

# Compiler Optimization with Retrofitting Transformations: Is there a Semantic Mismatch?

**Jay Lim,**  
Vinod Ganapathy,  
Santosh Nagarakatte

Department of Computer Science,  
Rutgers University



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# Retrofitting Transformation



Source

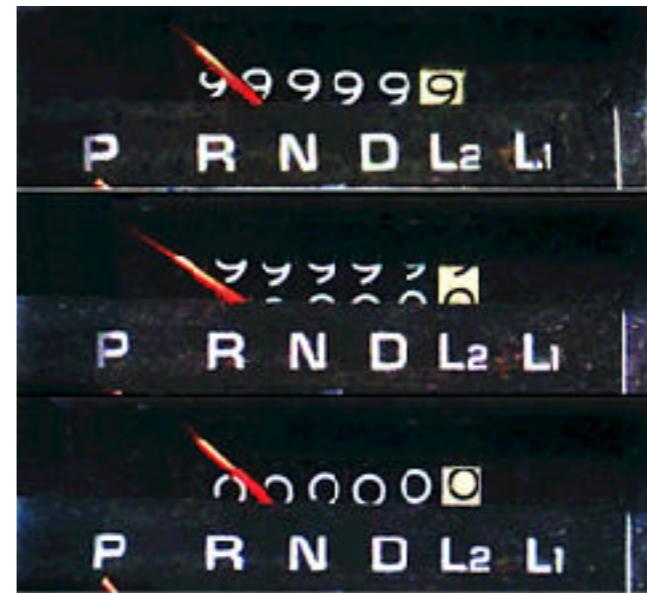


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# Retrofitting Transformation



Source



Undefined Behavior



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# Retrofitting Transformation



Source



Bounds Safety



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# Retrofitting Transformation



Source

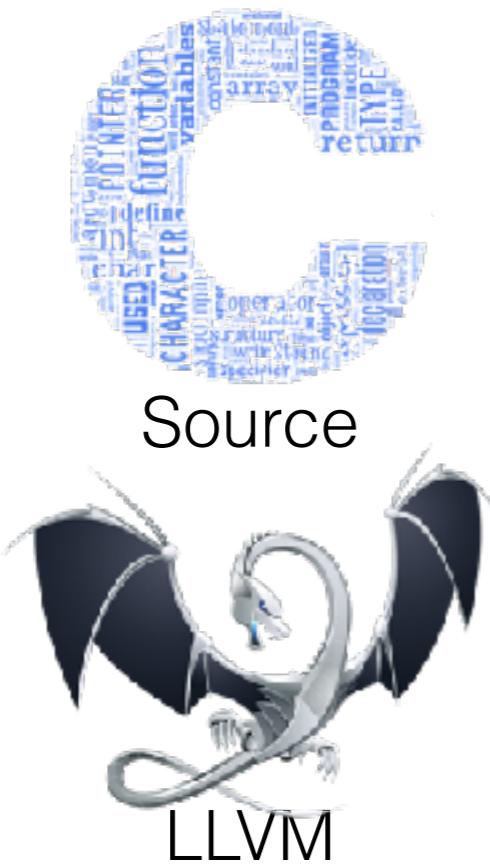


Control Flow Integrity



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# Retrofitting Transformation



Source



```
.globl _add_forty_two<Int32>:Int32
.addn 4, %eax
.eff_startproc
pushq %rbp
Ltmp0$0:
.eff_def_cta_offset 16
Ltmp1$0:
.eff_def_cta_offset 16
moveq %rbp,%rbp
Ltmp2$0:
.eff_def_cta_register %rbp
addl $43,%edi
testl %edi,%eax
popq %rbp
retq
.eff_endproc
```

Assembly



Instrumentation

# Retrofitting Transformation



LLVM

:

Optimize



Instrument

→

Optimize

# Motivating Example

```
int foo(int a, int b) {  
    int c = a + b;  
    return c;  
}
```



LLVM : Optimize → Instrument → Optimize



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# Motivating Example

```
int foo(int a, int b) {  
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}
```

signed integer overflow  
= undefined behavior



LLVM : Optimize → Instrument → Optimize



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# Motivating Example

```
int foo(int a, int b) {  
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}
```

signed integer overflow  
= undefined behavior

Integer overflow checker

```
int foo(int a, int b) {  
    if (a > 0 && b > 0 && a > a + b)  
        exit(1);  
    if (a < 0 && b < 0 && a < a + b)  
        exit(1);  
    int c = a + b;  
    return c;  
}
```

Instrumentation



LLVM : Optimize → Instrument → Optimize



# Motivating Example

```
int foo(int a, int b) {  
    int c = a + b;  
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}
```

signed integer overflow  
= undefined behavior

Integer overflow checker

Optimize (Linux LLVM)

Instrumentation removed

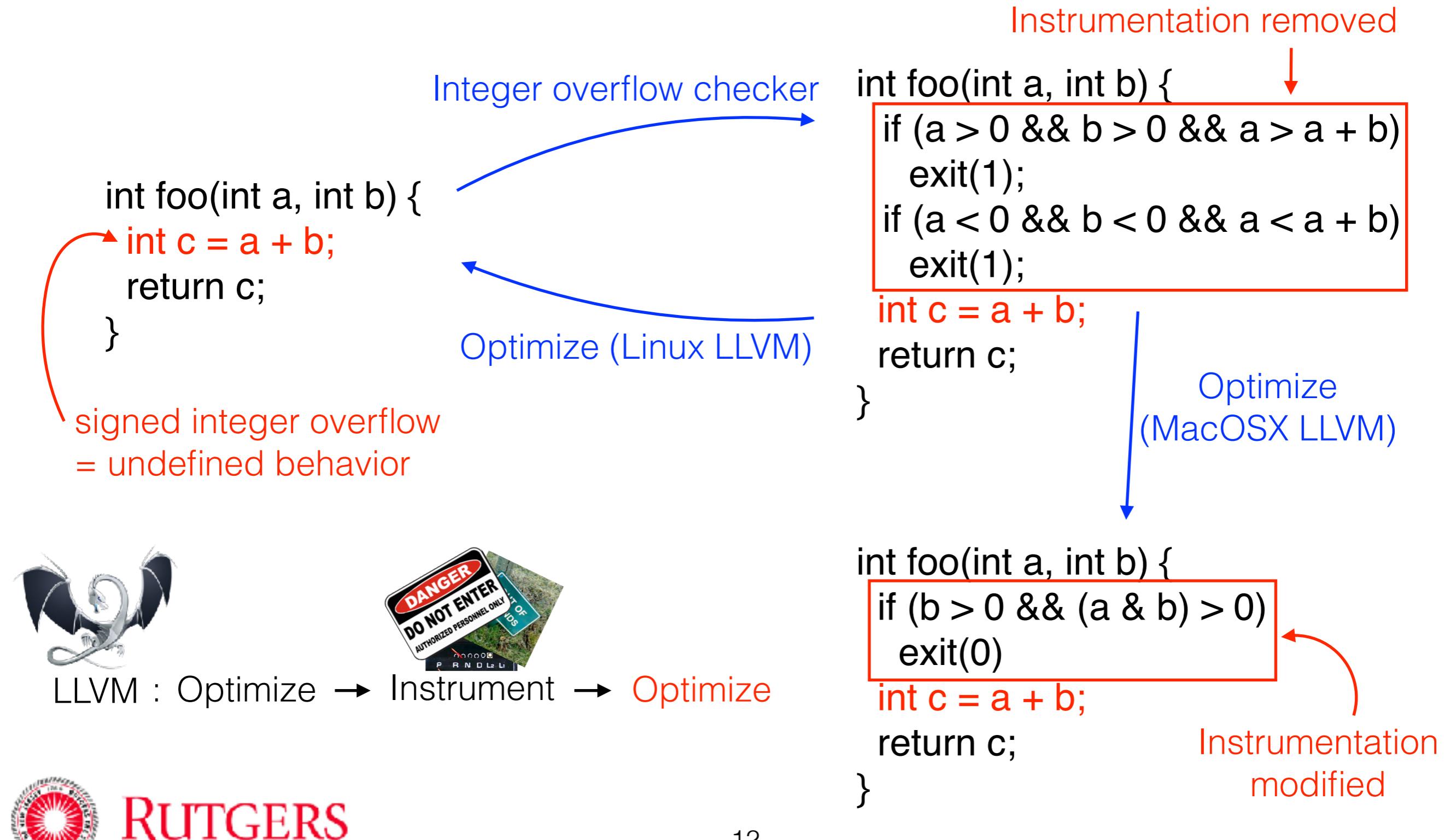
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}
```



LLVM : Optimize → Instrument → Optimize



# Motivating Example



# Motivating Example



LLVM : Optim



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# Problem Statement

Can we detect erroneously removed/modified instrumentation due to compiler optimizations?

Challenges:

1. Checks may be completely removed.
2. Checks may be partially removed.
3. Checks may be moved.
4. Some checks are indeed redundant.

Solution:

Can we frame this as a reachability problem?



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## P<sub>retro</sub>: retrofitted program

