

# Interprocedural analysis: Sharir-Pnueli's functional approach

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# Outline

## 1 Functional Approach

## 2 Example

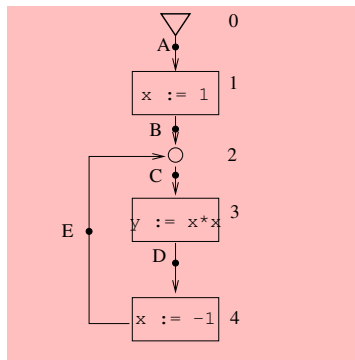
## 3 Iterative Approach

## 4 Exercises

## Equation solving approach

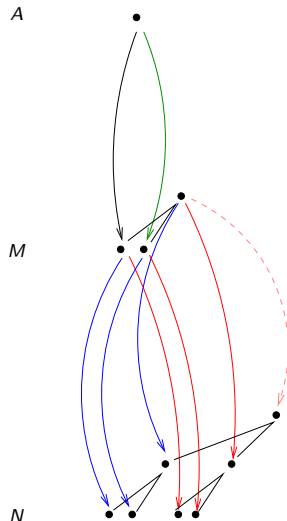
In non-procedural case, we set up equations to capture JOP assuming distributivity. Least solution to these equations gave us exact/over-approx JOP depending on distributive/monotonic framework.

$$\begin{aligned} x_A &= \emptyset \\ x_B &= f_1(x_A) \\ x_C &= x_B \sqcup x_E \\ x_D &= f_3(x_C) \\ x_E &= f_4(x_D) \end{aligned}$$



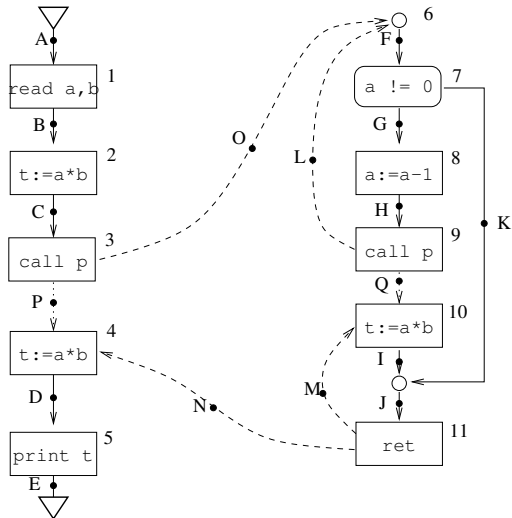
## Equations to capture JOP: why it works

- We want JOP at  $N$ .
- Suppose  $M$  is an intermediate point such that **all** paths to  $N$  pass through  $M$ .
- If transfer functions are distributive, then we can take join over paths at point  $M$ , and then join over paths from  $M$  to  $N$ .



## Equation solving: Problems with naive approach

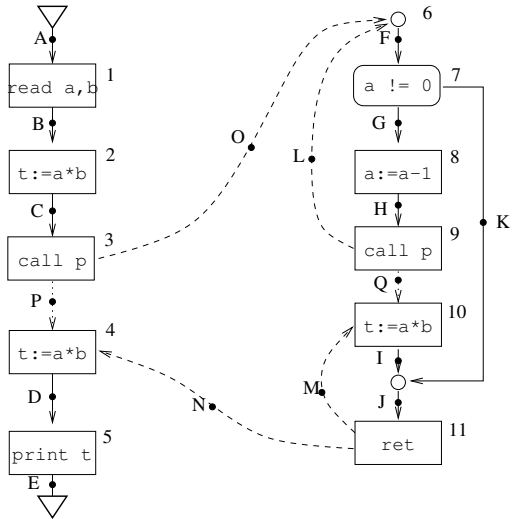
- Try to set up similar equations for  $x_N$  (JVP at program point  $N$ ).
- How do we describe  $x_N$  in terms of  $x_J$ ?



## Instead try to capture join over **complete** paths first

- Set up equations to capture join over **complete** paths.
- Now set up equations to capture JVP using join over complete path values.

- Root of procedure  $p$  is denoted  $r_p$ .
- Exit (return) of procedure  $p$  is denoted  $e_p$ .
- Sometimes use  $r_1$  for  $r_{main}$ .
- Assume WLOG that `main` is not called.



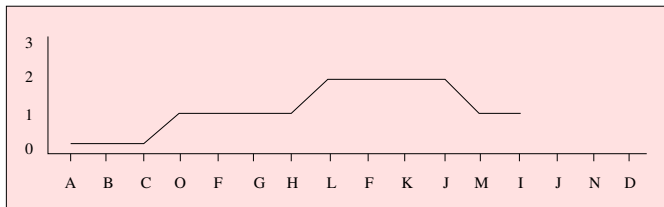
## Valid and complete paths

- A path  $\rho$  in  $G'$  is **valid and complete** if it is an interprocedurally valid path in  $G'$  and the associate call-string is empty.
- Denote the set of such valid and complete paths by  $IVP_0(G')$
- That is  $\rho \in IVP_0(G')$  iff  $\rho \in IVP(G')$  and  $cs(\rho) = \epsilon$ .

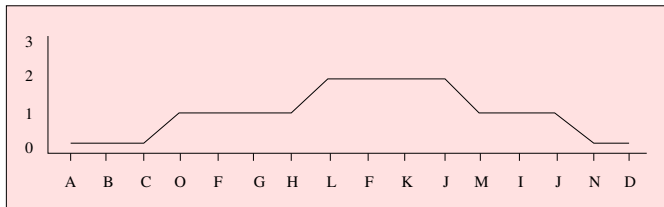


## Example paths

An example valid path in  $IVP(r_1, I)$ :



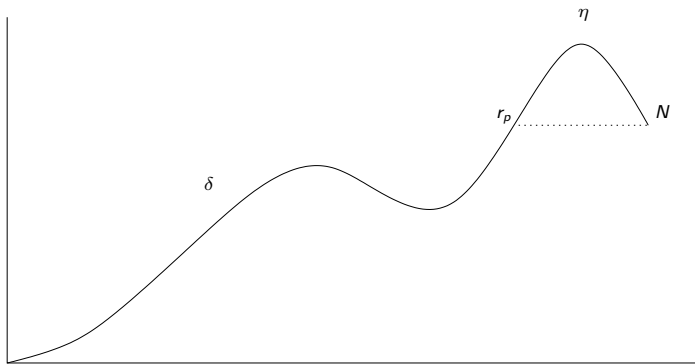
An example valid and complete path in  $IVP_0(r_1, D)$ :



Path “FGHLFKJMIJ” is valid and complete and is in  $IVP_0(r_p, J)$ .

## Basic idea: Why join over complete paths help

An IVP path  $\rho$  from  $r_1$  to  $N$  in procedure  $p$  can be written as  $\delta \cdot \eta$  where  $\delta$  is in  $\text{IVP}(r_1, r_p)$ , and  $\eta$  is in  $\text{IVP}_0(r_p, N)$ .



Path  $\eta$  is suffix after last pending call to procedure  $p$  was made.

## Join over valid and complete paths from $r_p$ to $N$

For a procedure  $p$  and node  $N$  in  $p$ , define:

$$\phi_{r_p, N} : D \rightarrow D$$

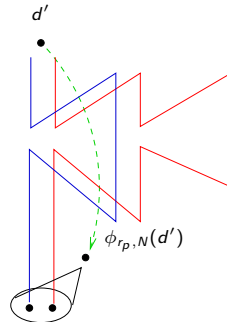
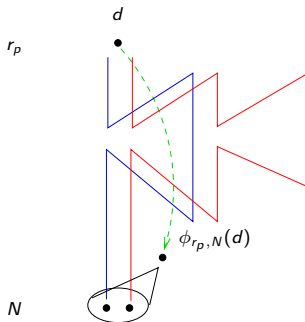
given by

$$\phi_{r_p, N}(d) = \bigsqcup_{\text{paths } \rho \in \text{IVP}_0(r_p, N)} f_\rho(d).$$

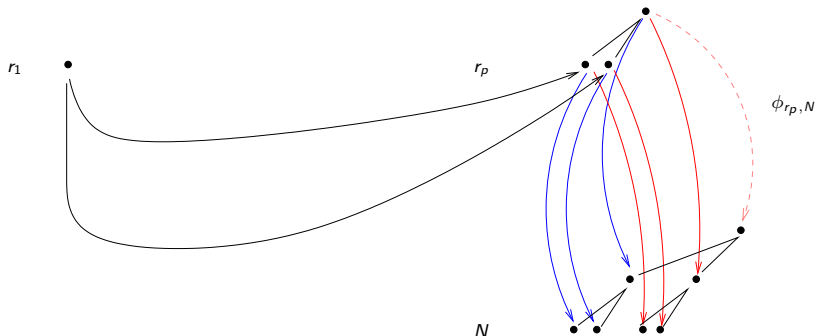
$\phi_{r_p, N}$  is thus the join of all functions  $f_\rho$  where  $\rho$  is an **interprocedurally valid and complete** path from  $r_p$  to  $N$ .

