

# Retrofitting Legacy Code for Authorization Policy Enforcement

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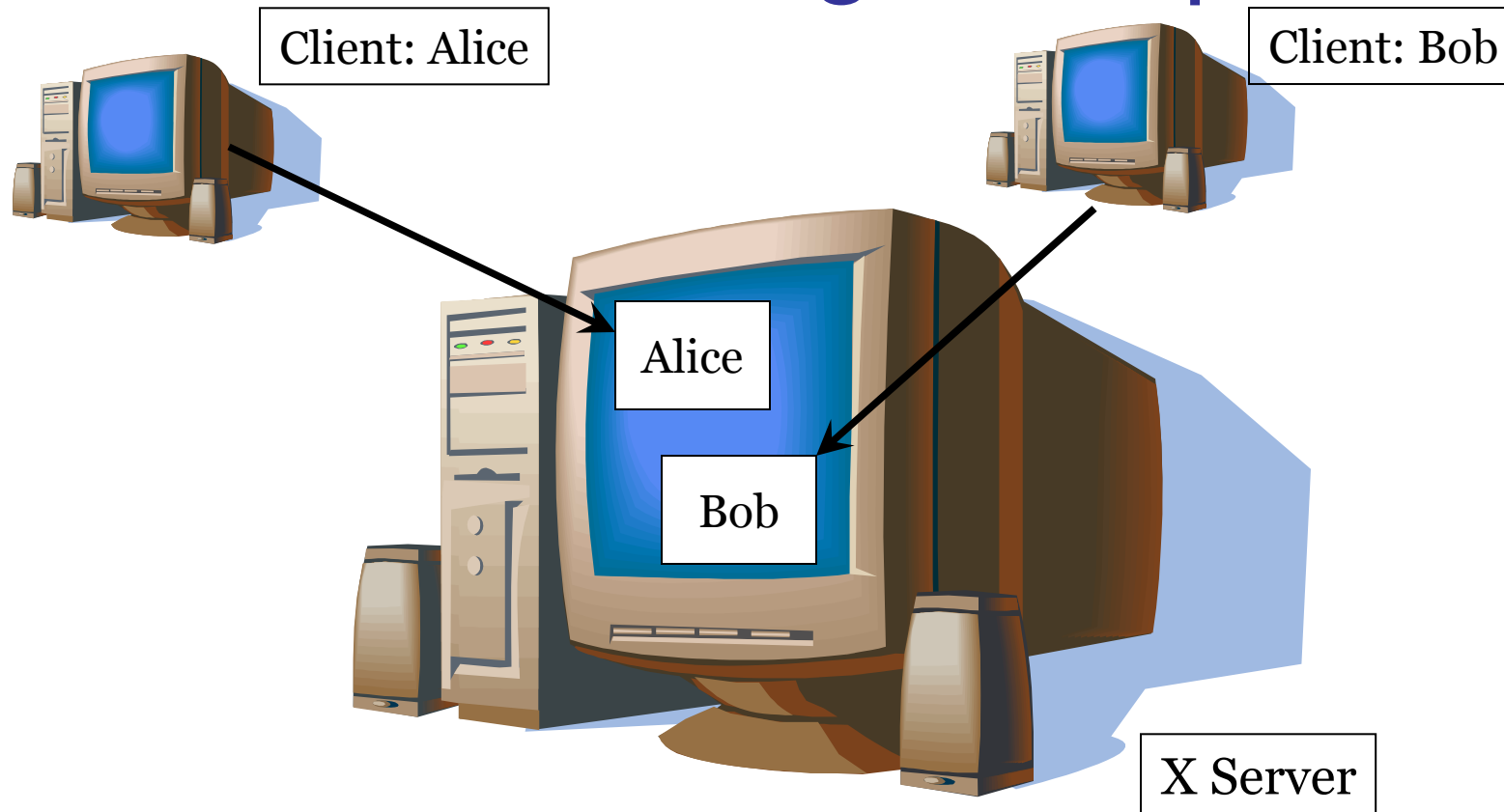
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Somesh Jha