```
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}
```

## P<sub>opt</sub>: optimized P<sub>retro</sub>

```
int foo(int a, int b) {  
    if (b > 0 && (a & b) > 0)  
        exit(0);  
    int c = a + b;  
    return c;  
}
```



# Reachability

$P_{\text{retro}}$ : retrofitted program

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int foo(int a, int b) {  
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$P_{\text{opt}}$ : optimized  $P_{\text{retro}}$

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    if (b > 0 && (a & b) > 0)  
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```

Event of Interest



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# Reachability

$P_{\text{retro}}$ : retrofitted program

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}
```

Direct unsafe execution to exit path.

$P_{\text{opt}}$ : optimized  $P_{\text{retro}}$

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Event of Interest



# Reachability

$P_{\text{retro}}$ : retrofitted program

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Semantics same as  $P_{\text{retro}}$

Event of Interest



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# Reachability

$P_{\text{retro}}$ : retrofitted program

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Semantics same as  $P_{\text{retro}}$

Given same inputs,



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# Reachability

$P_{\text{retro}}$ : retrofitted program

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Direct unsafe execution to exit path.

$P_{\text{opt}}$ : optimized  $P_{\text{retro}}$

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Semantics same as  $P_{\text{retro}}$

Given same inputs,

1.  $P_{\text{retro}}$  and  $P_{\text{opt}}$  reaches Event of Interest



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# Reachability

$P_{\text{retro}}$ : retrofitted program

```
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Direct unsafe execution to exit path.

$P_{\text{opt}}$ : optimized  $P_{\text{retro}}$

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Semantics same as  $P_{\text{retro}}$

Given same inputs,

1.  $P_{\text{retro}}$  and  $P_{\text{opt}}$  reaches Event of Interest
2.  $P_{\text{retro}}$  and  $P_{\text{opt}}$  reaches exit(0)



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# Reachability

P<sub>retro</sub>: retrofitted program

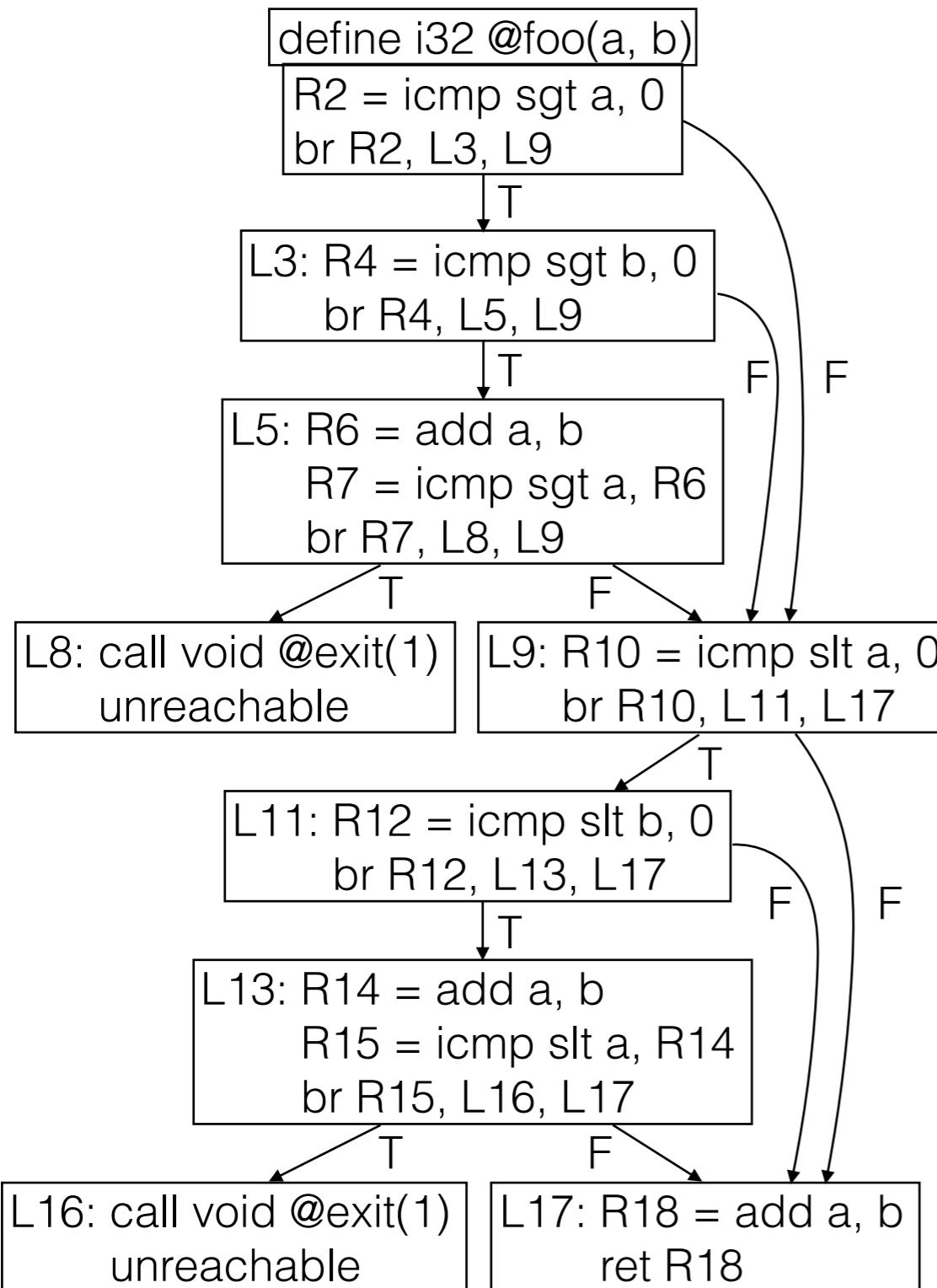
```
int foo(int a, int b) {  
    if (a > 0 && b > 0 && a > a + b)  
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    if (a < 0 && b < 0 && a < a + b)  
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    int c = a + b;  
    return c;  
}
```



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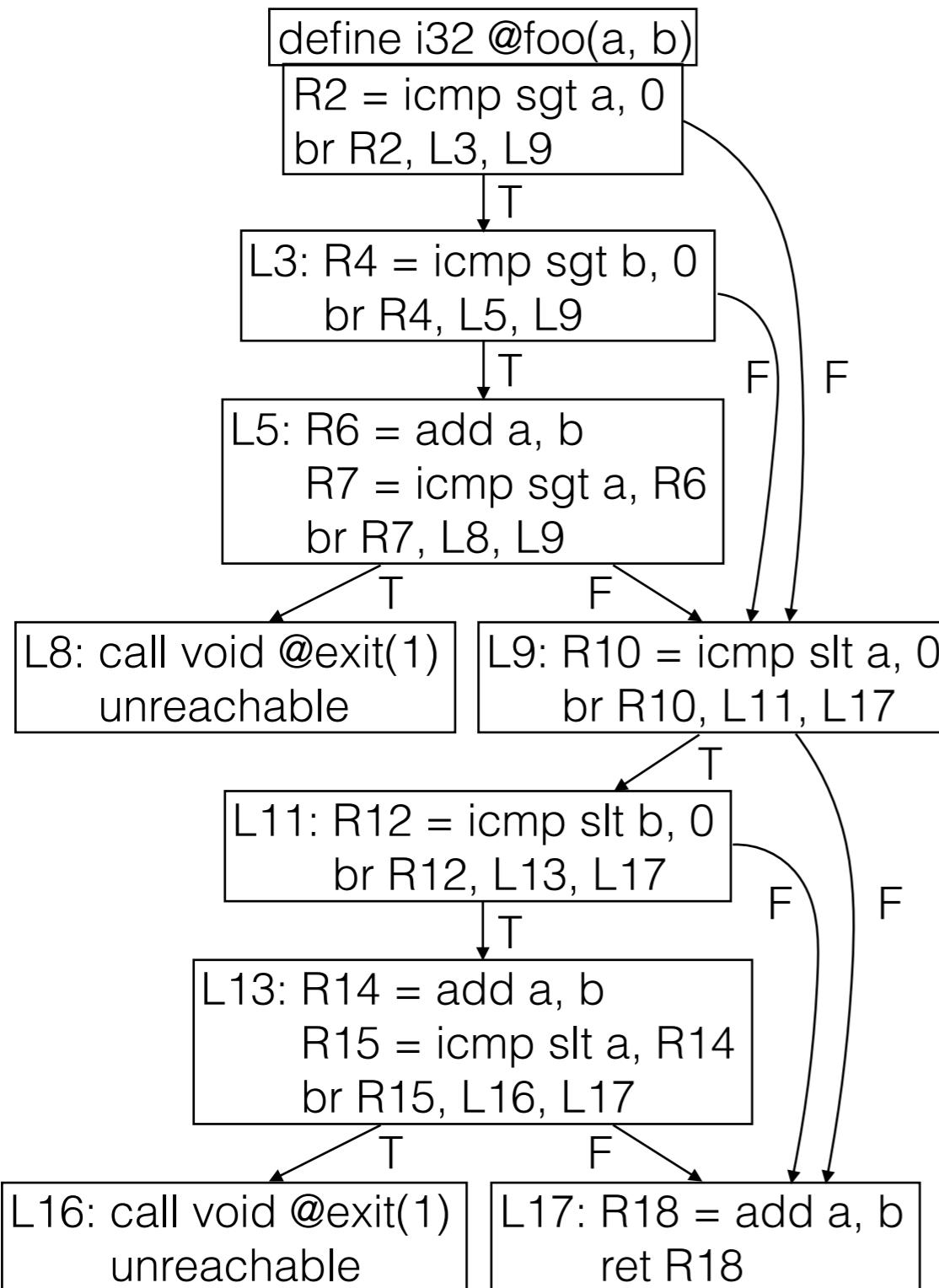
# Reachability

P<sub>retro</sub>: retrofitted program



# Reachability

$P_{\text{retro}}$ : retrofitted program



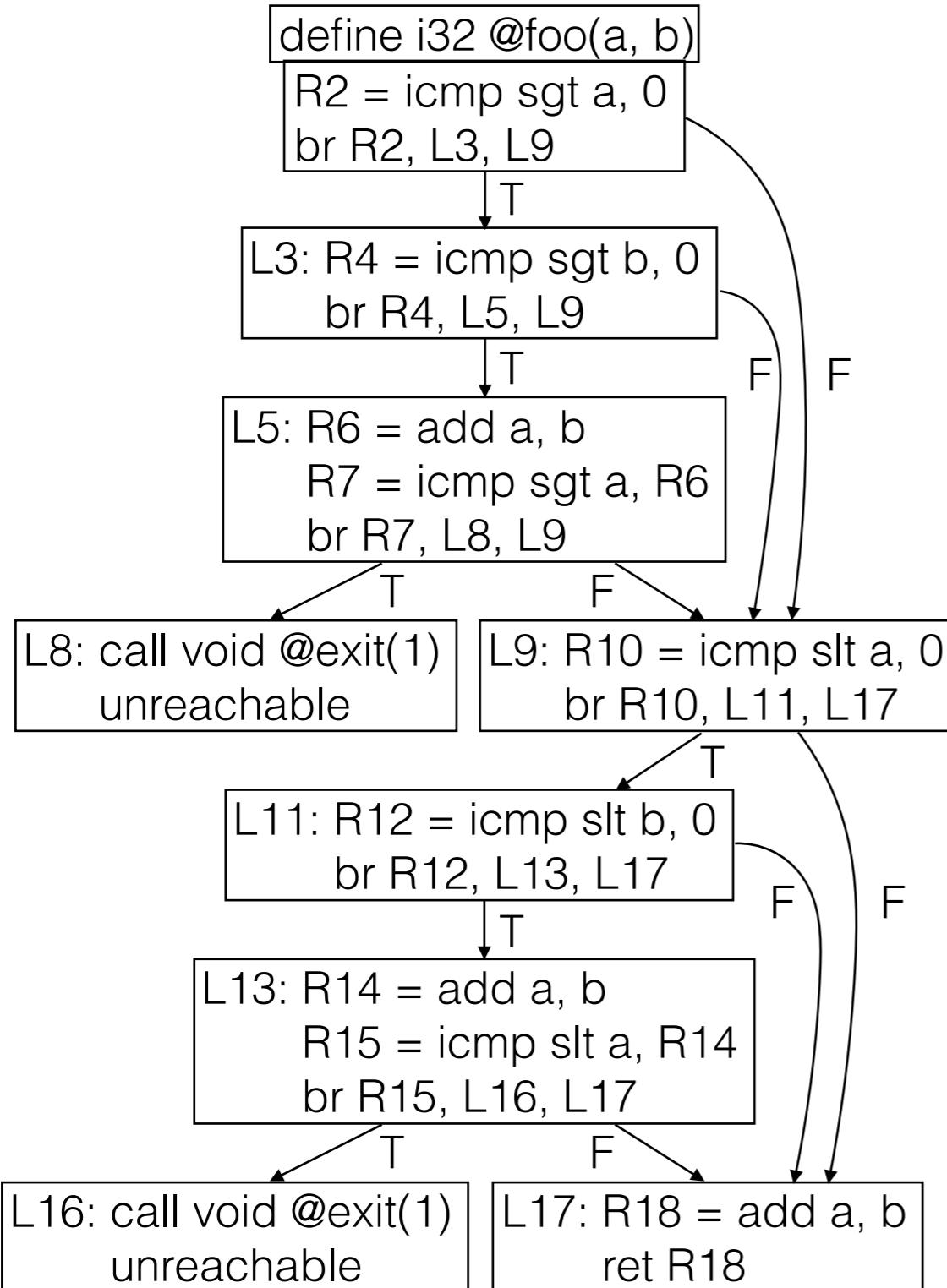
$P_{\text{opt}}$ : optimized  $P_{\text{retro}}$

