

# Context Sensitive Grammars and Linear Bounded Automata

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# Introduction

Till now:

$L_1 = \{a^n b^n c^n \mid n > 0\}$  is this a CFL?

can be shown **not CFL** using pumping lemma.

Solution: To deal with problems like this one, we need to strengthen our grammars. The key is to remove the constraint of being “context-free.”

# Chomsky Hierarchy

Level	Language type	Grammars	Accepting Automaton
3	Regular	$X \rightarrow \epsilon, X \rightarrow Y,$ $X \rightarrow aY$ (regular)	Finite State A
2	Context-free	$X \rightarrow \beta$	Pushdown A
1	Context-Sensitive	$\alpha A \beta \rightarrow \alpha \gamma \beta$ where $\gamma \neq \epsilon$	Linear Bounded A
0	Recursively enumerable	$\alpha \rightarrow \beta$ (unrestricted)	Turing Machine

# Formal Definition

- Context Sensitive Grammar (CSG) is a 4-tuple  $G = (N, \Sigma, P, S)$ , where
  - $N$  is a non empty set of non-terminal symbols.
  - $\Sigma$  is a non empty set of terminal symbols.
  - $S$  is the start symbol and  $S \in N$ .
  - $P$  is the non empty set of productions of the form:

$$\alpha \underline{A} \beta \rightarrow \alpha \underline{\gamma} \beta$$

where  $A \in N, \alpha, \beta \in (N \cup \Sigma)^*$  and  $\gamma \in (N \cup \Sigma)^+$

# Formal Definition

- Identify which of the following are a CSG production:

- 1  $aAb \rightarrow aBb$
- 2  $aAb \rightarrow bBa$
- 3  $aABb \rightarrow aBBb$
- 4  $Bc \rightarrow cB$
- 5  $AB \rightarrow BA$  (swapping)

Definition

# Formal Definition

- Identify which of the following are a CSG production:

- 1  $aAb \rightarrow aBb$  ✓
- 2  $aAb \rightarrow bBa$  ✗
- 3  $aABb \rightarrow aBBb$  ✓
- 4  $Bc \rightarrow cB$  ✗
- 5  $AB \rightarrow BA$  (swapping) ✗

# Context Sensitive Language

- A language  $L$  is said to be context-sensitive if there exists a context-sensitive grammar  $G$ , such that  $L = \mathcal{L}(G)$ .
- If  $G$  is context-sensitive Grammar then,

$$\mathcal{L}(G) = \left\{ w \mid (w \in \Sigma^*) \wedge (S \xrightarrow[G]^+ w) \right\}$$

# Context Sensitive Language: Example 1

## Example

$$L_1 = \{a^n b^n c^n \mid n > 0\}$$

The set of Production rules of **Context Sensitive Grammar G** for  $L_1$ :

- $S \rightarrow aBC$
- $S \rightarrow aSBC$
- $CB \rightarrow CZ$
- $CZ \rightarrow BZ$
- $BZ \rightarrow BC$
- $aB \rightarrow ab$
- $bB \rightarrow bb$
- $bC \rightarrow bc$
- $cC \rightarrow cc$

definition

# Non-Contracting Grammar

## Context Sensitive

Given a production:  $\alpha A \beta \rightarrow \alpha \gamma \beta$  where  $\gamma \neq \epsilon$ . During derivation non-terminal A will be changed to  $\gamma$  only when it is present in context of  $\alpha$  and  $\beta$ .

An alternative characterization of **context-sensitive languages** using **non-contracting grammars**.

## Non-contracting grammar

As a consequence of  $\gamma \neq \epsilon$ . We have

A formal grammar where production rules are of the form

$$\alpha \rightarrow \beta, \text{ where } |\alpha| \leq |\beta|$$

# Non-Contracting $\equiv$ Context Sensitive

## Theorem

A language is context sensitive if and only if it can be generated by a non-contracting grammar.

### CSG to NCG:

That every production of context-sensitive Grammar can be generated by non-contracting grammar is immediate, since context-sensitive grammars are, by definition, noncontracting.