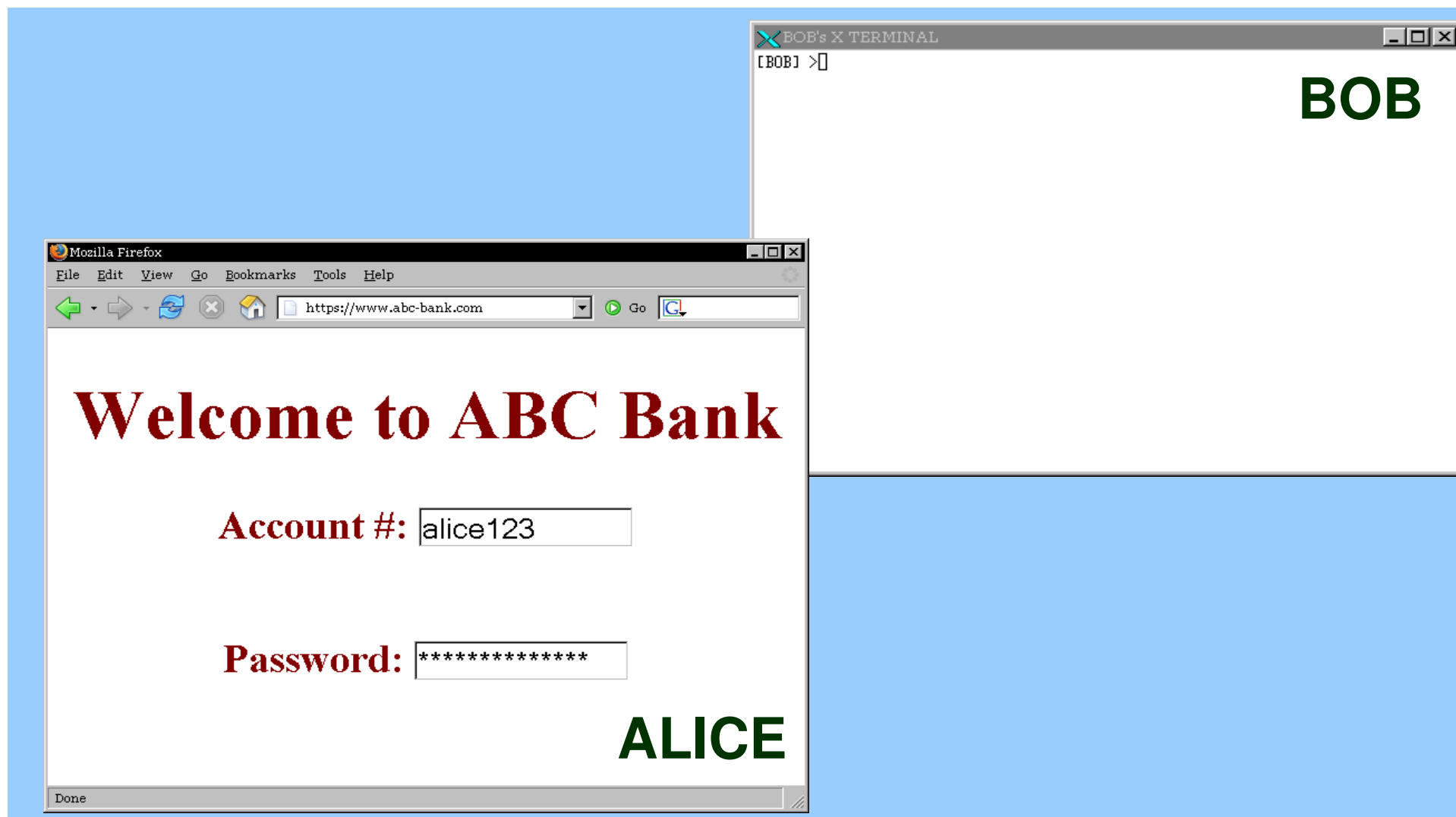
jha@cs.wisc.edu

**2006 IEEE Symposium on Security and Privacy  
Oakland, California**

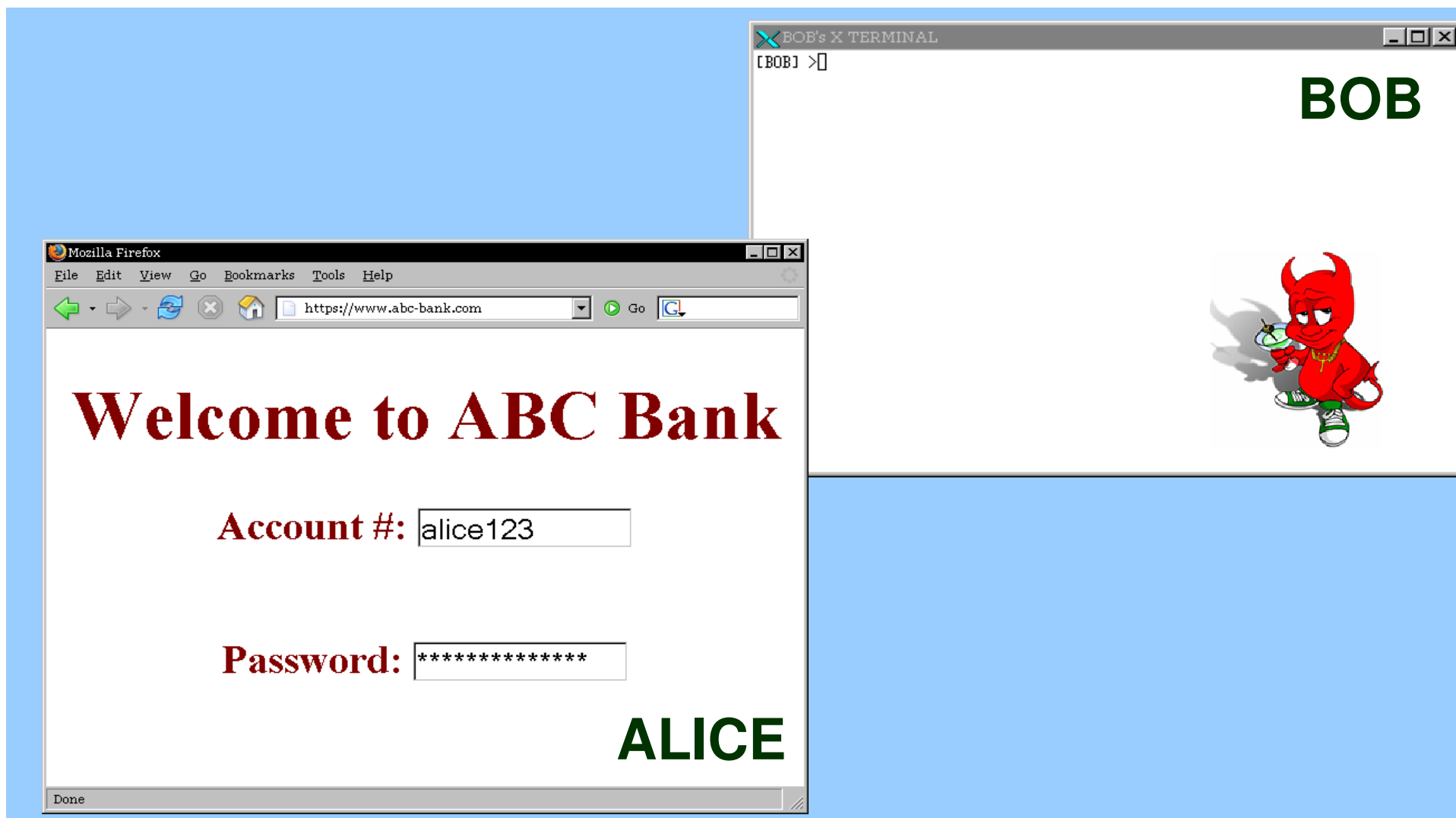
# Motivating example



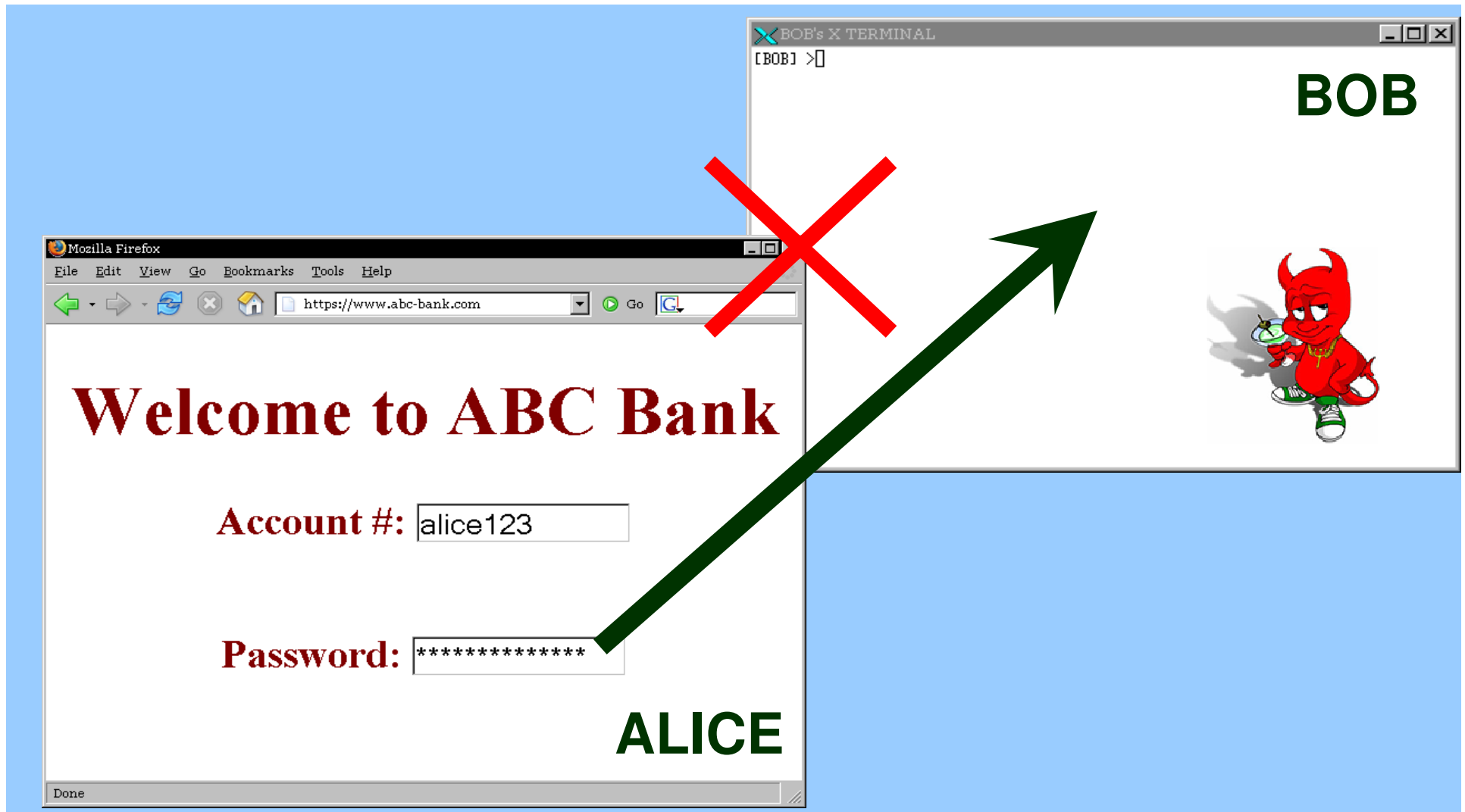
# X server with multiple X clients



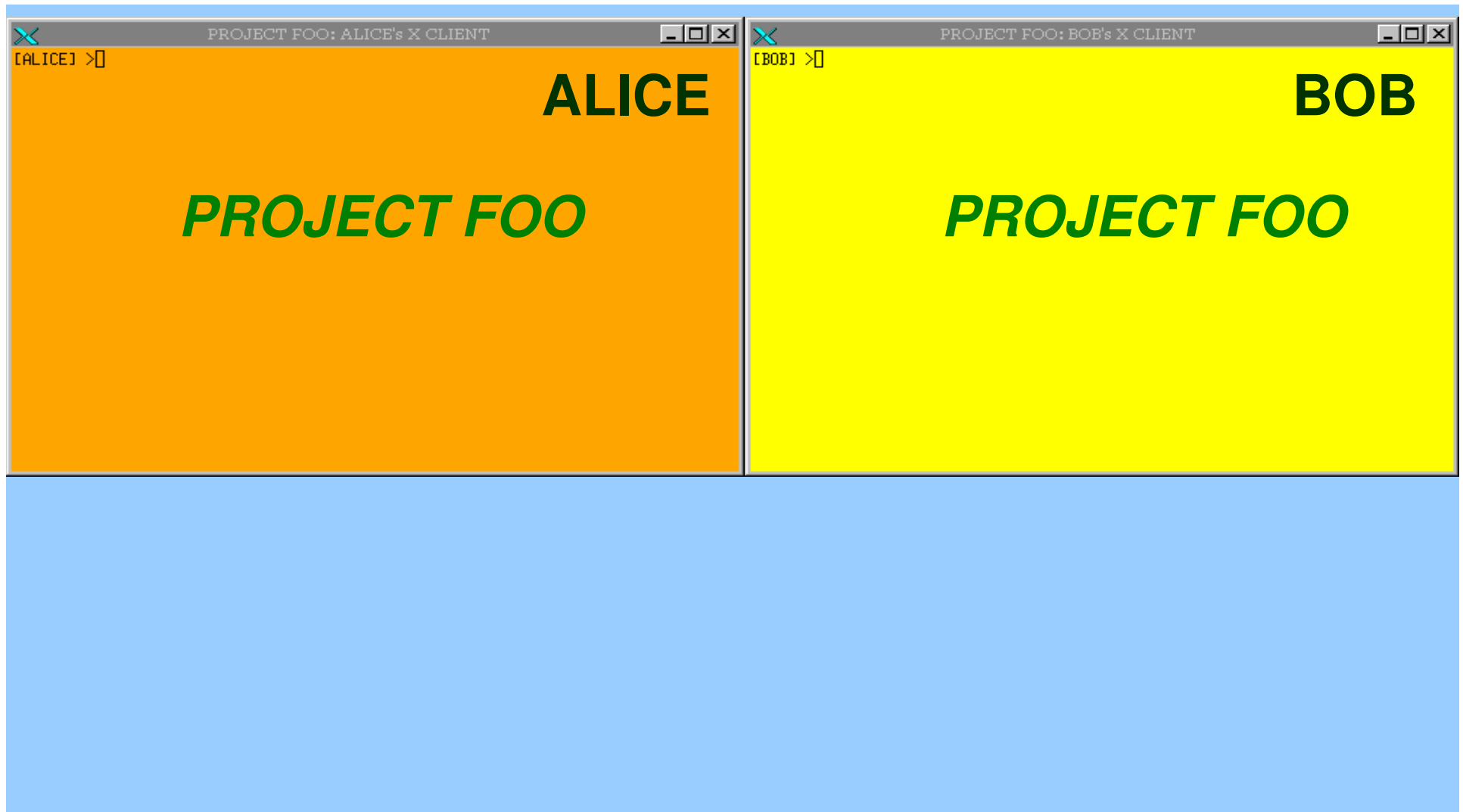