```
int foo(int a, int b) {\n    if (b > 0 && (a & b) > 0)\n        exit(0)\n    int c = a + b;\n    return c;\n}
```

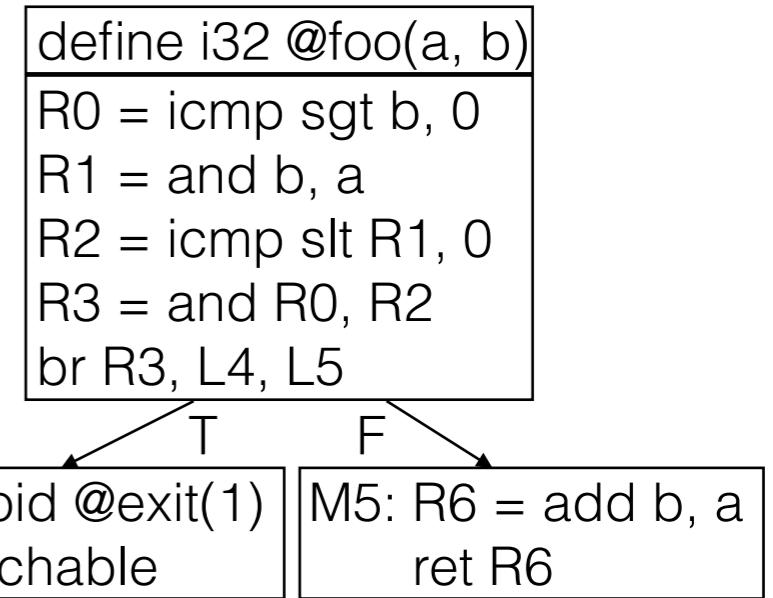


# Reachability

$P_{\text{retro}}$ : retrofitted program

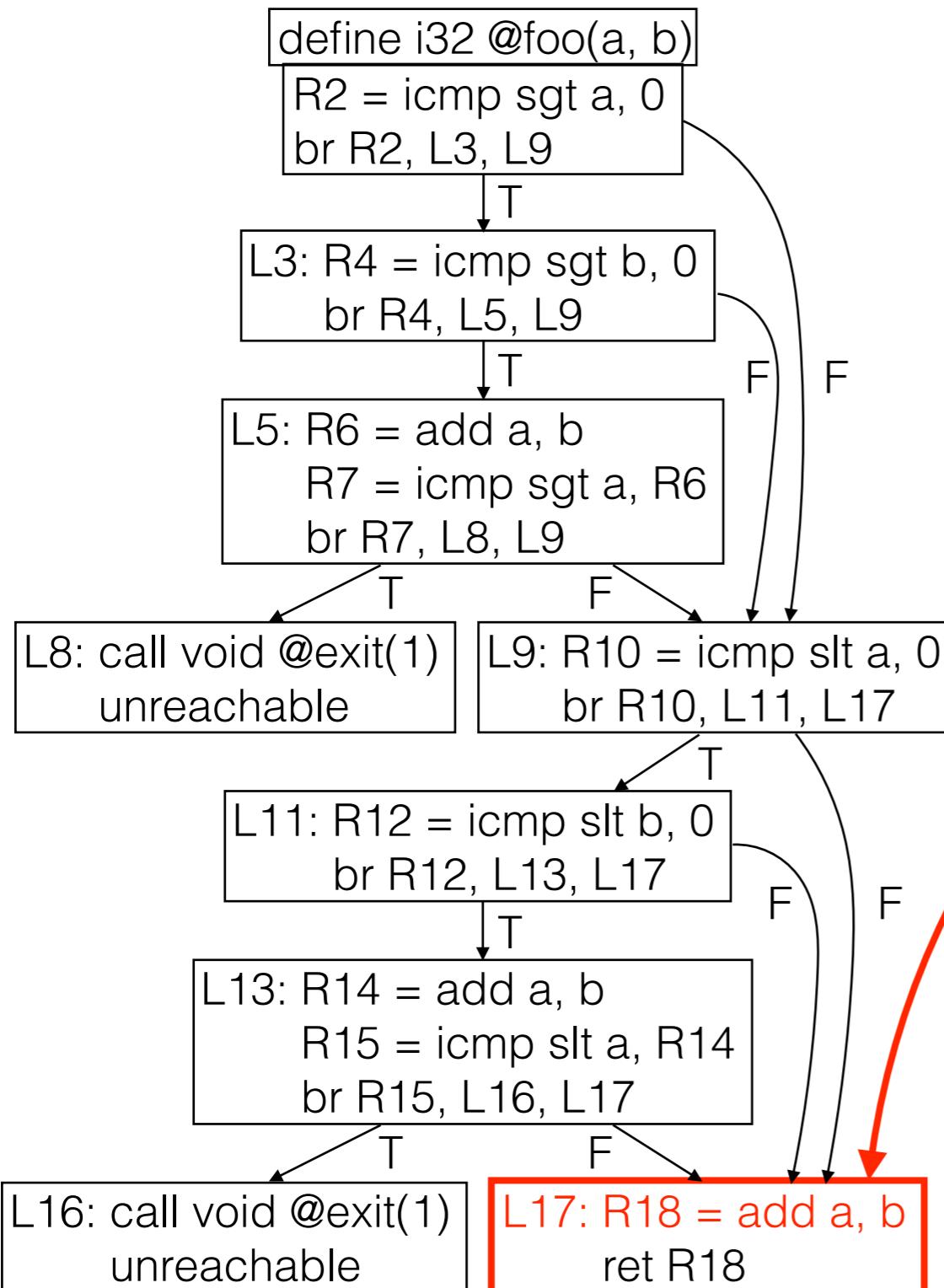


$P_{\text{opt}}$ : optimized  $P_{\text{retro}}$

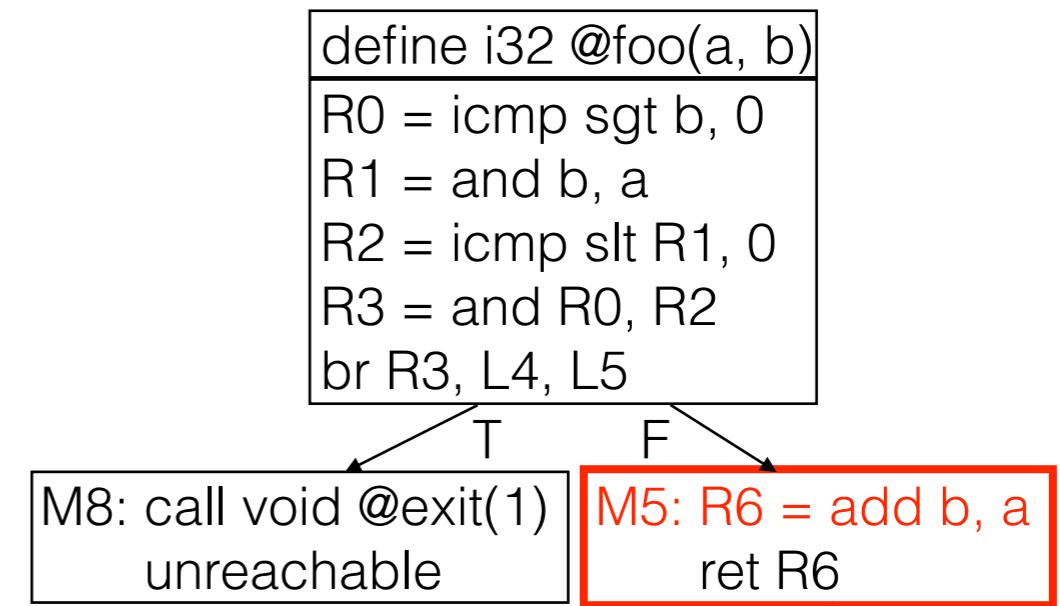