We call  $\phi_{r_p, N}$  the **Join over Valid and Complete Paths** (JVCP) from  $r_p$  to  $N$ .

# Visualizing $\phi_{r_p, N}$



## Using $\phi_{r_p, N}$ 's to get JVP values



Assuming distributivity of underlying transfer functions, JVP value at  $N$  equals  $\phi_{r_p, N}$  applied to JVP value at  $r_p$ .

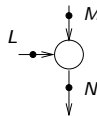
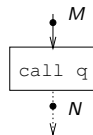
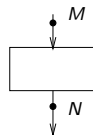
# Equations (1) to capture $\phi_{r_p, N}$

$$y_{r_p, r_p} = id_D \quad (root)$$

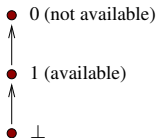
$$y_{r_p, N} = f_{MN} \circ y_{r_p, M} \quad (stmt)$$

$$y_{r_p, N} = y_{r_q, e_q} \circ y_{r_p, M} \quad (call)$$

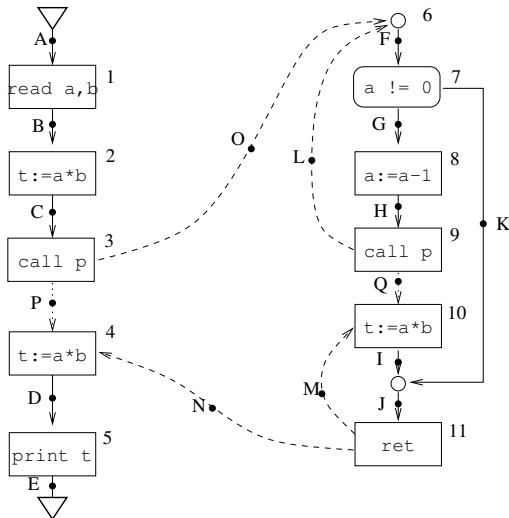
$$y_{r_p, N} = y_{r_p, L} \sqcup y_{r_p, M} \quad (join)$$



## Example: Available expressions analysis



Lattice for Av-Exp analysis.



## Functions we will use for example analysis

- $D = \{\perp, 1, 0\}$ .

- $\mathbf{0} : D \rightarrow D$  given by

$\perp$	$\mapsto$	$\perp$
0	$\mapsto$	0
1	$\mapsto$	0

- $\mathbf{1} : D \rightarrow D$  given by

$\perp$	$\mapsto$	$\perp$
0	$\mapsto$	1
1	$\mapsto$	1

- $id : D \rightarrow D$  given by

$\perp$	$\mapsto$	$\perp$
0	$\mapsto$	0
1	$\mapsto$	1

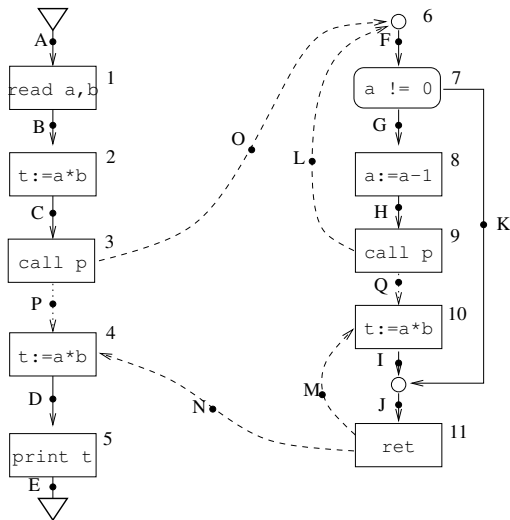
- Ordering:  $\mathbf{1} \leq id \leq \mathbf{0}$ .



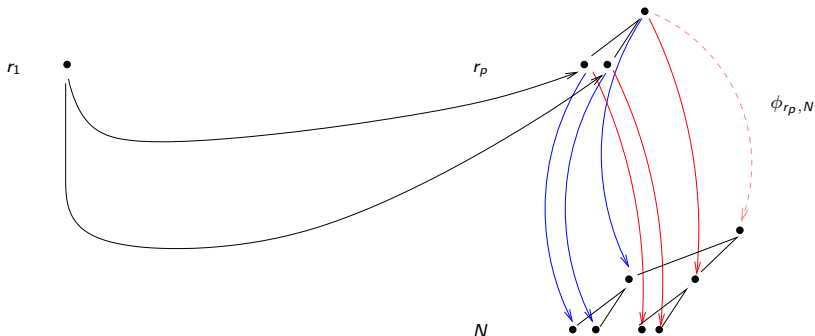
## Example: Equations (1) for $\phi$ 's

$$\begin{aligned}
 y_{A,A} &= id \\
 y_{A,B} &= \mathbf{0} \circ y_{A,A} \\
 y_{A,C} &= \mathbf{1} \circ y_{A,B} \\
 y_{A,P} &= y_{F,J} \circ y_{A,C} \\
 y_{A,D} &= \mathbf{1} \circ y_{A,P} \\
 y_{A,E} &= id \circ y_{A,D}
 \end{aligned}$$

$$\begin{aligned}
 y_{F,F} &= id \\
 y_{F,G} &= id \circ y_{F,F} \\
 y_{F,K} &= id \circ y_{F,F} \\
 y_{F,H} &= \mathbf{0} \circ y_{F,G} \\
 y_{F,Q} &= y_{F,J} \circ y_{F,H} \\
 y_{F,I} &= \mathbf{1} \circ y_{F,Q} \\
 y_{F,J} &= y_{F,I} \sqcup y_{F,K}
 \end{aligned}$$



## Using $\phi_{r_p, N}$ 's to get JVP values



Assuming distributivity of underlying transfer functions, JVP value at  $N$  equals  $\phi_{r_p, N}$  applied to JVP value at  $r_p$ .

## Equations (2) to capture JVP

$$\begin{aligned}
 x_1 &= d_0 \\
 x_{r_p} &= \bigsqcup_{\text{calls } C \text{ to } p} x_C \\
 x_N &= \phi_{r_p, N}(x_{r_p}) \quad \text{for } N \in \text{ProgPts}(p) - \{r_p\}.
 \end{aligned}$$

## Example: Equations for $x_N$ 's (JVP)

$$x_A = 0$$

$$x_B = \phi_{AB}(x_A)$$

$$x_C = \phi_{AC}(x_A)$$

$$x_P = \phi_{AP}(x_A)$$

$$x_D = \phi_{AD}(x_A)$$

$$x_E = \phi_{AE}(x_A)$$

$$x_F = x_C \sqcup x_H$$

$$x_G = \phi_{FG}(x_F)$$

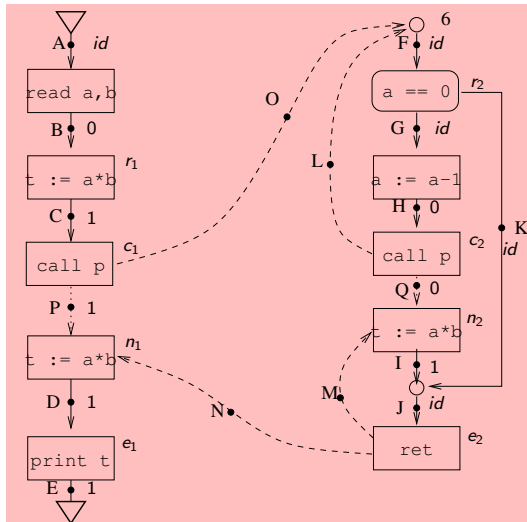
$$x_K = \phi_{FK}(x_F)$$

$$x_H = \phi_{FH}(x_F)$$

$$x_Q = \phi_{FQ}(x_F)$$

$$x_I = \phi_{FI}(x_F)$$

$$x_J = \phi_{FJ}(x_F).$$



## Example: Equations for $x_N$ 's (JVP)

$$\begin{aligned}
 x_A &= 0 \\
 x_B &= \mathbf{0}(x_A) \\
 x_C &= \mathbf{1}(x_A) \\
 x_P &= \mathbf{1}(x_A) \\
 x_D &= \mathbf{1}(x_A) \\
 x_E &= \mathbf{1}(x_A) \\
 \\ 
 x_F &= x_C \sqcup x_H \\
 x_G &= id(x_F) \\
 x_K &= id(x_F) \\
 x_H &= \mathbf{0}(x_F) \\
 x_Q &= \mathbf{0}(x_F) \\
 x_I &= \mathbf{1}(x_F) \\
 x_J &= id(x_F).
 \end{aligned}$$