# Bob's malicious X client



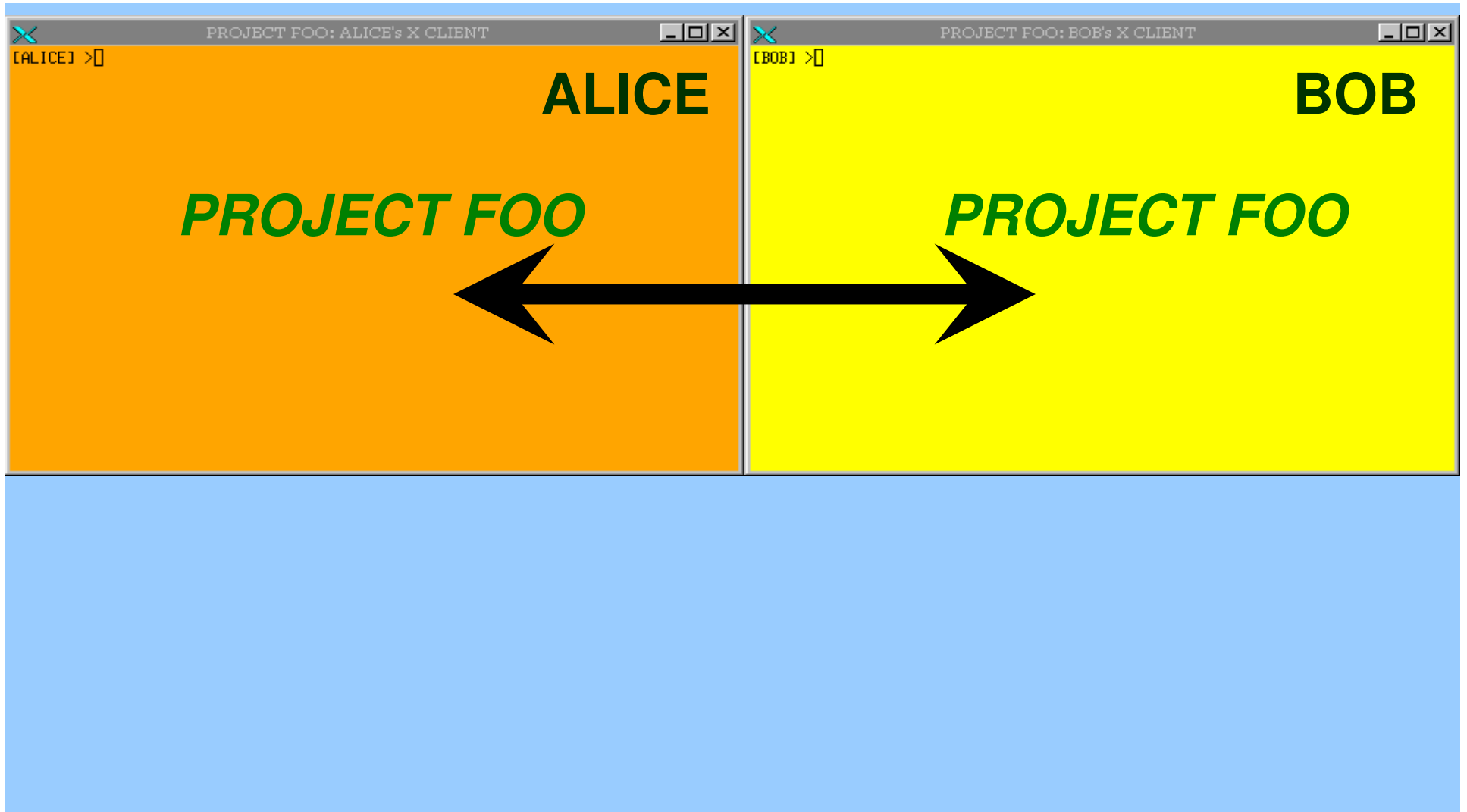
# Bob stealing Alice's password



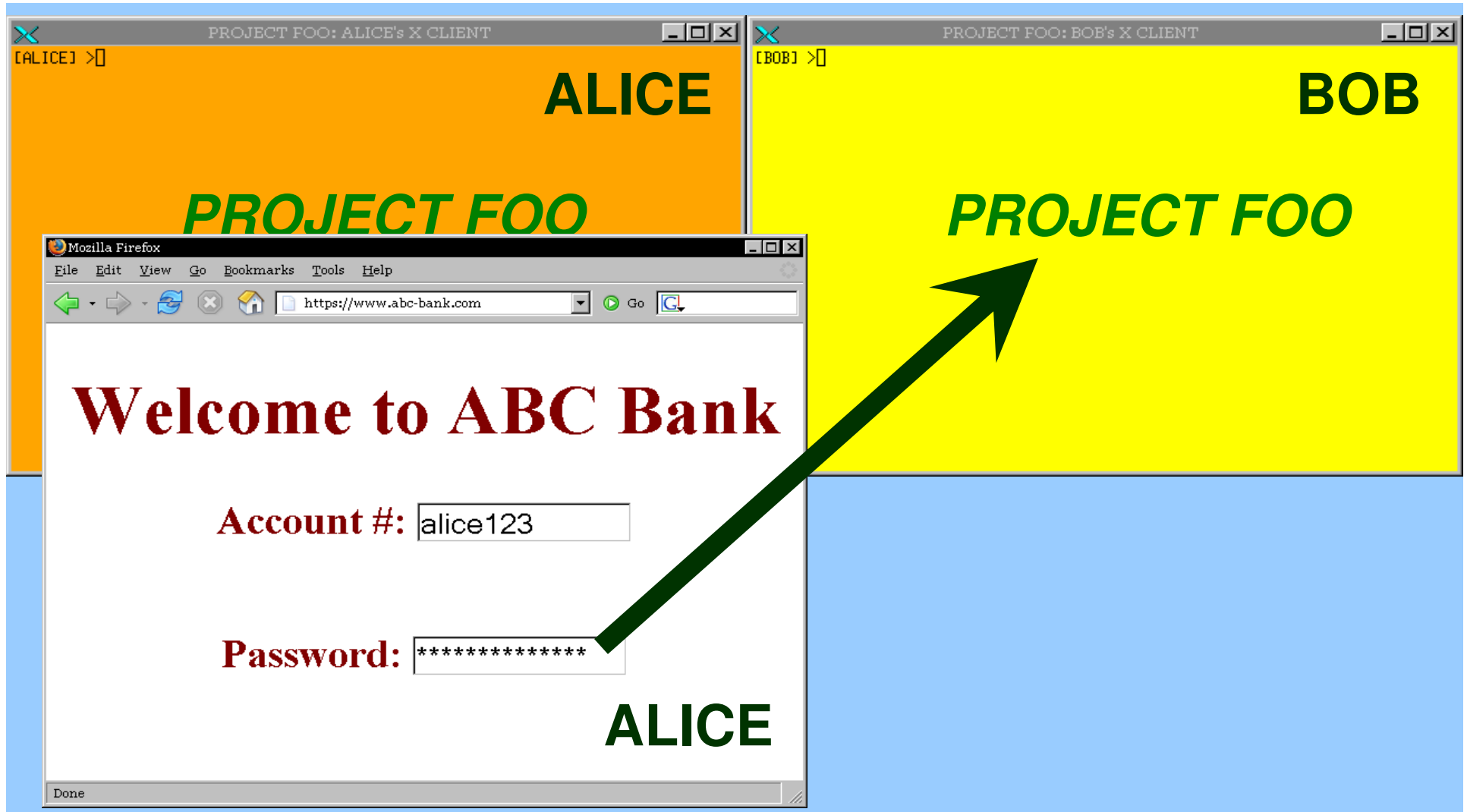
# Collaboration



# Desirable information flow



# Undesirable information flow





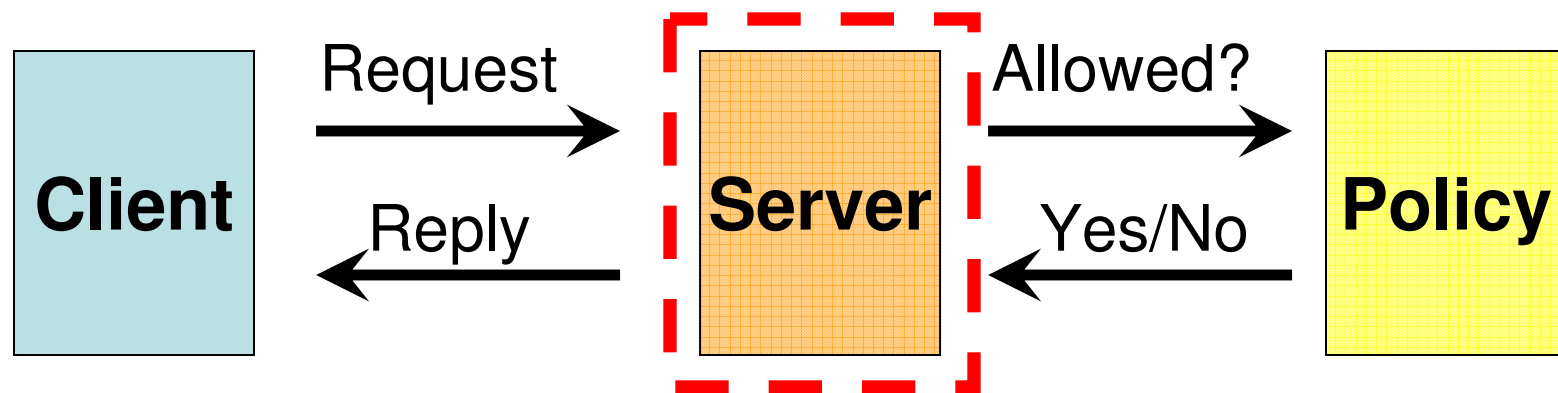
# Many more examples

- Prevent unauthorized
  - copy-and-paste [Epstein *et al.*, 1991]
  - modification of inputs meant for other clients
  - changing window settings of other clients
  - retrieval of bitmaps: screenshots
  - ...several more examples...