# Reachability

$P_{\text{retro}}$ : retrofitted program



$P_{\text{opt}}$ : optimized  $P_{\text{retro}}$

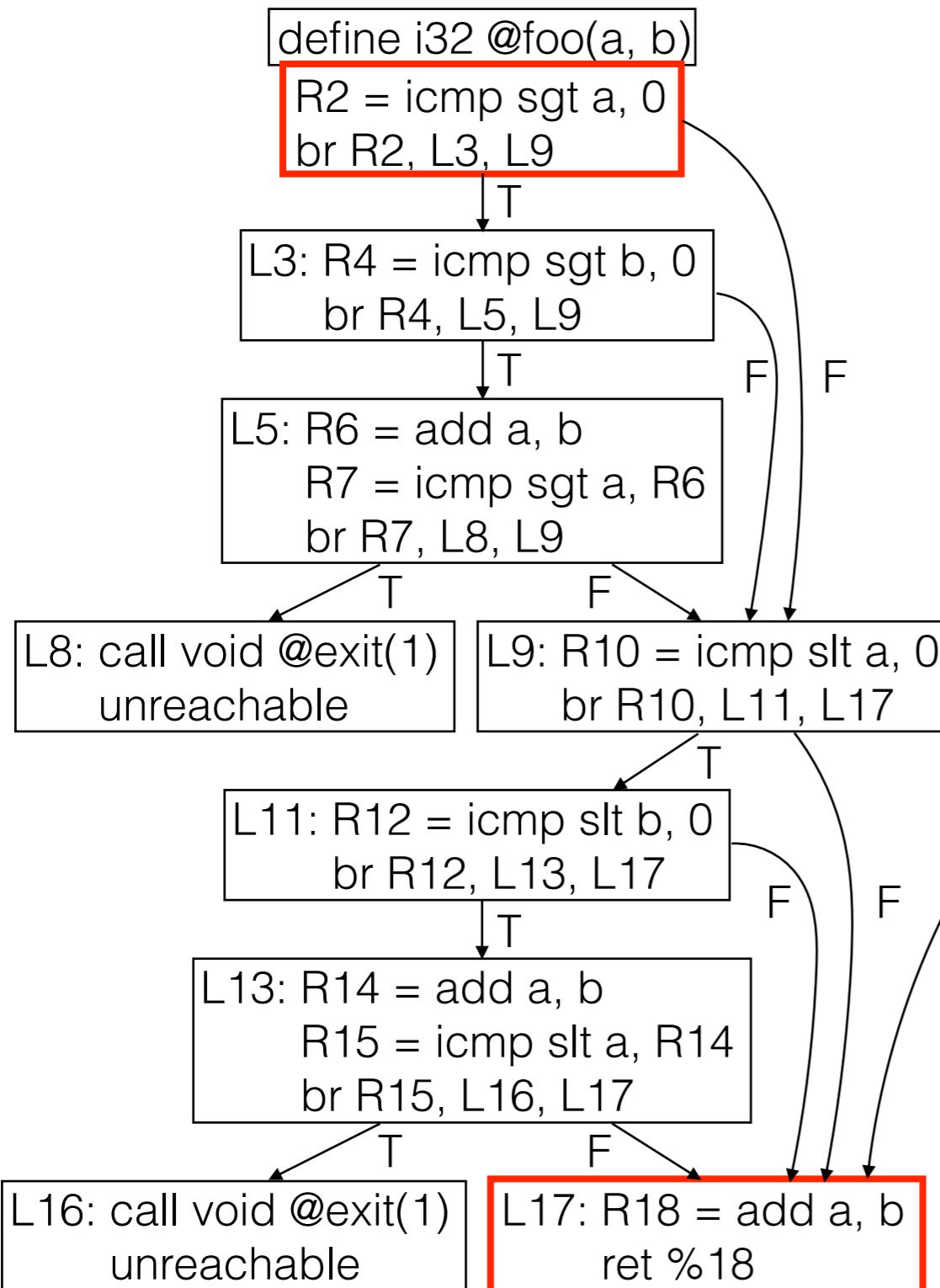


Event of interest

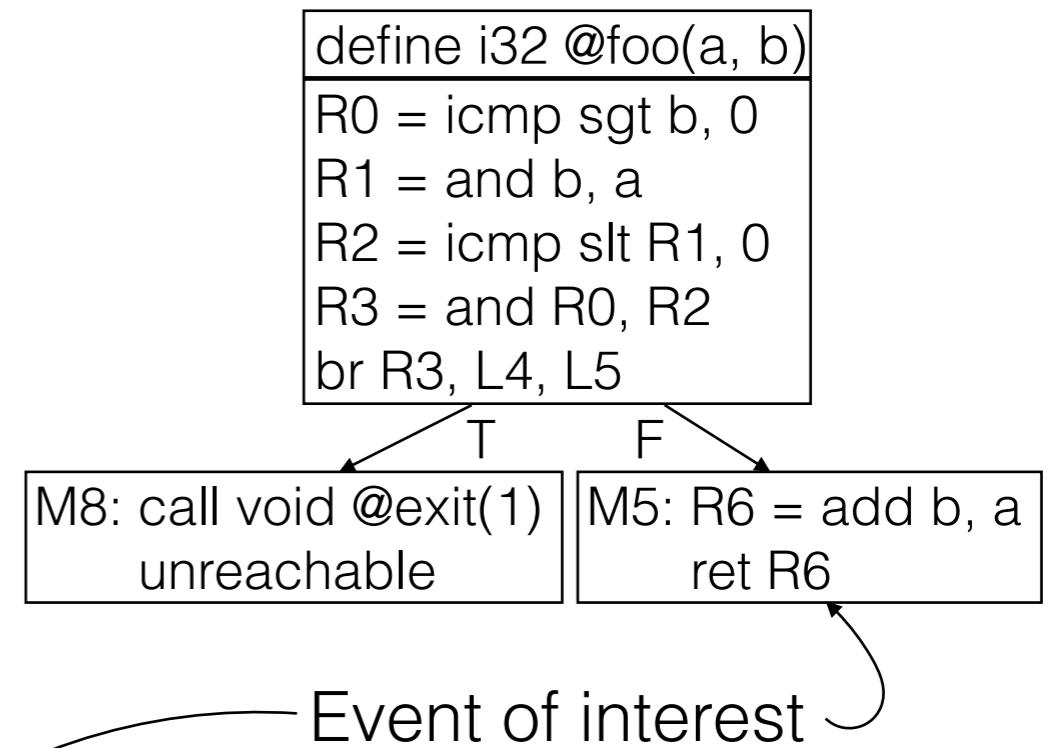


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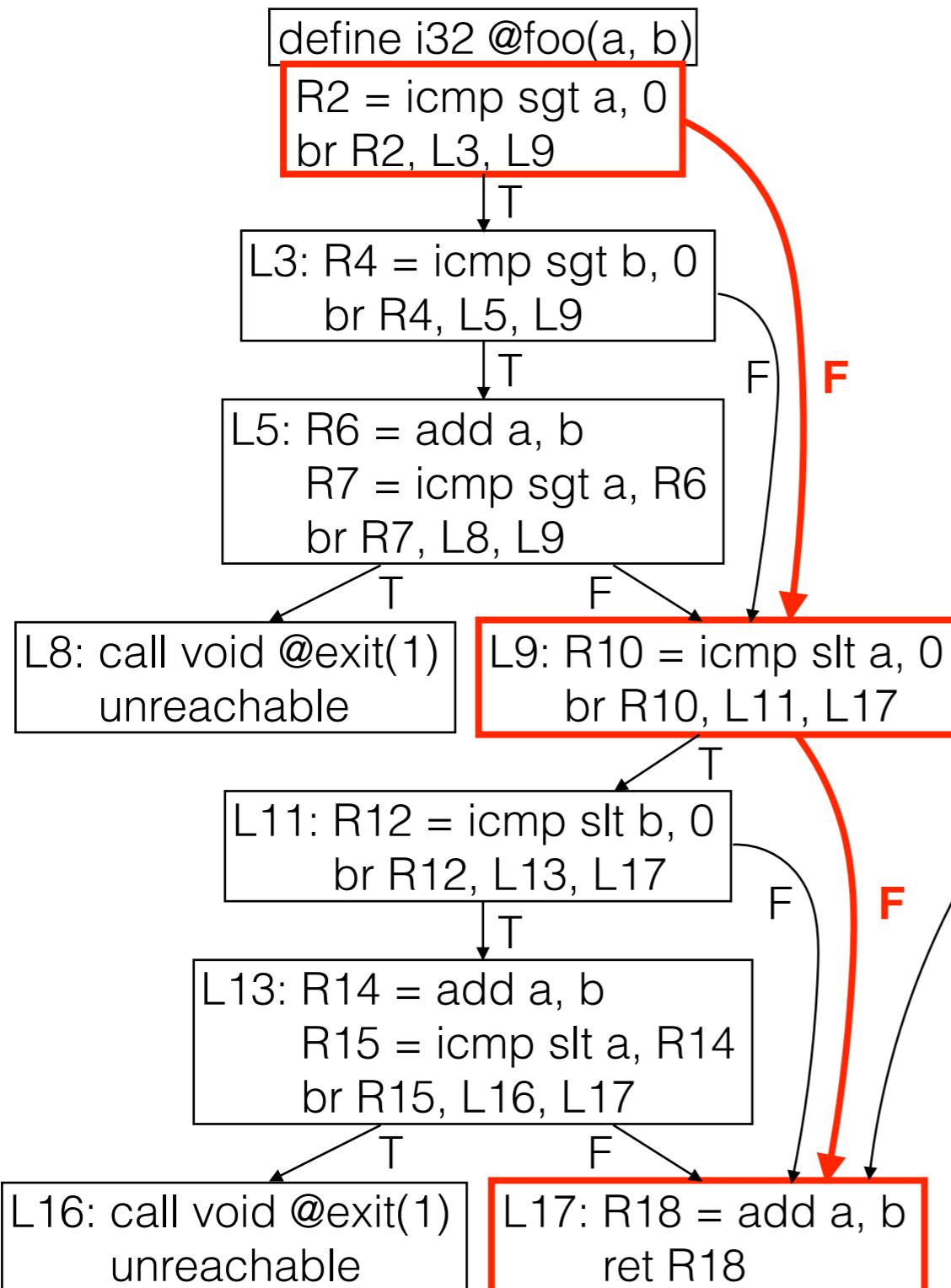