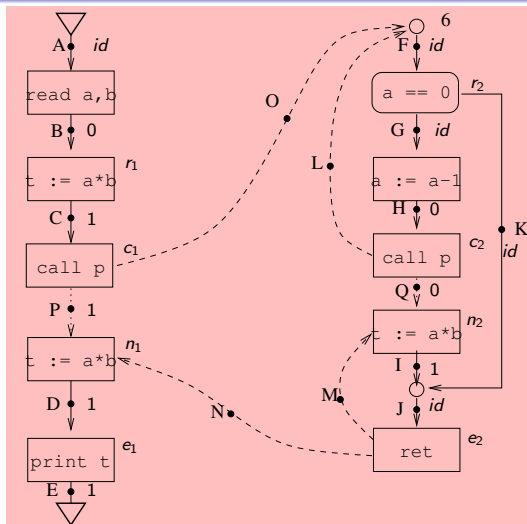


Fig. shows values of  $\phi_{r_p, N}$ 's in bold.

## Solving a system of equations using Knaster-Tarski Theorem

Given equations  $(E_1)$

$$y_1 = f_1(y_1, \dots, y_n)$$

...

$$y_n = f_n(y_1, \dots, y_n)$$

Consider a complete lattice  $(D, \leq)$  such that:

- $D$  is **closed** under each  $f_i$ .
- Each  $f_i$  is a **monotonic** function on this lattice: if  $\langle d_1, \dots, d_n \rangle \leq \langle e_1, \dots, e_n \rangle$  then  $f_i(d_1, \dots, d_n) \leq f_i(e_1, \dots, e_n)$ .
- Equivalently, the function  $\bar{F}$  on  $(D^n, \leq)$  given by

$$\bar{F}(\langle d_1, \dots, d_n \rangle) = \langle f_1(d_1, \dots, d_n), \dots, f_n(d_1, \dots, d_n) \rangle,$$

is monotonic.

Then, by Knaster-Tarski, the function  $\bar{F}$  on  $(D^n, \leq)$  has a LFP, which coincides with the least solution (in  $D^n$ ) to equations  $(E_1)$ .

## Solving Eq (1) using Knaster-Tarski

- Consider lattice  $(F, \leq)$  of **functions** from  $D$  to  $D$ , obtained by closing the transfer functions, identity, and  $f_{\perp} : d \mapsto \perp$  under composition and join. (Alternatively we can take  $F$  to be all monotone functions on  $D$ .)
- Ordering is  $f \leq g$  iff  $f(d) \leq g(d)$  for each  $d \in D$ .
- $(F, \leq)$  is also a complete lattice.
- $\bar{f}$  induced by Eq (1) is monotone on complete lattice  $(\bar{F}, \bar{\leq})$ .
  - Sufficient to argue that function composition  $\circ$  is monotone when applied to monotone functions.
  - Join operation  $\sqcup$  is monotone.
- LFP / least solution (say  $y_{r_p, N}^*$ 's) exists by Knaster-Tarski.
- Each  $y_{r_p, N}^*$  is necessarily monotonic.

## Correctness claim I

### Claim

- ① The least solution to Eq (1) dominates  $\phi_{r_p, N}$ 's (i.e.  $\phi_{r_p, N} \leq y_{r_p, N}^*$  for each  $N$ ).
- ②  $\phi_{r_p, N}$ 's are the least solution to Eq (1) (i.e.  $\phi_{r_p, N} = y_{r_p, N}^*$  for each  $N$ ) when  $f_{MN}$ 's are distributive.

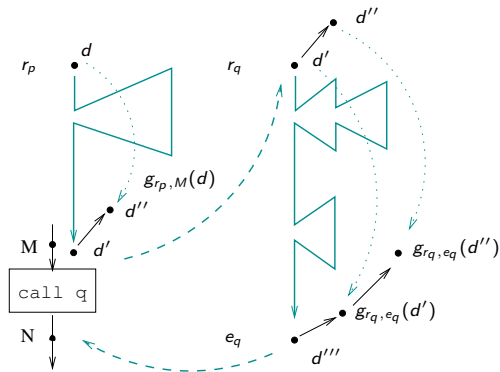


# Proof

## 1 For part 1:

- Let  $g_{r_p, N}$  be **any** monotone solution to Eq (1).
- Sufficient to prove:  
For each  $d \in D$ ,  
and  $\rho$  an  $IVP_0$  path from  $r_p$  to  $N$ ,  
 $f_\rho(d) \leq g_{r_p, N}(d)$ .
- Proof by induction on length of path  $\rho$ .

- ## 2 For part 2: Prove that $\phi_{r_p, N}$ 's are a solution to Eq (1), and hence they will dominate the least solution.



## Using Kildall to compute LFP

- We can use Kildall's algo to compute the LFP of these equations as follows.
  - Initialize the value at all program points with RHS of the constant equations (in this case  $id$  at entry of procedures), and the bottom value (in this case  $f_{\perp}$ ).
  - Mark all values
  - Pick a marked value at point say  $N$ , and “propagate” it (i.e. for any node  $M$  in the LHS of an equation in which  $N$  occurs in the RHS, evaluate  $M$  and join it with the existing value at  $M$ ). Mark as before in Kildall's algo.
  - Stop when no more marked values to propagate.
- Kildall's algo will compute  $y_{r_p, N}^*$  if  $D$  is finite. Note that finite height of  $(D, \leq)$  is not sufficient for termination.

## Correctness and Algo II

Consider Eq (2)':

$$\begin{aligned} x_1 &= d_0 \\ x_{r_p} &= \bigsqcup_{\text{calls } C \text{ to } p} x_C \\ x_N &= y_{r_p, N}^*(x_{r_p}) \quad \text{for } N \in N_p - \{r_p\}. \end{aligned}$$

(Recall that  $y_{r_p, N}^*$ 's are the least solution of Eq (1).)

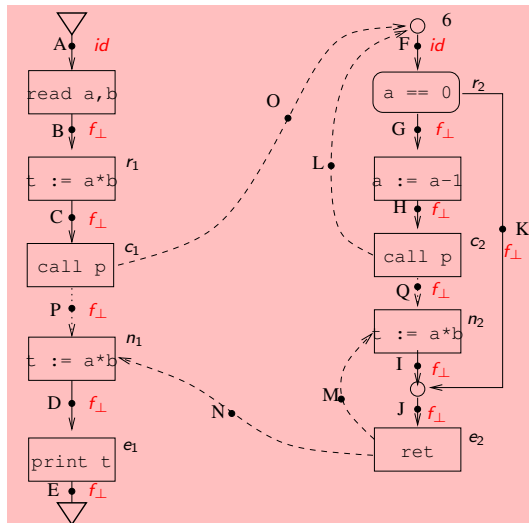
- $\bar{f}$  induced by Eq (2)' is a monotone function on the complete lattice  $(\bar{D}, \leq)$ .
- LFP / least solution (say  $x_N^*$ 's) exists by Knaster-Tarski.

### Claim

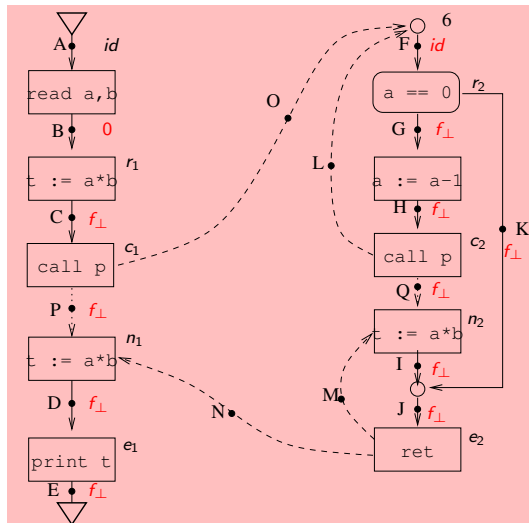
JVP values are the least solution to Eq (2)' (i.e.  $\text{JVP}_N = x_N^*$ ) when  $f_{MN}$ 's are distributive. Otherwise  $\text{JVP}_N \leq x_N^*$  for each  $N$ .