# Non-Contracting $\equiv$ Context Sensitive

## NCG to CSG:

steps:

- 1 for every terminal symbol  $a \in \Sigma$ , add new non terminal  $[a]$  and add new rule  $[a] \rightarrow a$ .
- 2 replace every terminal symbol by its non terminal symbol.
- 3 Replace each rule  $X_1 \dots X_m \rightarrow Y_1 \dots Y_n$  with following

$$X_1 X_2 \dots X_{m-1} X_m \rightarrow Z_1 X_2 \dots X_{m-1} X_m$$

$$Z_1 X_2 \dots X_{m-1} X_m \rightarrow Z_1 Z_2 \dots X_{m-1} X_m$$

:

$$Z_1 Z_2 \dots X_{m-1} X_m \rightarrow Z_1 Z_2 \dots Z_{m-1} X_m$$

$$Z_1 Z_2 \dots Z_{m-1} X_m \rightarrow Z_1 Z_2 \dots Z_{m-1} Z_m Y_{m+1} \dots Y_n$$

$$Z_1 Z_2 \dots Z_{m-1} Z_m Y_{m+1} \dots Y_n \rightarrow Y_1 Z_2 \dots Z_{m-1} Z_m Y_{m+1} \dots Y_n$$

$$Y_1 Z_2 \dots Z_{m-1} Z_m Y_{m+1} \dots Y_n \rightarrow Y_1 Y_2 \dots Z_{m-1} Z_m Y_{m+1} \dots Y_n$$

:

$$Y_1 Y_2 \dots Z_{m-1} Z_m Y_{m+1} \dots Y_n \rightarrow Y_1 Y_2 \dots Y_{m-1} Z_m Y_{m+1} \dots Y_n$$

$$Y_1 Y_2 \dots Y_{m-1} Z_m Y_{m+1} \dots Y_n \rightarrow Y_1 Y_2 \dots Y_{m-1} Y_m Y_{m+1} \dots Y_n$$

# New Definition

## Context Sensitive Grammar

A context-sensitive grammar (CSG) is an unrestricted grammar in which every production has the form  $\alpha \rightarrow \beta$  with  $|\alpha| \leq |\beta|$  (where  $\alpha$  and  $\beta$  are strings of nonterminals and terminals).

Example 1:

# Context Sensitive Language: Example 1 (redefined)

## Example

$$L_1 = \{a^n b^n c^n \mid n > 0\}$$

The set of Production rules of **Non-Contracting grammar** G for  $L_1$ :

- $S \rightarrow abc$
- $S \rightarrow aSBc$
- $cB \rightarrow Bc$
- $bB \rightarrow bb$

## Example

Derive:  $a^3 b^3 c^3$

Example 1:

# Context Sensitive Language: Example 1

## Example

Derive:  $a^3b^3c^3$

$$\begin{aligned} S &\implies a\underline{S}Bc \\ &\implies aa\underline{S}BcBc \\ &\implies aaab\underline{c}BcBc \\ &\implies aaab\underline{B}ccBc \\ &\implies aaabb\underline{c}Bc \\ &\implies aaabb\underline{c}Bccc \\ &\implies aaabb\underline{B}ccc \\ &\implies aaabb\underline{B}ccc \end{aligned}$$

Example 2:

## Context Sensitive Language: Example 2

### Example

$$L_2 = \{x \in \{a, b, c\}^* \mid \#_a x = \#_b x = \#_c x\} - \{\epsilon\}$$

The set of Production rules of **non-contracting grammar** G for  $L_2$ :

- $S \rightarrow SABC / ABC$
- $XY \rightarrow YX$  for all  $X, Y \in \{A, B, C\}$
- $A \rightarrow a$
- $B \rightarrow b$
- $C \rightarrow c$

Note that the blue production is critical here, that is not allowed in context free grammar.

# Closure Properties

Context Sensitive Languages are closed under

- Union
- Intersection
- Complement
- Concatenation
- Kleene Closure
- Reversal

# Closure Properties

## Union

The class of context-sensitive languages is closed with respect to union.

- Let  $G_1 = (N_1, \Sigma_1, P_1, S_1)$  and  $G_2 = (N_2, \Sigma_2, P_2, S_2)$  be two CSG s.t  $L(G_1) = L_1$  and  $L(G_2) = L_2$ , W.L.O.G assume  $N_1 \cap N_2 = \emptyset$
- Construct  $G = (\{S\} \cup N_1 \cup N_2, \Sigma_1 \cup \Sigma_2, \{S \rightarrow S_1, S \rightarrow S_2\} \cup P_1 \cup P_2, S)$
- $G$  is a CSG and any derivation in  $G$  is of the form:  
$$S \xrightarrow{G_1} S_1 \xrightarrow{*} w \in L_1 \text{ or } S \xrightarrow{G_2} S_2 \xrightarrow{*} w \in L_2$$
- The strings derived by  $G$  is exactly the strings derived by  $L_1 \cup L_2$ . Thus  $L(G) = L_1 \cup L_2$

# Closure Properties

## Concatenation

The class of context-sensitive languages is closed with respect to concatenation.