Source: [Kilpatrick *et al.*, 2003]

# Fine-grained enforcement

- Fine-grained, server-level enforcement of authorization policies



- X Client → X Server: Give me input keystrokes
- X Server → Policy Engine: Is this allowed?
- X Server → X Client: Here are the keystrokes

# Problem statement

- Provide server-level mechanisms for enforcement of authorization policies
- Make server code **security-policy-aware**

# Contributions

- Analyses for legacy code retrofits
  - Enforcing authorization policies
- Fingerprints
  - Code-patterns of security-sensitive operations
- Two prototype tools
  - **AID**: automates fingerprint-finding
  - **ARM**: uses fingerprints to retrofit code
- Real-world case study
  - Retrofitting the X server

# Talk outline

- Motivation and contributions
- Retrofitting legacy code: Lifecycle
- Our techniques
  - Fingerprints
  - Finding fingerprints: AID
  - Using fingerprints: ARM
- Conclusion

# Retrofitting legacy code: Lifecycle

1. Identify security-sensitive operations
2. Locate where they are performed in code
3. Retrofit these locations

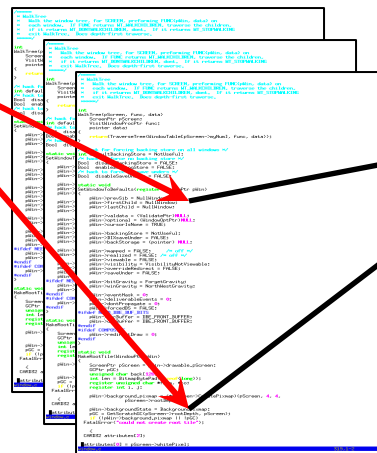
## Security-sensitive operations

**INPUT\_EVENT**

**CREATE  
DESTROY  
COPY  
PASTE  
MAP**

...

## Source Code



## Policy checks

Can the client  
receive this  
**INPUT\_EVENT?**

# Lifecycle: State-of-the-art



**Security-sensitive  
operations**



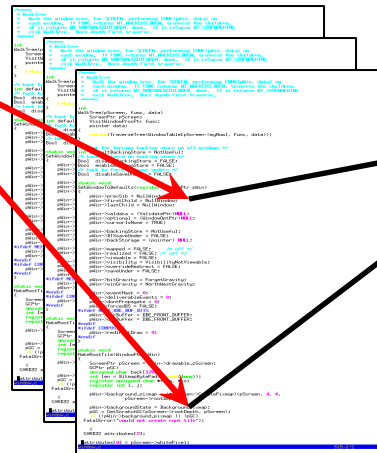
**Source Code**



**Policy checks**

**INPUT\_EVENT**

**CREATE  
DESTROY  
COPY  
PASTE  
MAP  
...**



**Can the client  
receive this  
INPUT\_EVENT?**

# State-of-the-art: Consequences

- Tedious
  - Linux Security Modules ~ 2 years [Wright *et al.*, 2002]
  - X11/SELinux ~ 2 years [Kilpatrick *et al.*, 2003]
- Error-prone
  - Violation of complete mediation [Jaeger *et al.* 2002]



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- Conclusion

# Lifecycle: Our contributions



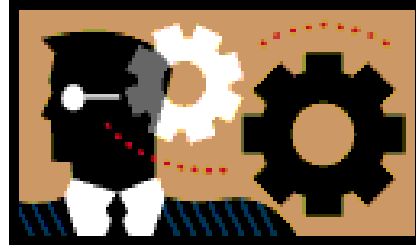
**Security-sensitive operations**

**INPUT\_EVENT**

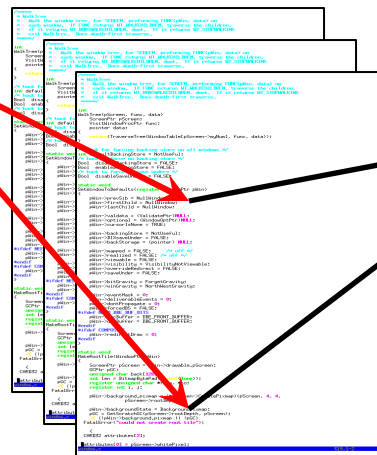
**CREATE  
DESTROY  
COPY  
PASTE  
MAP**

...

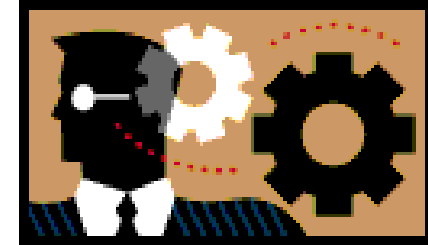
**AID**



**Source Code**



**ARM**

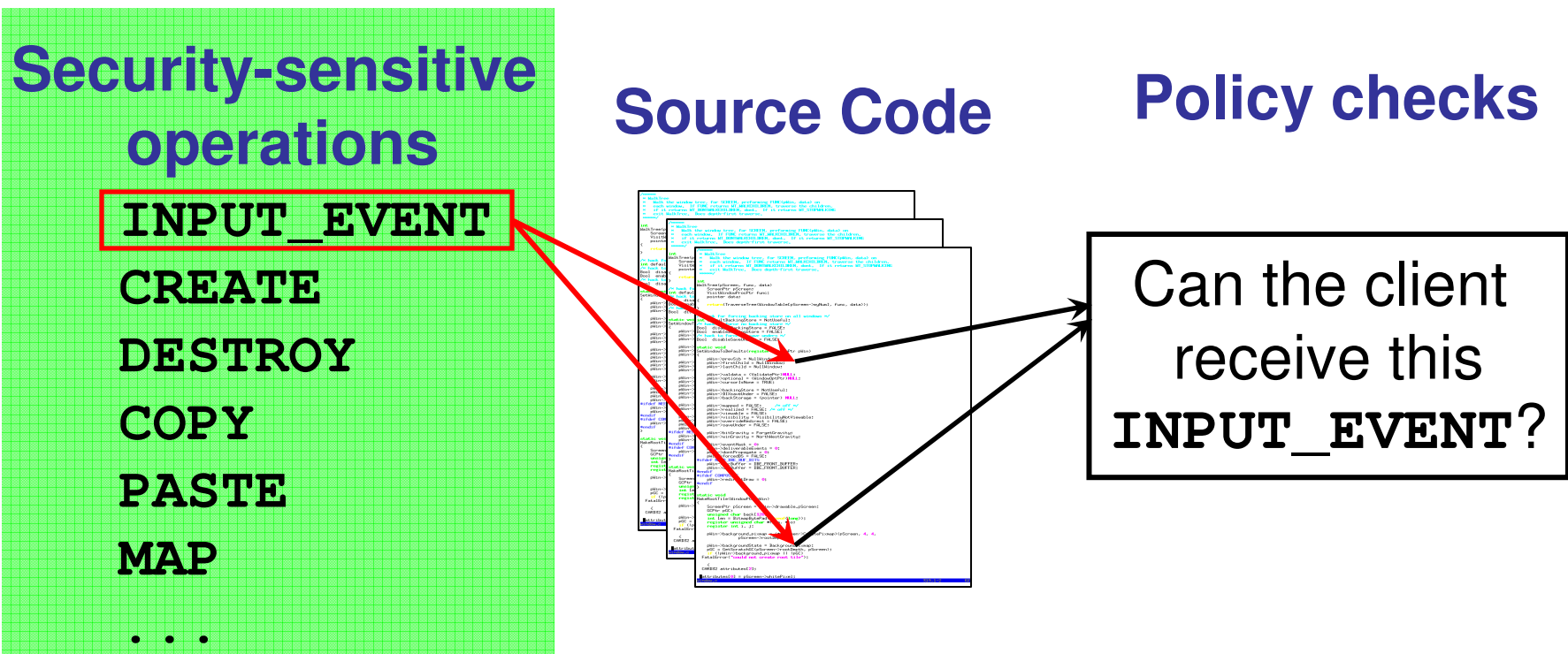


**Policy checks**

Can the client  
receive this  
**INPUT\_EVENT?**

# Overview of our work

- Operations on shared resources
- Manually identified list
  - For X server, used NSA study [Kilpatrick *et al.*, 2003]