Kleene/Kildall's algo will compute  $x_N^*$ 's (assuming  $D$  finite).

### Example: Computing $\phi_{r_p, N}$ 's ( $y_{r_p, N}^*$ to be precise) using Kildall's algo

$$\begin{aligned} y_{A,A} &= id \\ y_{A,B} &= \mathbf{0} \circ y_{A,A} \\ y_{A,C} &= \mathbf{1} \circ y_{A,B} \\ y_{A,P} &= y_{F,J} \circ y_{A,C} \\ y_{A,D} &= \mathbf{1} \circ y_{A,P} \\ y_{A,E} &= id \circ y_{A,D} \end{aligned}$$
$$\begin{aligned} y_{F,F} &= id \\ y_{F,G} &= id \circ y_{F,F} \\ y_{F,K} &= id \circ y_{F,F} \\ y_{F,H} &= \mathbf{0} \circ y_{F,G} \\ y_{F,Q} &= y_{F,J} \circ y_{F,H} \\ y_{F,I} &= \mathbf{1} \circ y_{F,Q} \\ y_{F,J} &= y_{F,I} \sqcup y_{F,K} \end{aligned}$$


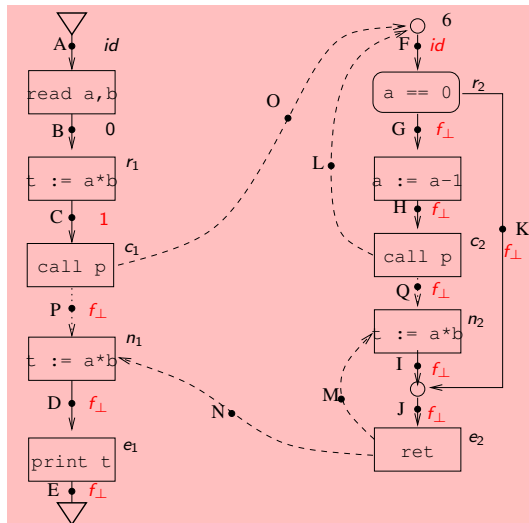
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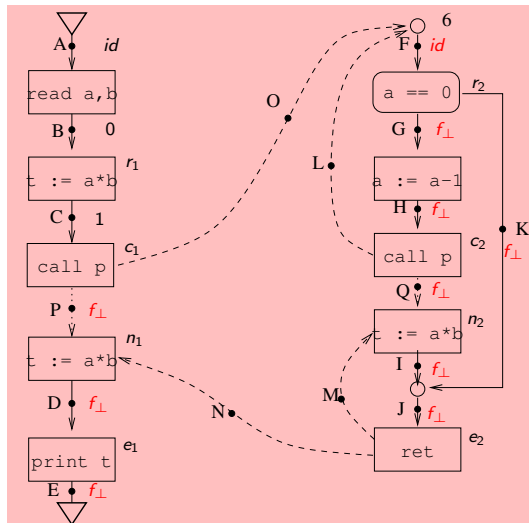
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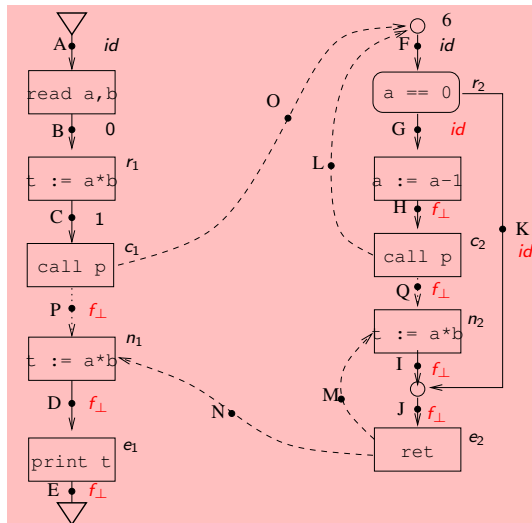
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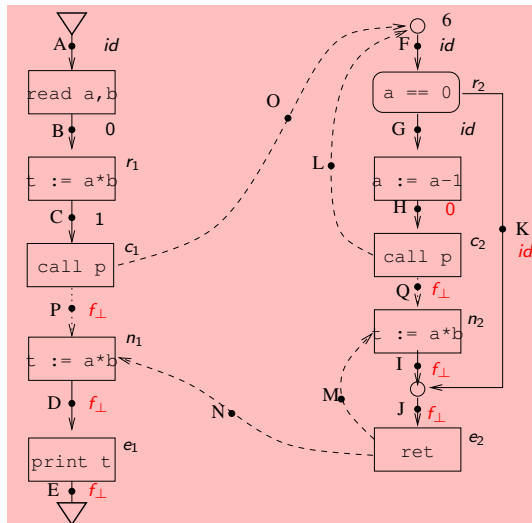




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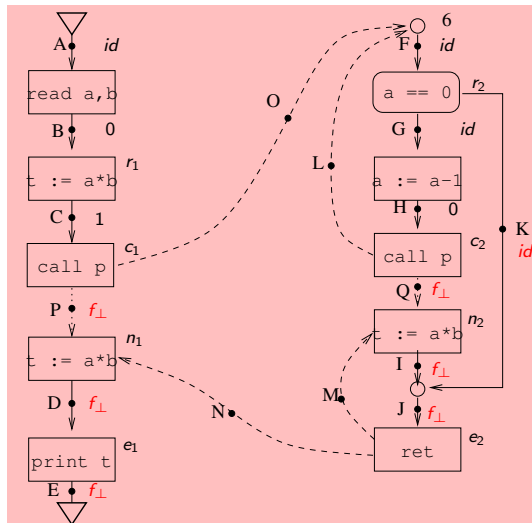
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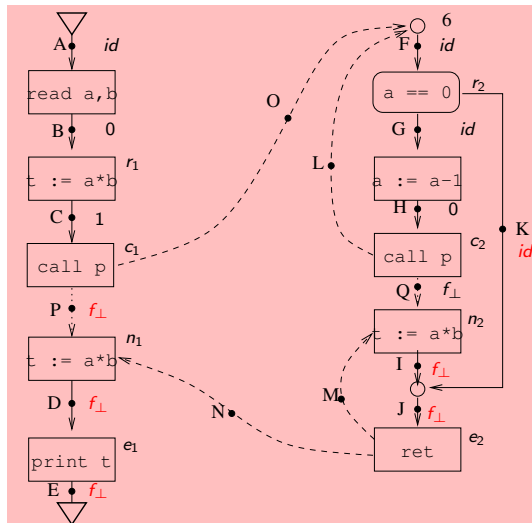
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# Example: Computing $\phi_{r_p,N}$ 's ( $y_{r_p,N}^*$ to be precise) using Kildall's algo