- Let  $G_1 = (N_1, \Sigma, P_1, S_1)$  and  $G_2 = (N_2, \Sigma, P_2, S_2)$  be two CSG s.t  $L(G_1) = L_1$  and  $L(G_2) = L_2$ , W.L.O.G assume  $N_1 \cap N_2 = \emptyset$
- Construct  $G = (\{S\} \cup N_1 \cup N_2, \Sigma, \{S \rightarrow S_1 S_2\} \cup P_1 \cup P_2, S)$
- $G$  is a CSG and any derivation in  $G$  is of the form:  
$$S \xrightarrow[1]{G_1} S_1 S_2 \xrightarrow[G_1]{*} w_1 S_2 \xrightarrow[G_2]{*} w_1 w_2$$
- There are derivations for  $w_1$  and  $w_2$  from  $S_1$  and  $S_2$  respectively.  $\implies$  Applying these derivations to  $S_1 S_2$  we must get  $w = w_1 w_2$ .
- Conversely, if  $w \in L(G)$  then the rule  $S \rightarrow S_1 S_2$  ensures  $w = w_1 w_2$  where  $w_1 \in L_1$  and  $w_2 \in L_2$ .

# Recursive v/s Context Sensitive

## Theorem

Every context-sensitive language is recursive.

- Consider CSL  $L$  with an associated CSG  $G$ , and look at the derivation of  $w$ .

$$S \implies x_1 \implies x_2 \implies \dots \implies x_n \implies w$$

- Number of steps in any derivation is a bounded function of  $|w|$ . Since  $|x_j| \leq |x_j + 1|$  (non contracting  $G$ )
- There exist some index  $m = f(G, w)$  such that  $|x_j| < |x_j + m|, \forall j$  where  $m$  is bounded on  $|N \cup \Sigma|$  and  $|w|$
- Therefore, the length of a derivation of  $w \in L$  is atmost  $|w|.m(|w|)$ .
- Check all derivations of length up to  $|w|.m(|w|)$ . If any of them give  $w$ , then  $w \in L$ , otherwise not.

# Recursive v/s Context Sensitive

## Theorem

There exists a recursive language that is not context sensitive.

- Code each CSG  $G$  on input alphabet  $\{a,b\}$  using its production  $\alpha_i \rightarrow \beta_i$ ,  $i \in 1,2,\dots,m$  using  $\#$  as a separator as a binary string.
- String that code a CSG can be placed in an order. If a binary string  $w_i$  represents a CSG, call it  $G_i$ .
- Define a new Language  $L = \{w_i : w_i \text{ defines } G_i \text{ and } w_i \notin L(G_i)\}$
- Claim:  $L$  is recursive and not Context Sensitive

# Recursive v/s Context Sensitive

## Claim

$L$  is recursive and not Context Sensitive

$$L = \{w_i : w_i \text{ defines } G_i \text{ and } w_i \notin L(G_i)\}$$

**L is Recursive:**

- Given  $w_i$ , verify whether it defines a CSG  $G_i$ .
- If  $w_i$  does not define a CSG, then  $w_i \notin L$ . If  $w_i$  defines a CSG, use Membership Algorithm defined to find out if  $w_i \in L(G_i)$ . If  $w_i \notin L(G_i)$ , then  $w_i \in L$ .
- $L$  is well defined and is recursive

# Recursive v/s Context Sensitive

## Theorem

There exists a recursive language that is not context sensitive.

$$L = \{w_i : w_i \text{ defines } G_i \text{ and } w_i \notin L(G_i)\}$$

### **L is not Context Sensitive**

- Proof by contradiction, assume that L is a CSL. Then there exists some CSG  $w_k$  such that  $L=L(G_k)$  for some k.
- If  $w_k \in L(G_k)$ , then  $w_k \notin L$  (by def. of L). But  $L=L(G_k)$ .  $\Rightarrow$  Contradiction.
- If  $w_k \notin L(G_k)$   $\Rightarrow$   $w_k \in L$ . But  $L=L(G_k)$ .  $\Rightarrow$  Contradiction
- So L is not context sensitive.

# Expressive Power of CSL

- Every Context sensitive language is recursive and there exists a recursive language that is not context sensitive. Thus, CSL has less expressive power than Recursive languages.

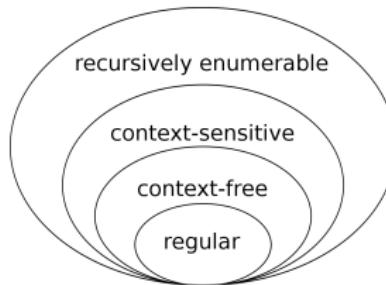
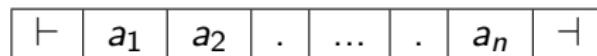


Figure: Chomsky Hierarchy

# Linear Bounded Automata

A non-deterministic single tape Turing machine that uses only the tape space occupied by the input is called a **linear-bounded automaton (LBA)**.



where,  $a_1, a_2, \dots, a_n \in A$

# Equivalent Definition

- An equivalent definition of an LBA is that it uses only constant ( $c$ ) times the amount of space occupied by the input string, where  $c$  is a constant fixed for the particular machine.
- The machine therefore has just linear amount of memory, bounded by the length of the input string. We call this a **linear bounded automaton**.