# Overview of our work

- Main concept: fingerprints
- Approach: analysis of runtime traces

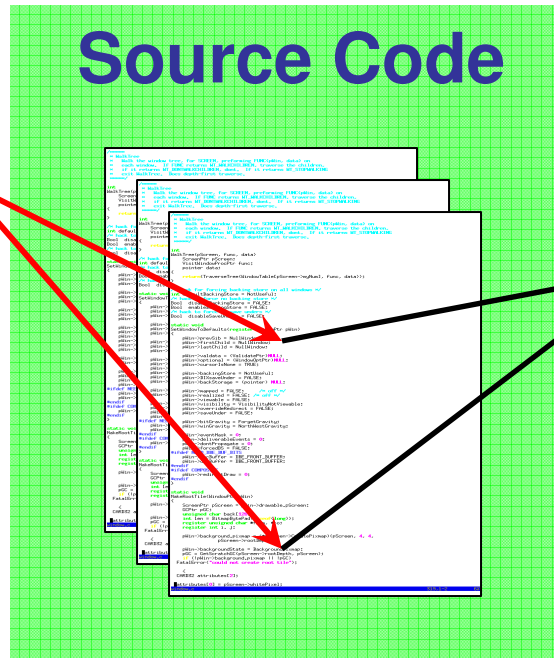
## Security-sensitive operations

**INPUT\_EVENT**

**CREATE  
DESTROY  
COPY  
PASTE  
MAP**

...

## Source Code



## Policy checks

Can the client  
receive this  
**INPUT\_EVENT?**

# Overview of our work

- Main concept: reference monitoring
- Approach: static matching of fingerprints

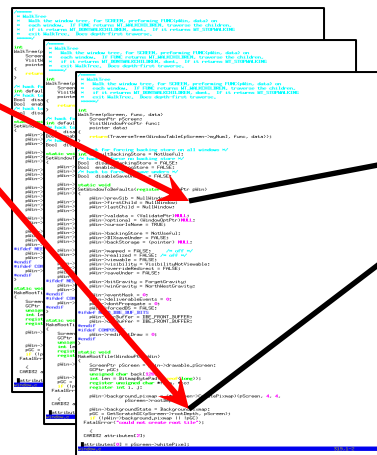
[Ganapathy/Jaeger/Jha, CCS'05]

## Security-sensitive operations

**INPUT\_EVENT**

CREATE  
DESTROY  
COPY  
PASTE  
MAP  
...

## Source Code



## Policy checks

Can the client  
receive this  
**INPUT\_EVENT?**

# Talk outline

- Motivation
- Case study: X window system
- Retrofitting legacy code: Lifecycle
- Our techniques
  - Fingerprints
  - Finding fingerprints: AID
  - Using fingerprints: ARM
- Conclusion

# What are fingerprints?

- Code-level description of security-sensitive operations
- Each operation has at least one fingerprint

## Security-sensitive operations

**INPUT\_EVENT**

**CREATE**

**DESTROY**

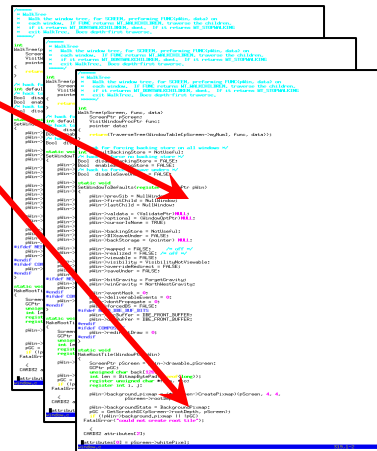
**COPY**

**PASTE**

**MAP**

...