$$\begin{aligned}
 y_{A,A} &= id \\
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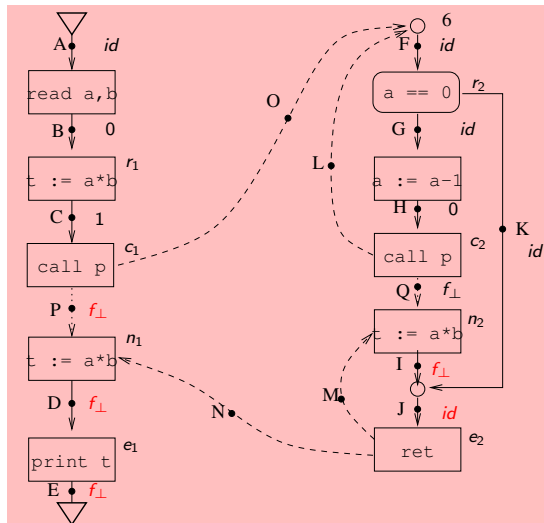
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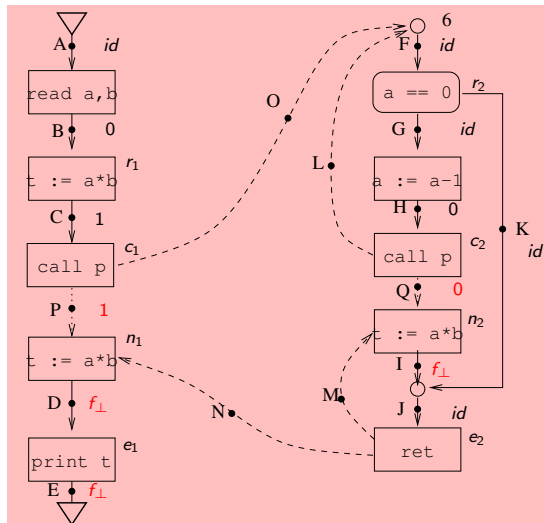
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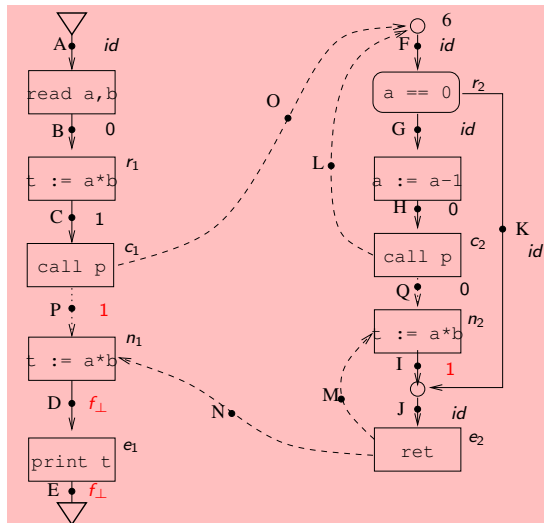
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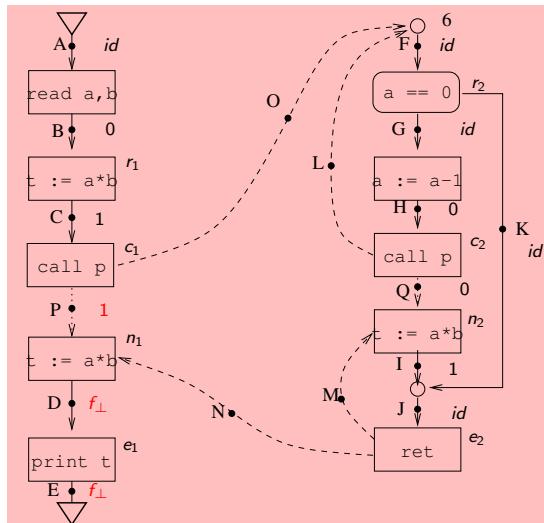
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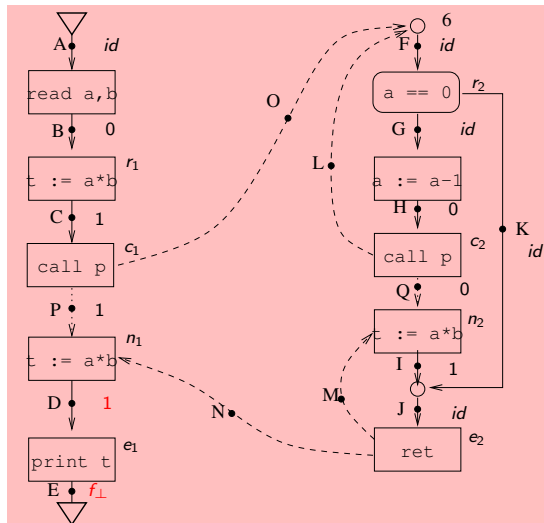
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$$\begin{aligned} y_{A,A} &= id \\ y_{A,B} &= \mathbf{0} \circ y_{A,A} \\ y_{A,C} &= \mathbf{1} \circ y_{A,B} \\ y_{A,P} &= y_{F,J} \circ y_{A,C} \\ y_{A,D} &= \mathbf{1} \circ y_{A,P} \\ y_{A,E} &= id \circ y_{A,D} \end{aligned}$$
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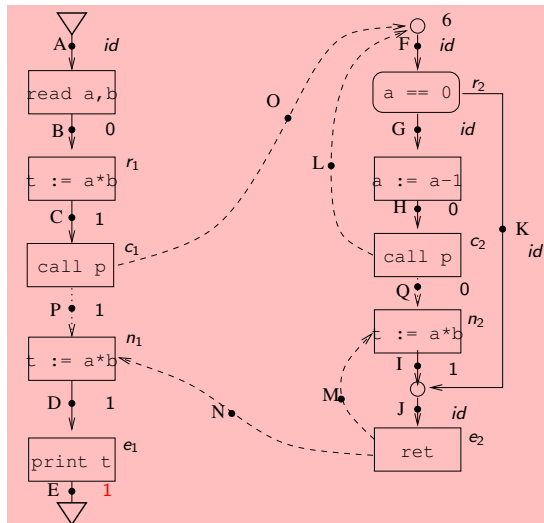




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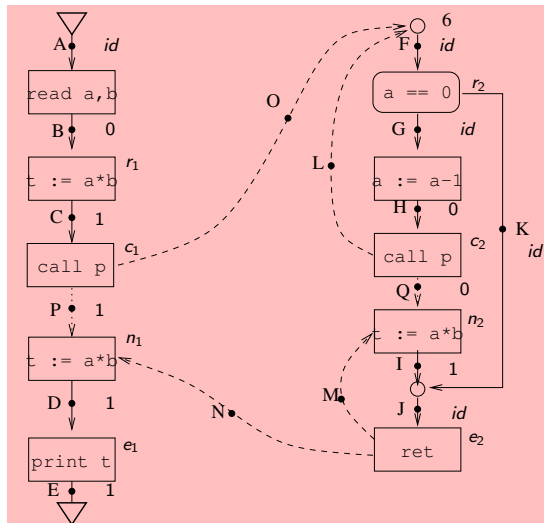
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# Example: Computing $\phi_{r_p,N}$ 's ( $y_{r_p,N}^*$ to be precise) using Kildall's algo

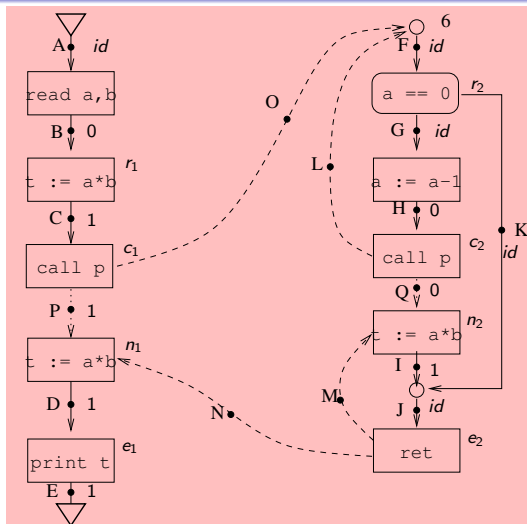
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# Example: Computing JVP values ( $x_N^*$ 's to be precise)

$$\begin{aligned}
 x_A &= 0 \\
 x_B &= \mathbf{0}(x_A) \\
 x_C &= \mathbf{1}(x_A) \\
 x_P &= \mathbf{1}(x_A) \\
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 x_E &= \mathbf{1}(x_A) \\
 \\ 
 x_F &= x_C \sqcup x_H \\
 x_G &= id(x_F) \\
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 x_H &= \mathbf{0}(x_F) \\
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## Example: Computing JVP values ( $x_N^*$ 's to be precise)

$x_A = 0$   
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 $x_Q = \mathbf{0}(x_F)$   
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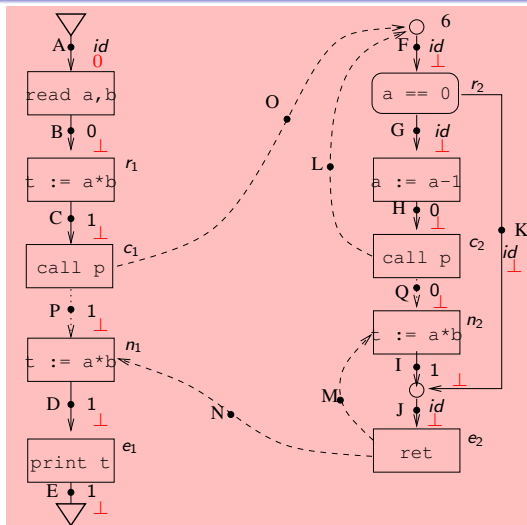


Fig shows initial (red) and final (blue) values.