$P_{\text{opt}}$ : optimized  $P_{\text{retro}}$

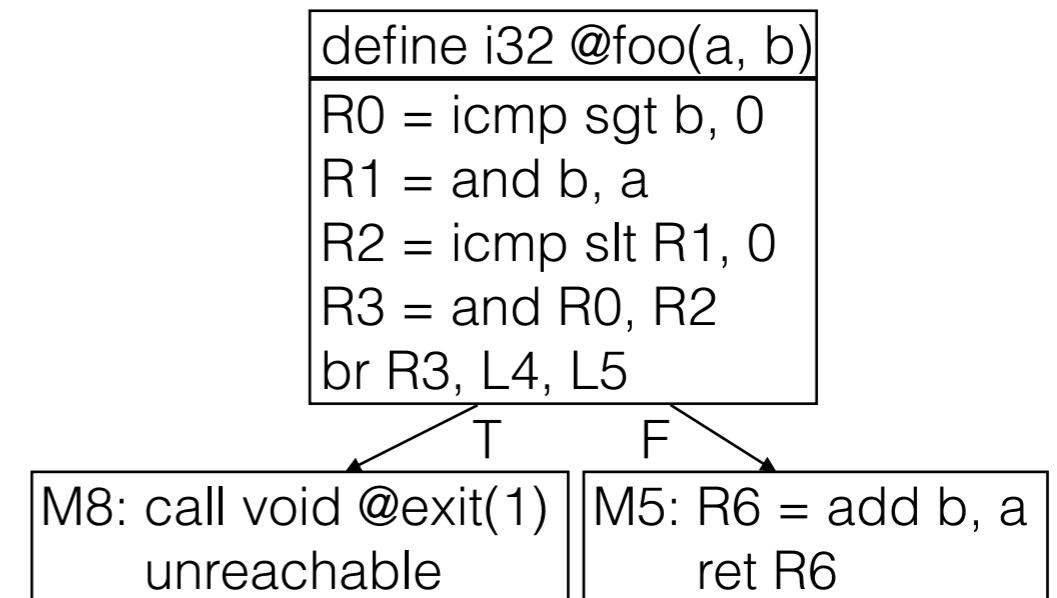


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$P_{\text{retro}}$ : retrofitted program



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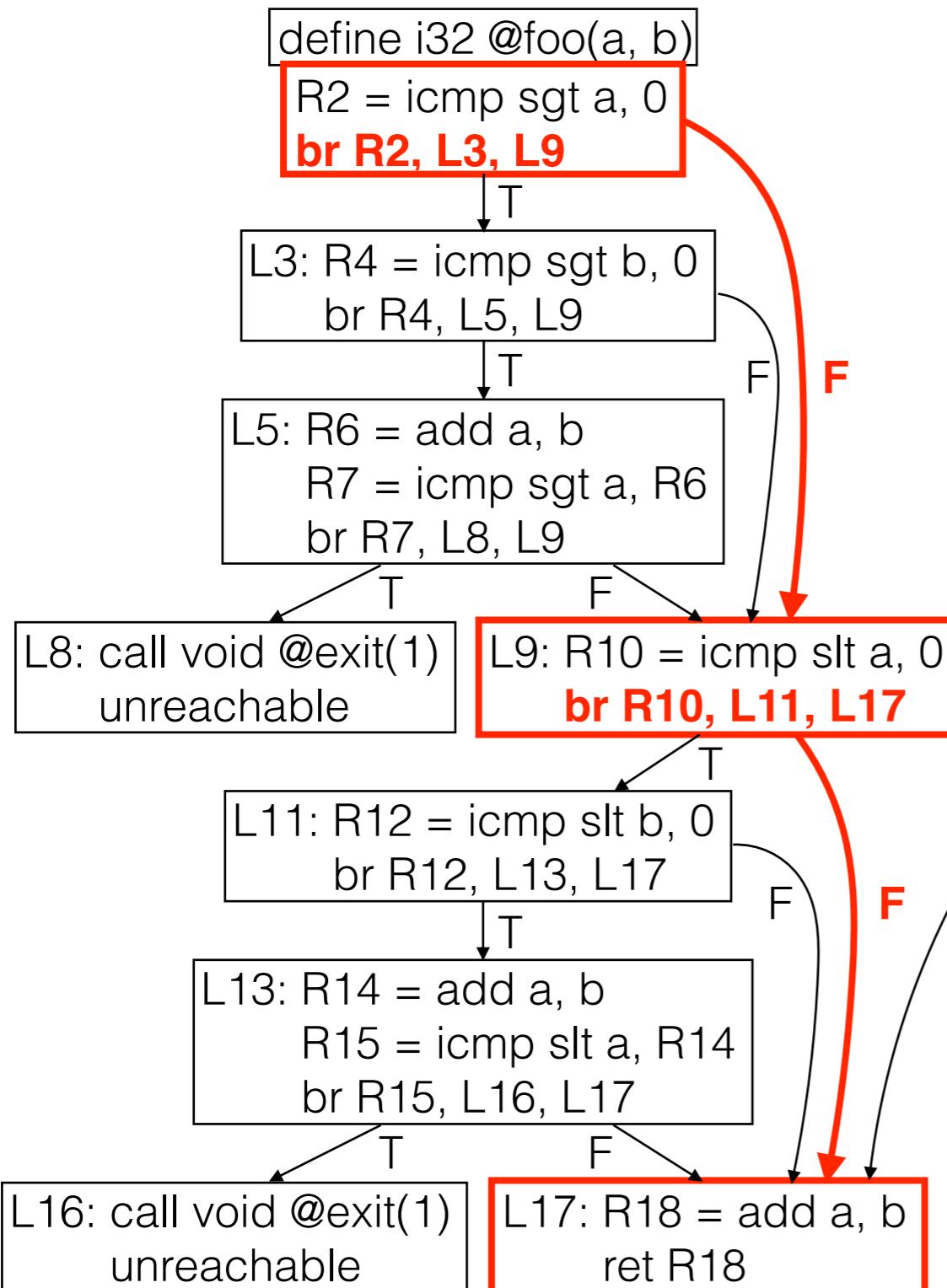


Event of interest

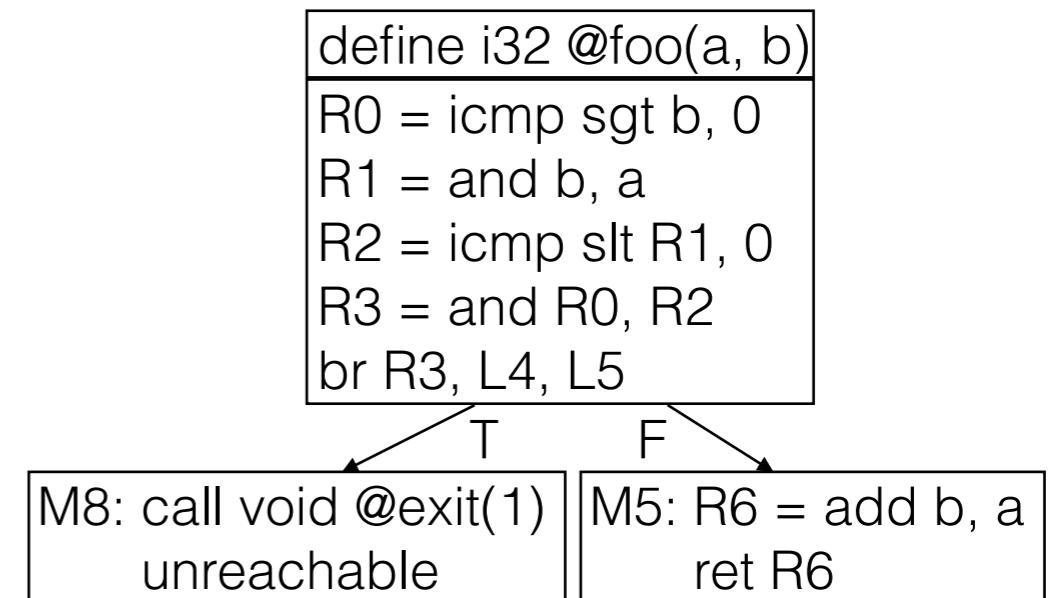