## Source Code



# Examples of Fingerprints

- INPUT\_EVENT :-

**Code-patterns**

*Call* ProcessKeybdEvent

- INPUT\_EVENT :-

*Call* ProcessPointerEvent

- ENUMERATE :-

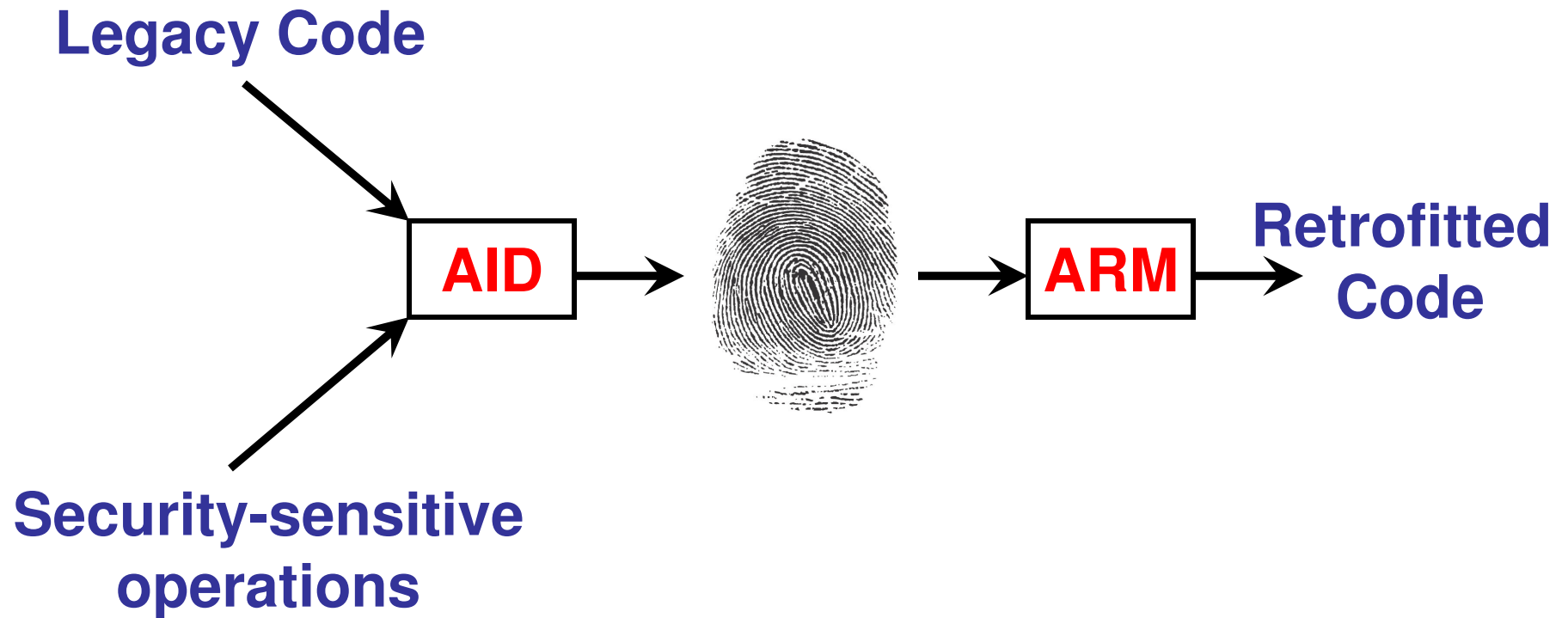
*Read* Window->firstChild &

*Read* Window->nextSib &

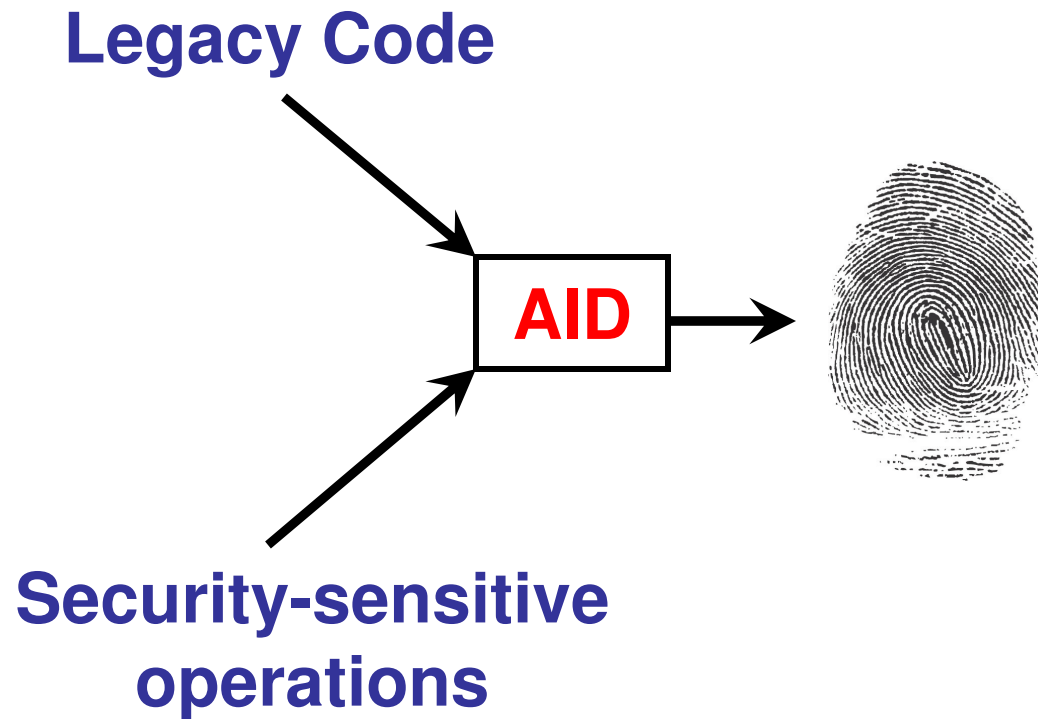
*Compare* Window  $\neq$  0



# Finding and using fingerprints



# AID: A fingerprint finder



# Main problem solved by AID

- **Inputs:**

1. Source code of legacy server
2. Security-sensitive operations

- **Security-sensitive operations**

[NSA'03]

<b>INPUT_EVENT</b>	Input to window from device
<b>CREATE</b>	Create new window
<b>DESTROY</b>	Destroy existing window
<b>MAP</b>	Map window to console

- **Output:** Fingerprints

# Key insight used by AID

- Induce server to perform a security-sensitive operation
  - typing to window will induce **INPUT\_EVENT**
- Code-patterns in its fingerprint **must** be exercised by the server
  - **Call ProcessKeybdEvent** must be in trace
- Analyze runtime traces to find fingerprints!

# Runtime traces

- Trace the server and record
  - function calls and returns
  - reads/writes to critical data structures
    - Data structures used to represent resources
- Example: from X server startup
  - CALL** SetWindowToDefaults
  - SET** Window->prevSib **TO** 0
  - SET** Window->firstChild **TO** 0
  - SET** Window->lastChild **TO** 0
  - ... about 1400 such code-patterns

# Using traces for fingerprinting

- Obtain traces for each security-sensitive operation
  - Series of controlled tracing experiments
- Examples
  - Typing to keyboard generates **INPUT\_EVENT**
  - Creating new window generates **CREATE**
  - Creating window also generates **MAP**
  - Closing existing window generates **DESTROY**

# Analyzing traces

- **Input:**
  - Traces annotated with the security-sensitive operations they perform
- **Output:**
  - Fingerprint for each security-sensitive operation

# Analyzing traces: “diff” and “ $\cap$ ”

**Annotation is currently a manual step**

	Open <b>xterm</b>	Close <b>xterm</b>	Move <b>xterm</b>	Open browser	Switch windows
<b>CREATE</b>	✓			✓	
<b>DESTROY</b>		✓		✓	
<b>MAP</b>	✓		✓	✓	
<b>UNMAP</b>		✓		✓	
<b>INPUTEVENT</b>			✓		✓



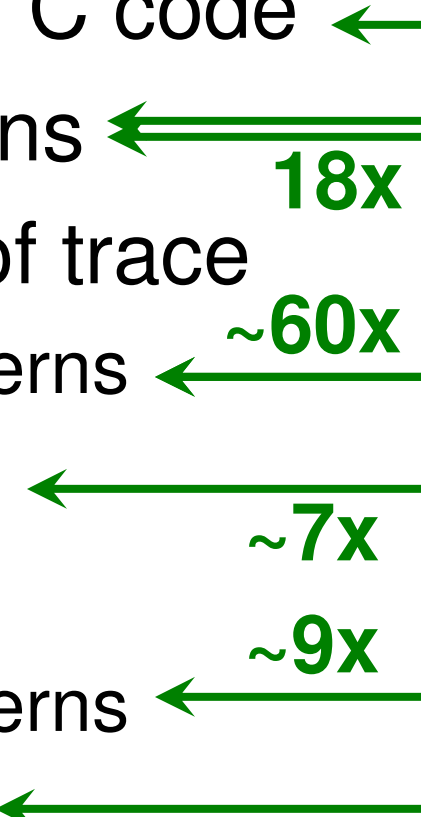
# Analyzing traces: “diff” and “ $\cap$ ”

**Perform same set operations on code-patterns in traces**

	Open <b>xterm</b>	Close <b>xterm</b>	Move <b>xterm</b>	Open browser	Switch Windows
<b>CREATE</b>	✓			✓	
<b>DESTROY</b>		✓		✓	
<b>MAP</b>	✓		✓	✓	
<b>UNMAP</b>		✓		✓	
<b>INPUTEVENT</b>			✓		✓

$$\text{CREATE} = \text{Trace1} \cap \text{Trace4} - \text{Trace 3}$$

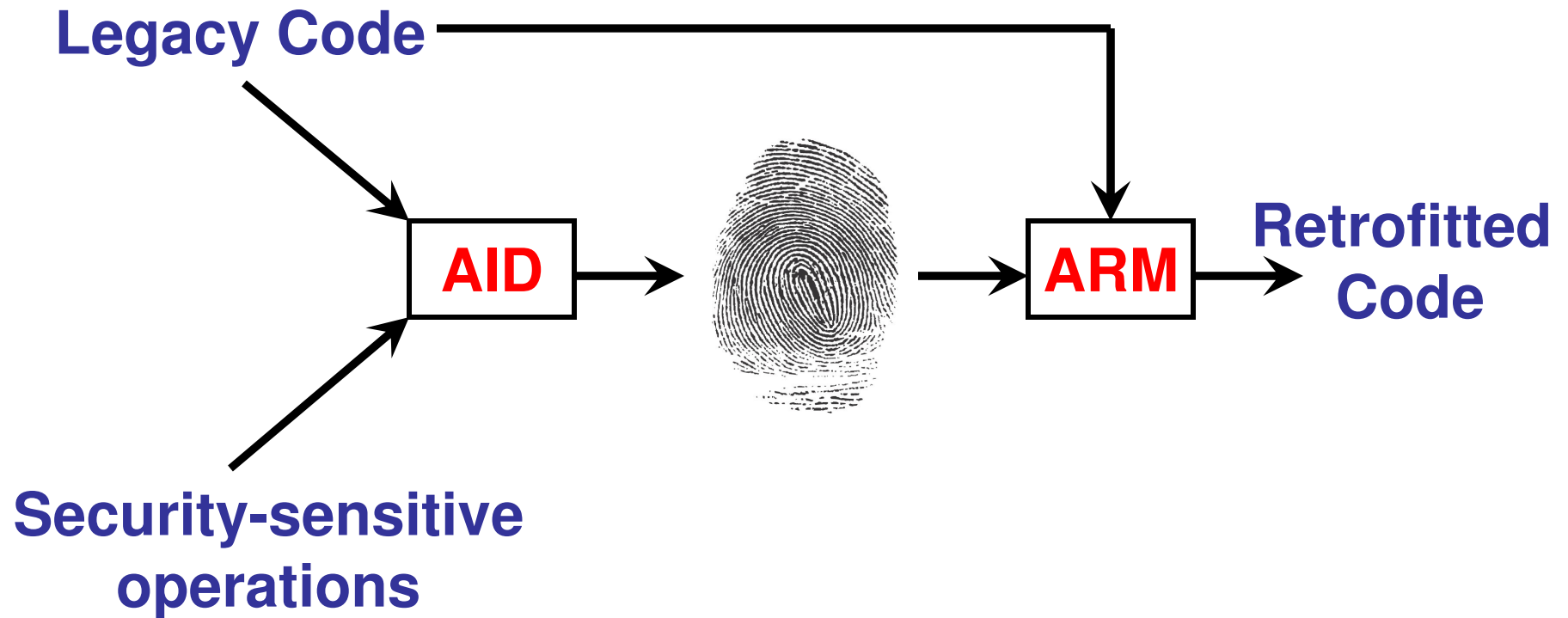
# How effective is trace analysis?