## Example: Computing JVP values ( $x_N^*$ 's to be precise)

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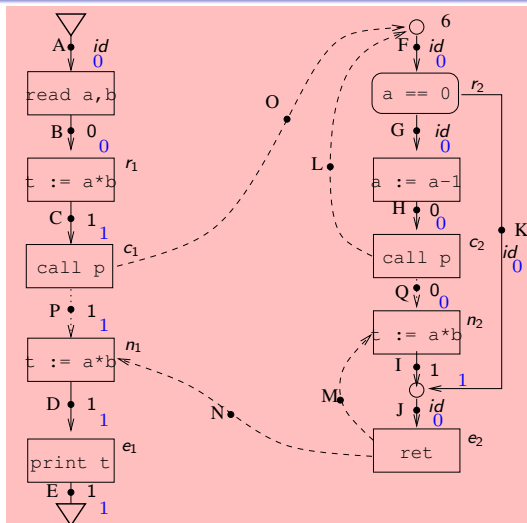


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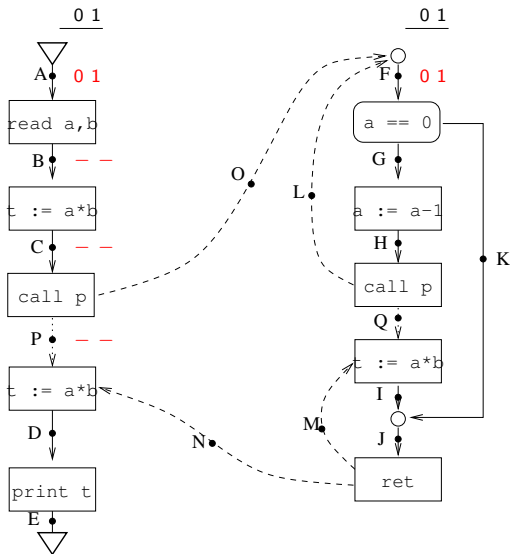
## Summary of functional approach

- Uses a two step approach
  - 1 Compute  $\phi_{r_p, N}$ 's (JVCPs for each function).
  - 2 Compute  $x_n$ 's (JVPs) at each point.

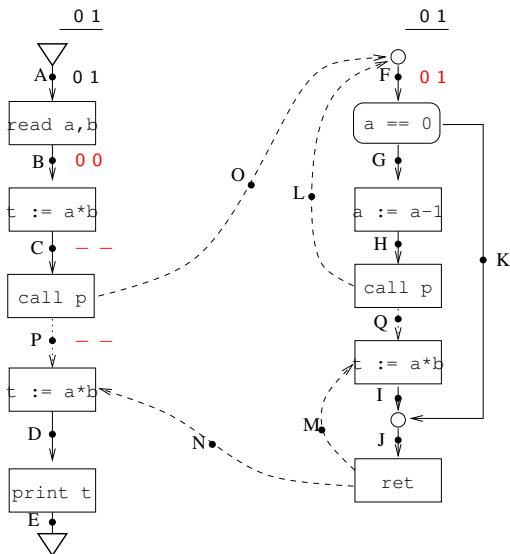
Summary of conditions: For each property (column heading), the conjunction of the ticked conditions (row headings) are sufficient to ensure the property.

	Termination	Least Sol of Eq(2) $\geq$ JVP	Least Sol of Eq(2) = JVP
$f_{MN}$ 's monotonic	✓	✓	✓
Finite underlying lattice	✓		
$f_{MN}$ 's distributive			

# Viewing $\phi$ computation as a table

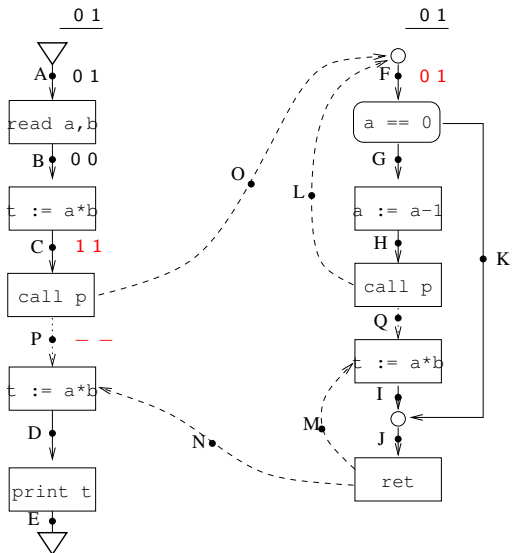


# Viewing $\phi$ computation as a table

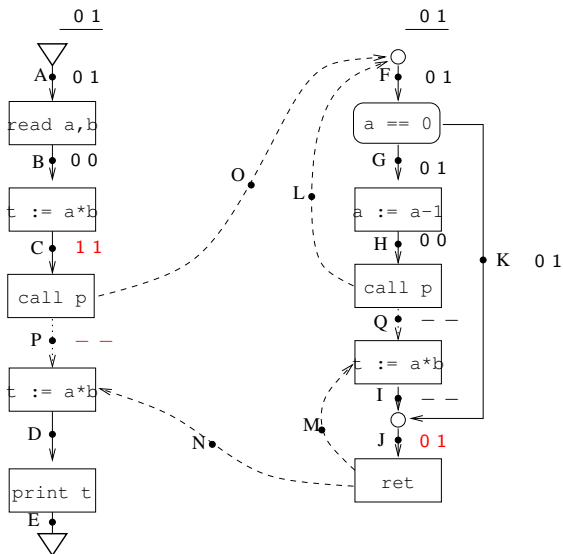




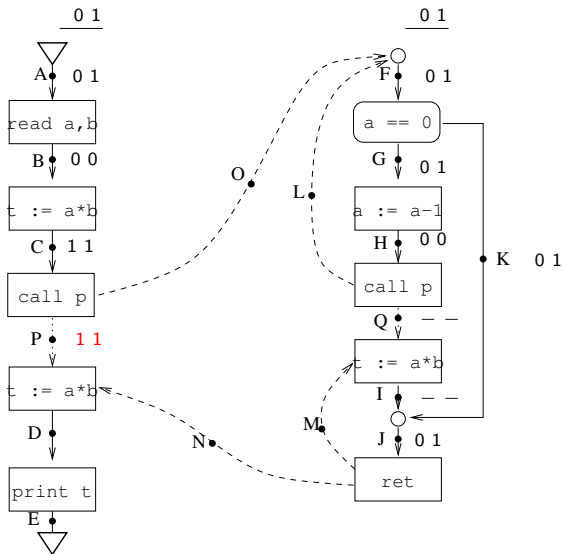
# Viewing $\phi$ computation as a table



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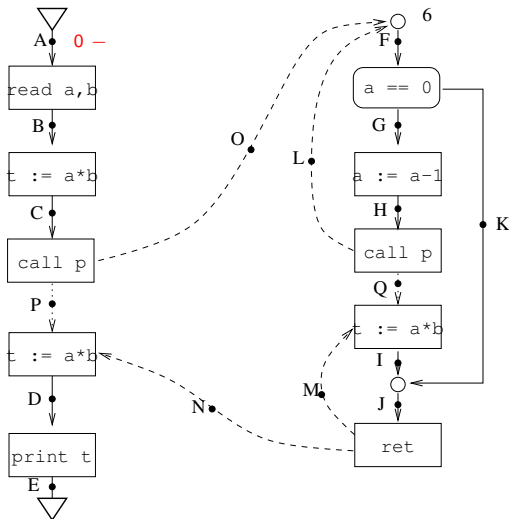
## Iterative/Tabulation Approach

- Main idea: **de-couple** the propagation of function rows.
- Maintain a **table** of values representing the current value of  $\phi_{r_p, N}$  for each program point  $N$  in procedure  $p$ .
- Expand column for data value  $d$  in procedure  $p$  only if  $d$  is reachable at  $r_p$ .
- Informally, at  $N$  in procedure  $p$ , the table has an entry  $d \mapsto d'$  if we have seen
  - 1 valid paths  $\rho$  from  $r_1$  to  $r_p$  with  $\bigsqcup_{\rho} f_{\rho}(d_0) = d$ , and
  - 2 valid and complete paths  $\delta$  from  $r_p$  to  $N$  with  $\bigsqcup_{\delta} f_{\delta}(d) = d'$ .