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$P_{\text{retro}}$ : retrofitted program



$P_{\text{opt}}$ : optimized  $P_{\text{retro}}$



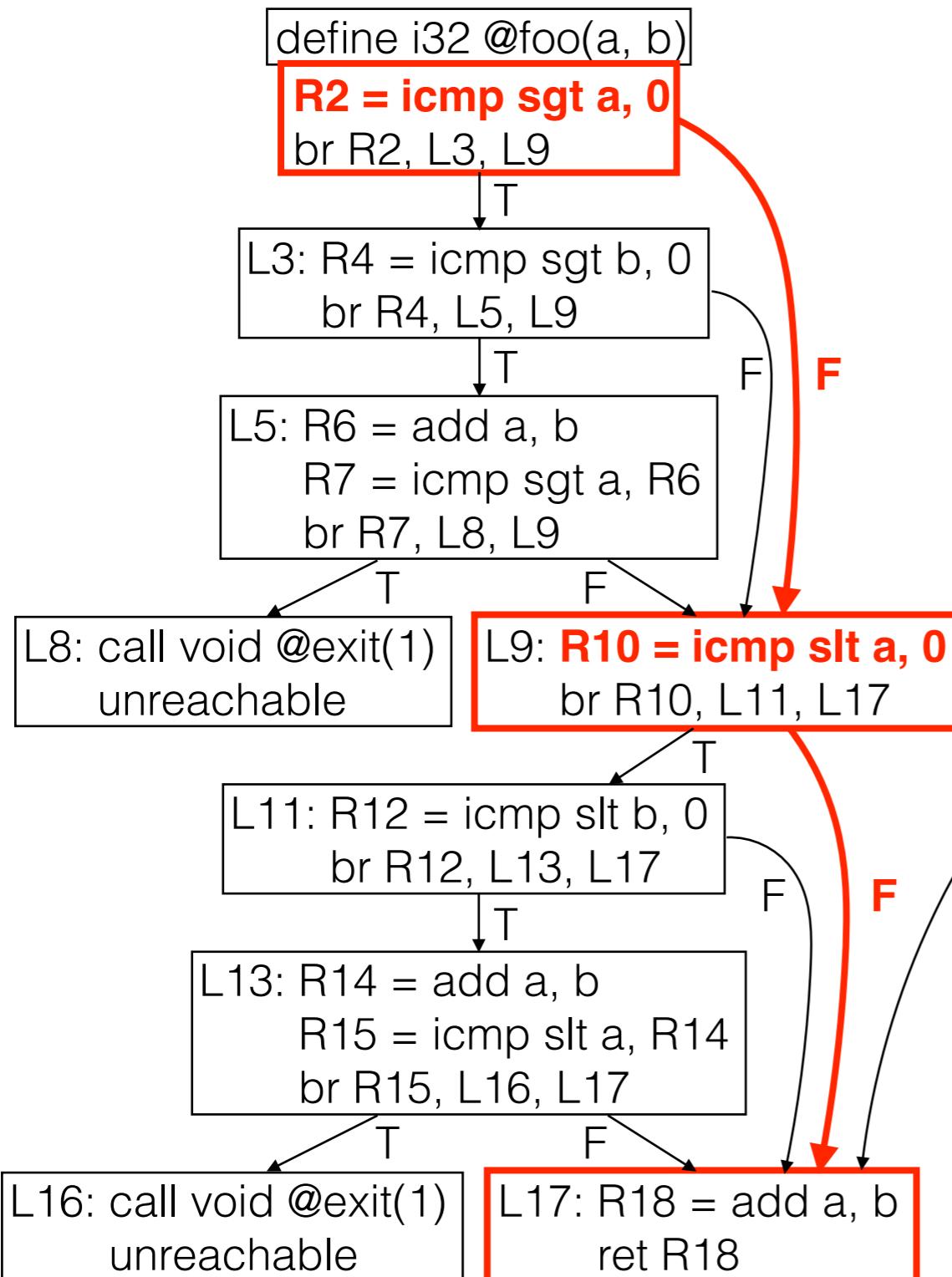
Event of interest

$$P_{0\text{retro}}: !(R2) \wedge !(R10)$$

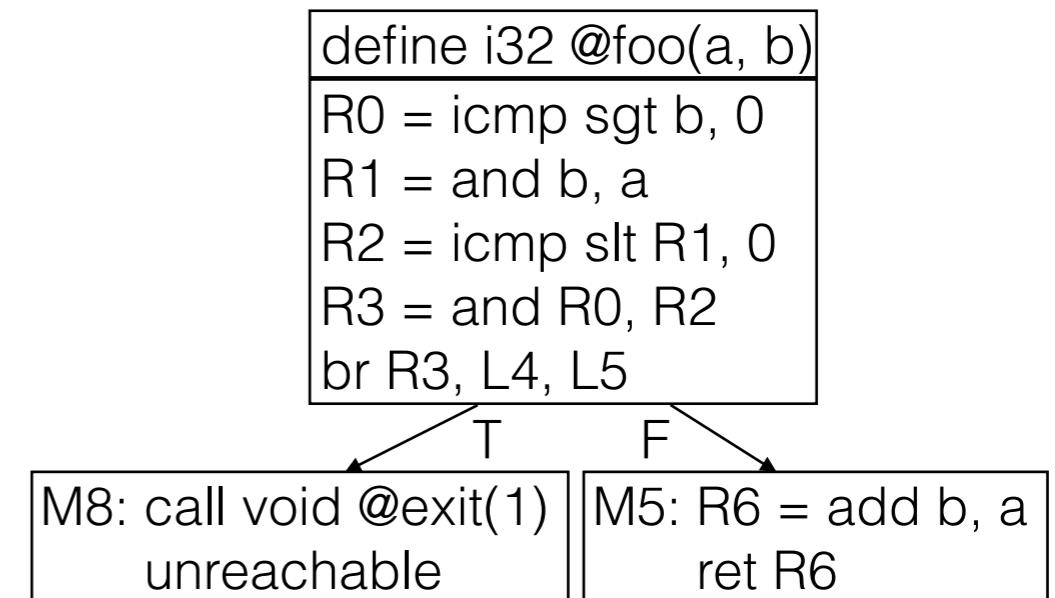