- Source code: **1,000,000** lines of C code
  - Raw traces: **54,000** code-patterns 18x
  - Pre-analysis: Relevant portion of trace
    - Average of **900** *distinct* code-patterns ~60x
    - Average of **140** *distinct* functions ~7x
  - Post-analysis: Each result
    - Average of **126** *distinct* code-patterns ~9x
    - Average of **15** *distinct* functions
- 

# Examples of fingerprints

Operation	Fingerprint
CREATE	<i>Call</i> CreateWindow
DESTROY	<i>Call</i> DeleteWindow
UNMAP	<i>Set</i> xEvent->type <i>To</i> UnmapNotify
CHSTACK	<i>Call</i> MoveWindowInStack
INPUT_EVENT	<i>Call</i> ProcessPointerEvent, <i>Call</i> ProcessKeyEvent

# ARM: Static code retrofitter



# Fingerprints from AID

Operation	Fingerprint
CREATE	<i>Call</i> CreateWindow
DESTROY	<i>Call</i> DeleteWindow
UNMAP	<i>Set</i> xEvent->type <i>To</i> UnmapNotify
CHSTACK	<i>Call</i> MoveWindowInStack
INPUT_EVENT	<i>Call</i> ProcessPointerEvent, <i>Call</i> ProcessKeyEvent

# Using fingerprints: simple example

```
CreateWindow(Client *pClient) {  
    Window *pWin;  
    ...  
    // Create new window here  
    pWin = newly-created window;  
}
```



```
CreateWindow(Client *pClient) {  
    Window *pWin;  
    if (CHECK(pClient, CREATE) == FAIL) { return; }  
    // Create new window here  
    pWin = newly-created window;  
}
```

# More complex example

- **ENUMERATE : –**

*Read* Window->firstChild &

*Read* Window->nextSib &

*Compare* Window  $\neq$  0

- Paper has details on how we match these

# Talk outline

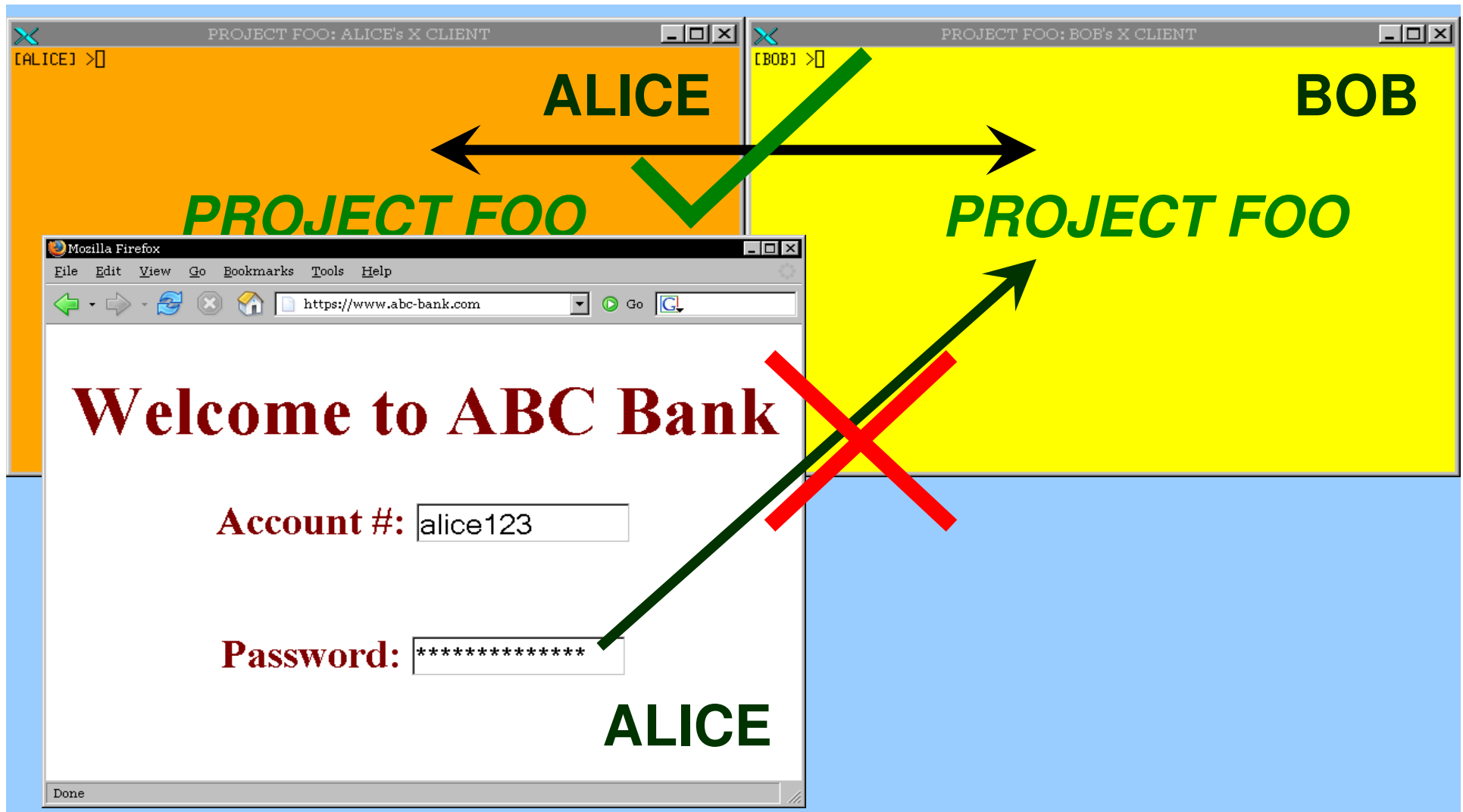
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- **Conclusion**



# X server case study

- Applied AID and ARM to the X server
- Added policy checks for window operations
  - Policy lookups at 24 locations

# Similar example in the paper



# Limitations

1. AID uses analysis of runtime traces
  - no guarantees of finding **all** fingerprints
  - Possible remedies
    - coverage metrics to augment runtime tracing
    - static fingerprint-finding technique
2. Identification of security-sensitive operations is still manual

# Summary of important ideas

- Analysis techniques to retrofit servers for policy enforcement
- Fingerprints
  - Code-patterns of security-sensitive operations
- Two prototype tools
  - **AID**: automates fingerprint-finding
  - **ARM**: uses fingerprints to retrofit code
- Case study on X server

# Questions?

## Retrofitting Legacy Code for Authorization Policy Enforcement

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