## Formal definition

# Formal definition

A Linear Bounded Automaton is a non-deterministic Turing Machine,

$$M = (Q, A, \Gamma, s, \delta, \vdash, \dashv, B, t, r)$$

- 1  $Q$  is a finite non empty set of states.
- 2  $A$  is the finite non empty set of input alphabet.
- 3  $\Gamma$  is the finite tape alphabet which contains  $A$ .
- 4  $s \in Q$  is the start state.
- 5  $\delta$  is the set of transitions.
- 6  $\vdash \in \Gamma$  is the left-end marker
- 7  $\dashv \in \Gamma$  is the right-end marker.
- 8  $B \in \Gamma$  is the blank tape symbol.
- 9  $t \in Q$  is the accept state.
- 10  $r \in Q$  is the reject state and  $r \neq t$ .

# Formal Definition

- The Transition should satisfy the following conditions:
  - It should not replace the marker symbols by any other symbol.
  - The tape head should not move left of  $\vdash$  and right of  $\dashv$ .
- Thus, the initial configuration on input  $x$  will be:

$$(s, \vdash x \dashv, 0)$$

- The linear-bounded M accept  $w$ . if,

$$\left\{ (s, \vdash w \dashv, 0) \xrightarrow{M}^* (t, \vdash \alpha \dashv, i), \text{ for some } \alpha \text{ and } i \right\}$$

# Number of Configurations

- Let a given LBA M has
  - q states.
  - m characters in the tape alphabet.
  - and the input length is n.
- Then M can be in at most

$$\alpha(n) = m^n \times q \times n$$

configurations.

# Results about LBA

## Theorem

*On an input of length  $n$ , if the LBA  $M$  does not halt after  $m^n nq$  steps, then  $M$  cannot accept the input.*

## Proof.

The computation of  $M$  begins with the start configuration. When  $M$  performs a step, it goes from one configuration to another. If  $M$  does not halt after  $m^n nq$  steps, some configuration has repeated. Then  $M$  will repeat this configuration over and over.  $\Rightarrow$  Loop.



## Halting Problem

## Results about LBA

## Halting Problem

$$\text{HALT}_{LBA} = \{ \langle M, w \rangle \mid M \text{ is a LBA and } M \text{ halts on input } w \in A^* \}$$

## Halting Problem

## Results about LBA

## Halting Problem

The halting problem is solvable for linear bounded automata.

- $\text{HALT}_{LBA} = \{ \langle M, w \rangle \mid M \text{ is a LBA and } M \text{ halts on input } w \in A^* \}$  is decidable.
- An LBA that stops on input  $w$  must stop in at most  $\alpha(|w|)$  steps.

## Membership Problem

## Results about LBA

## Membership Problem

$$A_{LBA} = \{ \langle M, w \rangle \mid M \text{ is an LBA and } M \text{ accepts } w \in A^* \}$$

## Membership Problem

## Results about LBA

## Membership Problem

The membership problem is decidable for linear bounded automata.

- $A_{LBA} = \{ \langle M, w \rangle \mid M \text{ is an LBA and } M \text{ accepts } w \in A^* \}$  is decidable

## Proof.

Simulate M on w for  $m^n nq$  steps ( $n = |w|$ ) or until it halts.

If M halts and accepts w, Accepted!

else, Rejected!



# Language accepted by LBA

- The language accepted by LBA  $M$  is denoted  $L(M)$  and is the set of strings accepted by  $M$ .
- A language  $L \subseteq A^*$  is called **Context Sensitive Language (CSL)** if it is accepted by some Linear Bounded Automaton  $M$ .

## Intersection closure of CSL

Given CSL L1 and CSL L2 we can have a LBA( M1 ) and LBA( M2 ) for it.

We can construct a new LBA for  $L1 \cap L2$  by using a 2-track tape. One track will simulate M1 and other will simulate M2. If both of them accept then string is accepted by intersection.

# Curiosity

- At the bottom level of the Chomsky hierarchy, it makes no difference: every NFA can be simulated by a DFA.
- At the top level, the same happens. Any nondeterministic Turing machine can be simulated by a deterministic one.
- At the context-free level, there is a difference: we need NPDAs to account for all context-free languages.
- What about the context-sensitive level? Are NLBAs strictly more powerful than DLBAs? Asked in 1964, and **still open!!**

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*Thank You!*