  $A$  and  $B$ , whenever  $A \subseteq B$  and  $P(A)$ , we have  $P(B)$ .
- In other words,  $P$  is monotone if whenever a set has the property  $P$ , all its supersets have it as well.

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- In other words,  $P$  is monotone if whenever a set has the property  $P$ , all its supersets have it as well.
  - “is infinite” is monotone,
  - “ $L(M)$  is finite” is not monotone.

# Rice's theorems

For a property  $P$ , we define

$$L_P = \{M \mid L(M) \text{ satisfies } P\}.$$

## Theorem (Rice 1953)

*Any non-trivial property of r.e. languages is undecidable. That is, if  $P$  is a non-trivial property of r.e. languages, then the language  $L_P$  is not recursive.*

## Theorem (Rice 1956)

*Any **non-monotone** property of r.e. languages is not even recursively enumerable. That is, if  $P$  is a non-monotone property of r.e. languages, then the language  $L_P$  is not even recursively enumerable.*



# Proof of Rice's Theorem 1

- Let  $P$  be a non-trivial property of r.e. languages. Then there are TM's  $K$  and  $T$  such that  $L(K)$  satisfies  $P$  and  $L(T)$  does not satisfy  $P$ .
- We show that  $L_P = \{M \mid L(M) \text{ satisfies } P\}$  is not recursive.
- Case 1: If  $\emptyset$  does not satisfy  $P$ . We reduce HP to  $L_P$ .
- Given  $M\#x$ , construct a machine  $M' = \sigma(M\#x)$  that on input  $y$ 
  - saves  $y$  on a separate track
  - writes  $x$  on its tape
  - runs as  $M$  on input  $x$
  - if  $M$  halts on  $x$ ,  $M'$  runs as  $K$  on  $y$  and accepts iff  $K$  accepts.

$$L(M') = \begin{cases} L(K) & \text{if } M \text{ halts on } x \\ \emptyset & \text{if } M \text{ does not halt on } x. \end{cases}$$

# Proof of Rice's Theorem 1

- Case 2: If  $\emptyset$  satisfies  $P$ . We reduce  $\neg\text{HP}$  to  $L_P$ .
- Given  $M\#x$ , construct a machine  $M' = \sigma(M\#x)$  that on input  $y$ 
  - saves  $y$  on a separate track
  - writes  $x$  on its tape
  - runs as  $M$  on input  $x$
  - if  $M$  halts on  $x$ ,  $M'$  runs as  $T$  on  $y$  and accepts iff  $T$  accepts.

$$L(M') = \begin{cases} \emptyset & \text{if } M \text{ does not halt on } x \\ L(T) & \text{if } M \text{ halts on } x. \end{cases}$$

## Proof of Rice's Theorem 2

- Let  $P$  be a non-monotone property of r.e. sets.
- Then there are TM's  $K$  and  $T$  such that  $L(K) \subseteq L(T)$  and  $L(K)$  satisfies  $P$  but  $L(T)$  does not.
- We show  $\neg\text{HP} \leq L_P$ .
- Given  $M \# x$  output the description of  $M'$  that
  - Given input  $y$  on Tape 1.
  - Copies  $y$  on Tape 2, writes  $x$  on Tape 3
  - Run (in an interleaved fashion) as  $M$  on  $x$ ,  $K$  on  $y$ , and  $T$  on  $y$ .
  - accept iff either
    - $K$  accepts  $y$ , or,
    - $M$  halts on  $x$  and  $T$  accepts  $y$ .

## Proof of Rice's Theorem 2

Notice that:

$$L(M') = \begin{cases} L(K) & \text{if } M \text{ does not halt on } x \\ L(T) & \text{if } M \text{ halts on } x. \end{cases}$$

## Some applications

From Rice's Theorem 1:

- “Accepts  $\epsilon$ ” is undecidable.
- “Accepts an infinite language” is undecidable.

$$\{M \mid M \text{ accepts an infinite language}\}.$$

From Rice's Theorem 2:

- “Accepts the empty language” is “highly” undecidable (non-r.e.).
- “Accepts a finite language” is highly undecidable (non-r.e.).

$$\{M \mid M \text{ accepts a finite language}\}.$$

- “Accepts a regular language” is highly undecidable (non-r.e.).