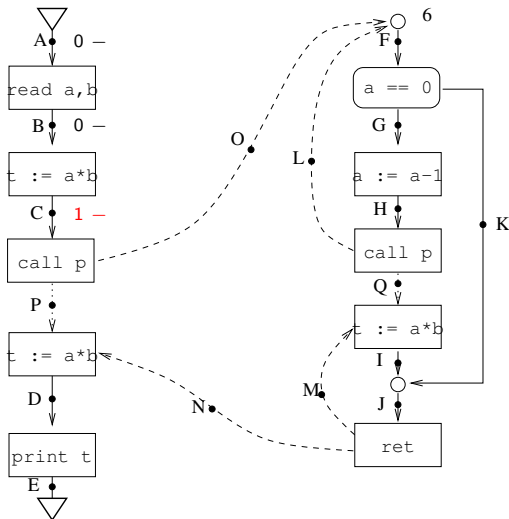
## Iterative/Tabulation Approach

- Apply Kildall's algo with initial value of  $d_0 \mapsto d_0$  at  $r_1$ .
- Propagating value  $d$  across a call to procedure  $p$ : (a) begin a column for  $d$  at root of  $p$  if not already there; Also (b) if  $d$  is mapped to  $d'$  at the end of  $p$ , then propagate  $d'$  to the return site of the call.
- Propagating across return nodes from procedure  $p$ : value  $d'$  in column for  $d$  is propagated to each column at a return site of a call to procedure  $p$  that has the value  $d$  in the preceding entry.

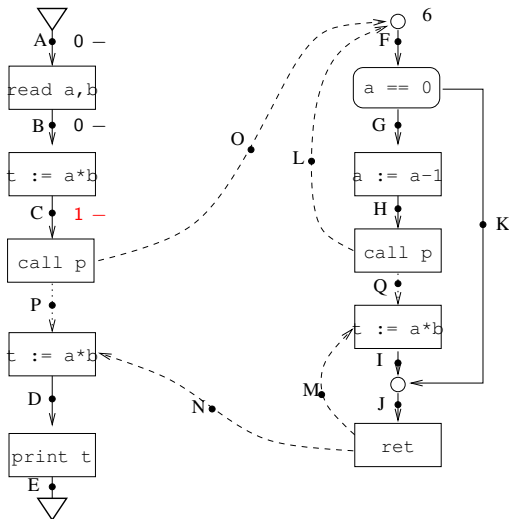
# Example: Computing $\phi$ 's iteratively: 1



## Example: Computing $\phi$ 's iteratively: 2

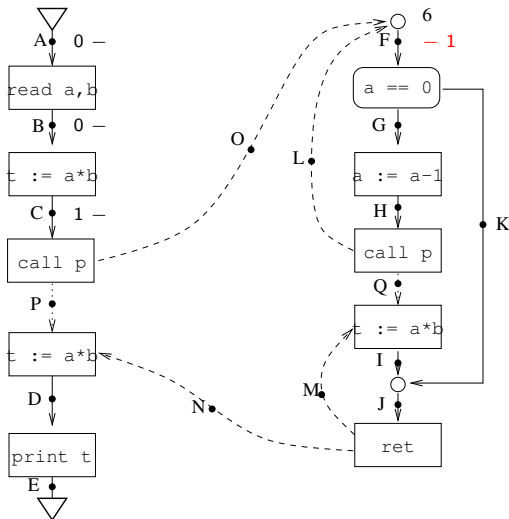


## Example: Computing $\phi$ 's iteratively: 3

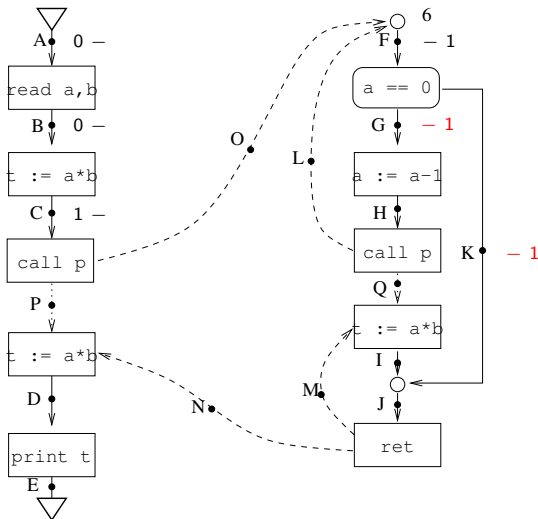




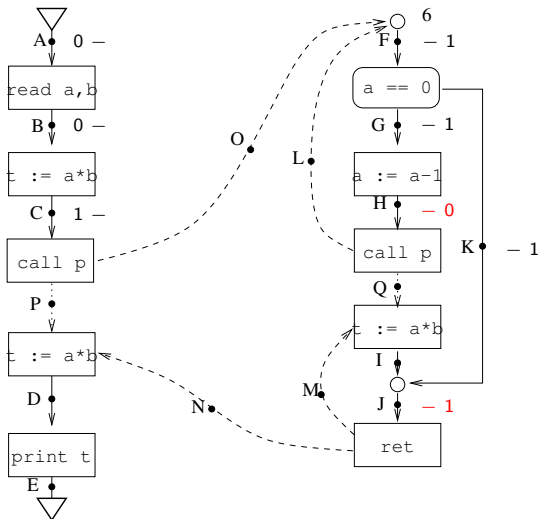
## Example: Computing $\phi$ 's iteratively: 4



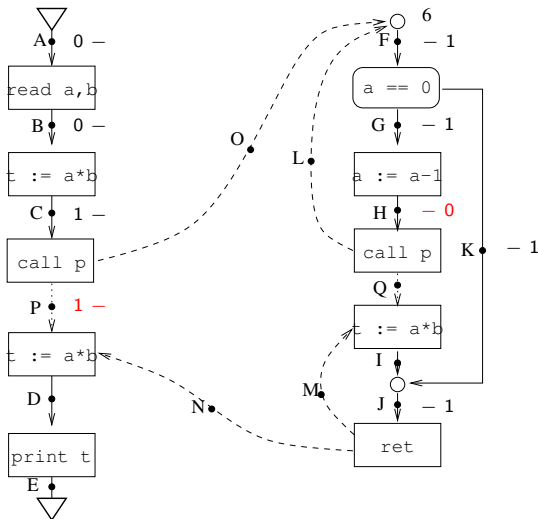
## Example: Computing $\phi$ 's iteratively: 5



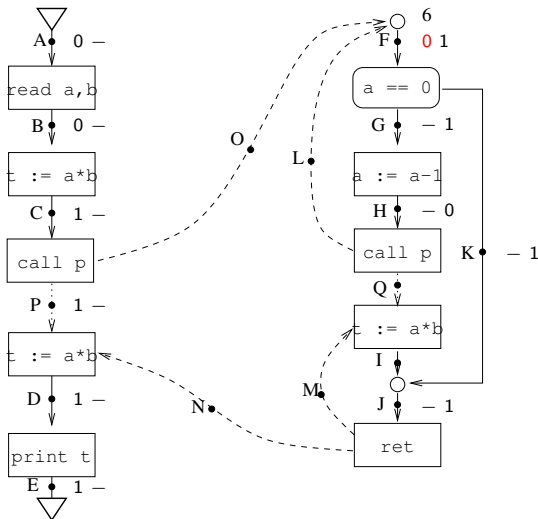
## Example: Computing $\phi$ 's iteratively: 6

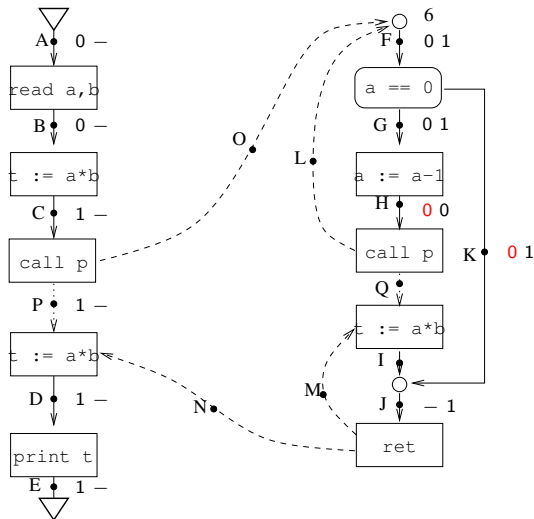


## Example: Computing $\phi$ 's iteratively: 7

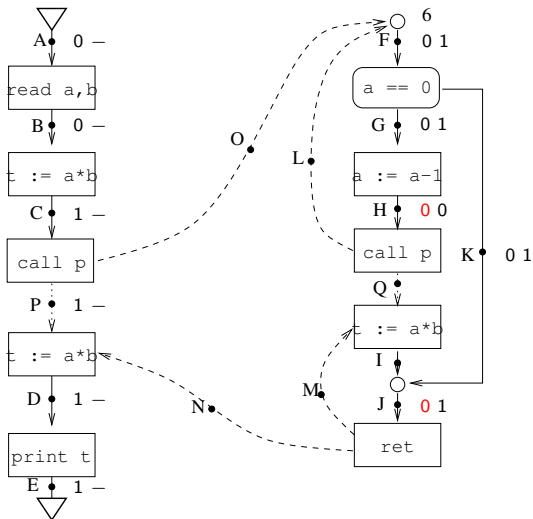


## Example: Computing $\phi$ 's iteratively: 8

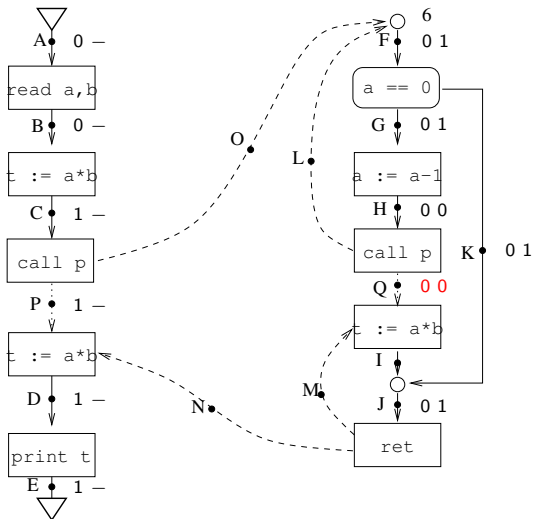




## Example: Computing $\phi$ 's iteratively: 10

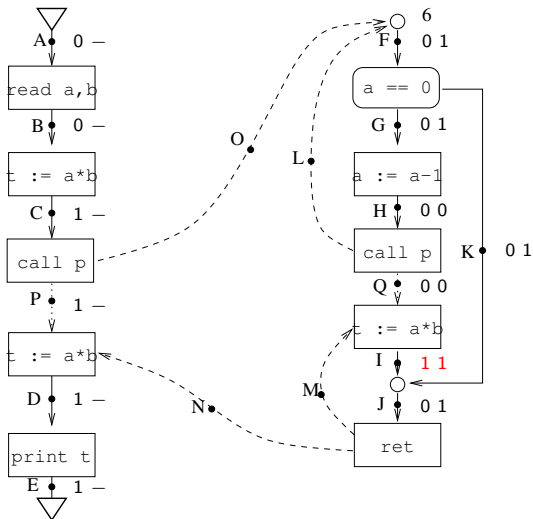


# Example: Computing $\phi$ 's iteratively: 11

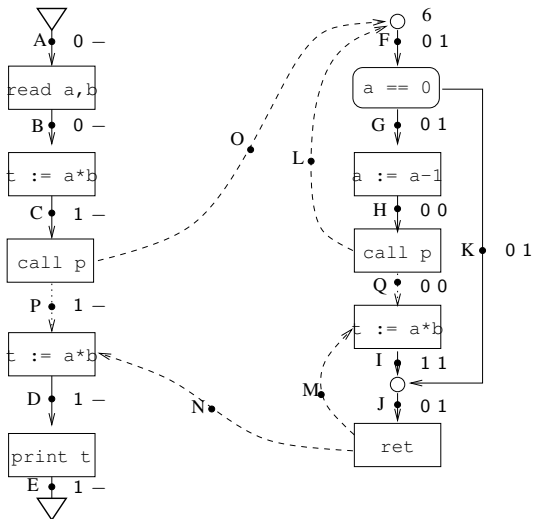




# Example: Computing $\phi$ 's iteratively: 12

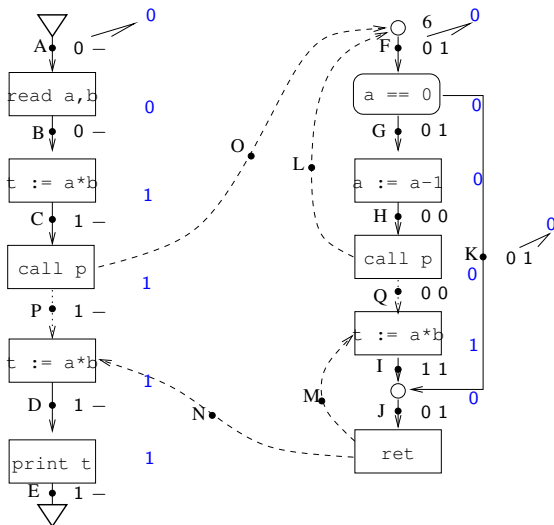


### Example: Computing $\phi$ 's iteratively: 13



## Example: Finally compute $x_N$ 's from $\phi$ values

At each point  $N$  take join of reachable  $\phi_{r_p, N}$  values.



## Correctness of iterative algo

- Iterative algo terminates provided underlying lattice is finite.
- It computes the  $y_{r_p, N}^*$ 's (where  $y_{r_p, N}^*$ 's are the least solution to Eq (1)) “partially”: If it maps  $d$  to  $d' \neq \perp$  then  $y_{r_p, N}^*(d) = d'$ .
- The JVP values it gives (say  $z_N$ 's) are such that

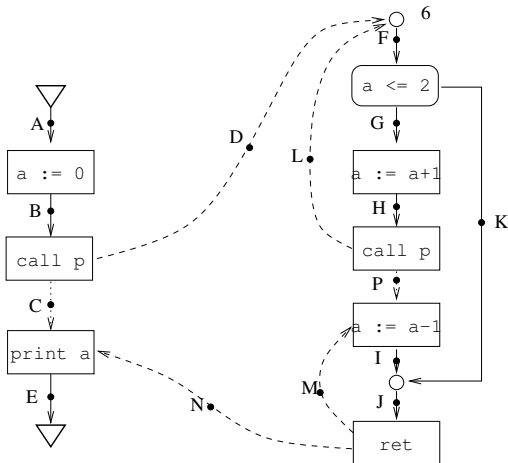
$$\text{JVP}_N \leq z_N \leq x_N^*$$

(where  $x_N^*$ 's are the solution to Eq (2')).

- If underlying transfer functions are distributive it computes  $\phi_{r_p, N}$ 's correctly (though partially), and the JVP values correctly.
- It thus computes an overapproximation of JVP for monotonic transfer functions, and exact JVP when transfer functions are distributive.

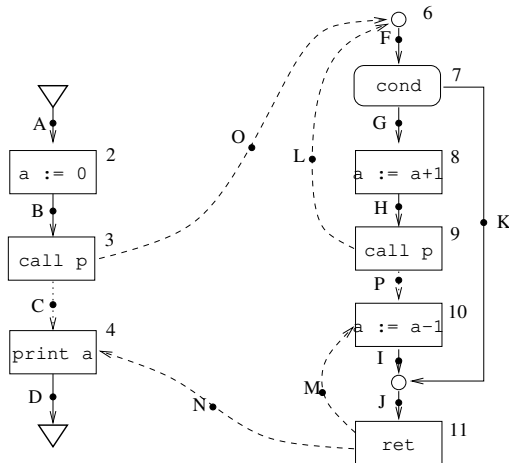
## Exercise 1: Iterative algo

Run the iterative algo to do constant propagation analysis for the program below with initial value  $\emptyset$ .



## Exercise 2: Functional vs Iterative algo

Run the functional and iterative algos to do constant propagation analysis for the program below with initial value  $\emptyset$ :



## Comparing functional vs iterative approach

- Functional algo can terminate even when underlying lattice is infinite, provided we can represent and compose/join functions “symbolically”.
- Iterative is typically more efficient than functional since it only computes  $\phi_{r_p, N}$ 's for values **reachable** at start of procedure.