# Reachability

$P_{\text{retro}}$ : retrofitted program



$P_{\text{opt}}$ : optimized  $P_{\text{retro}}$

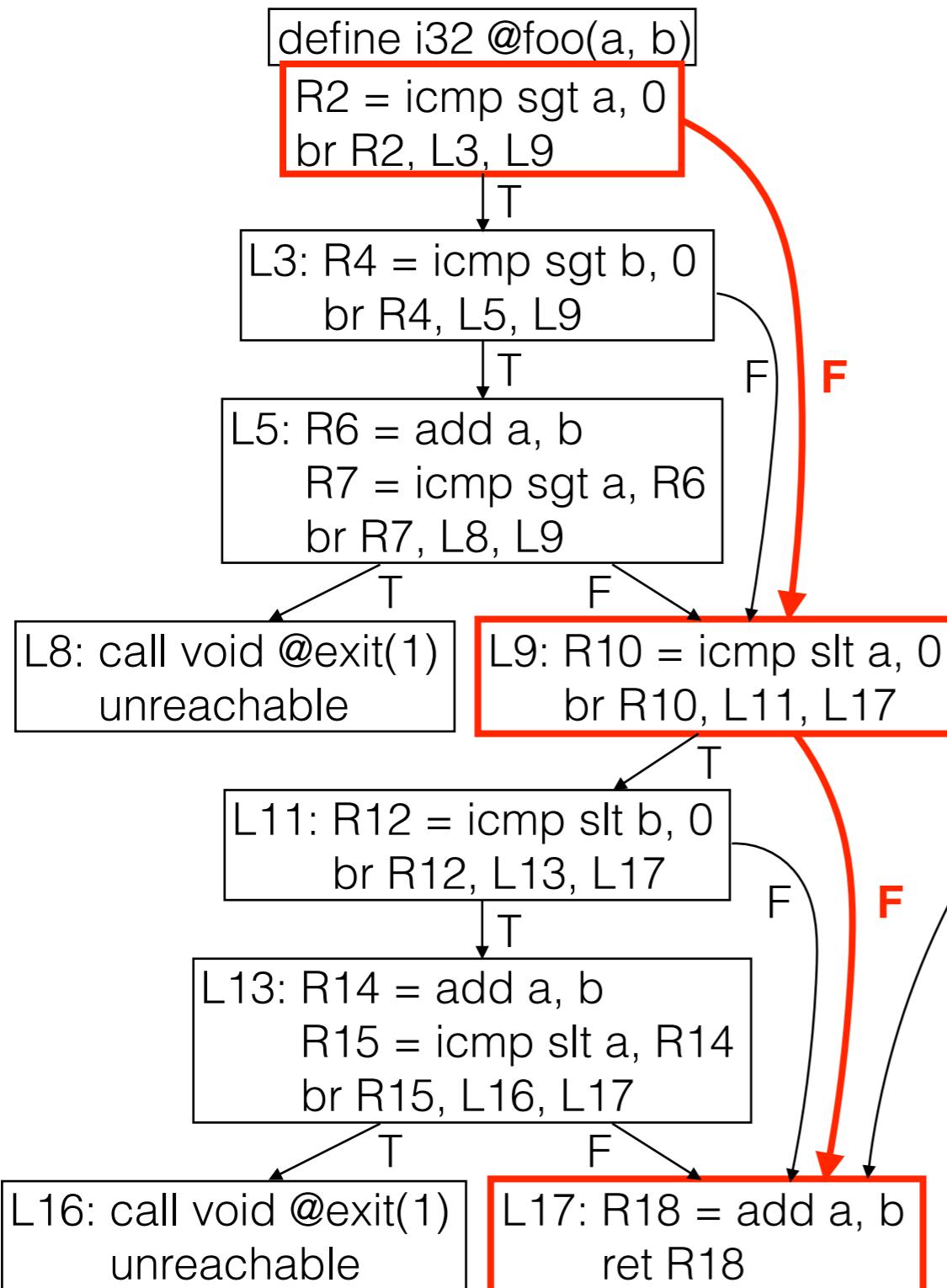


$$P_{0_{\text{retro}}} : \neg(R2) \wedge \neg(R10) \wedge \\ (R2 = (a > 0)) \wedge (R10 = (a < 0))$$

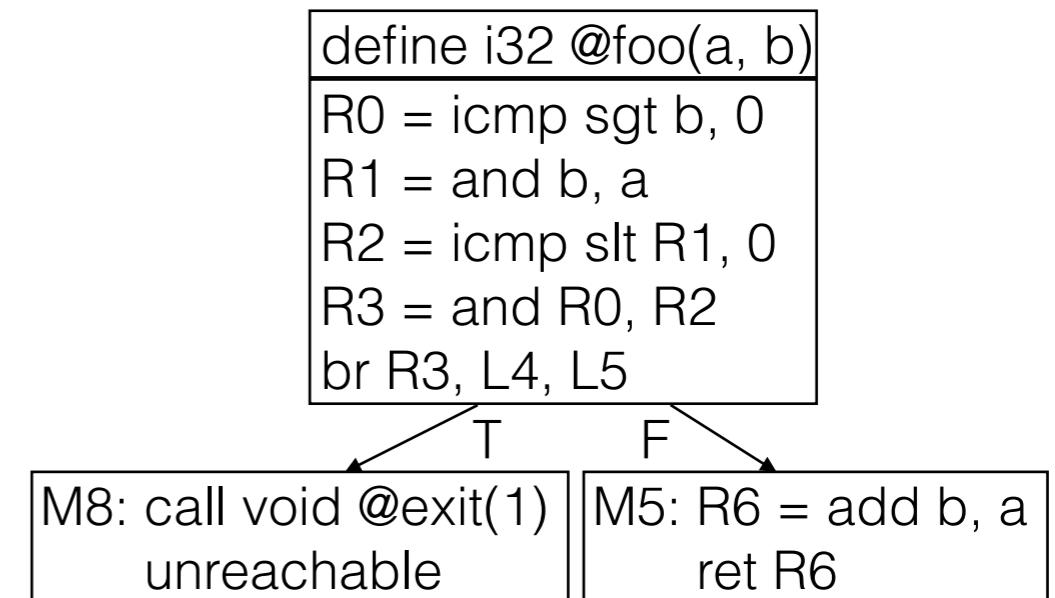


# Reachability

$P_{\text{retro}}$ : retrofitted program



$P_{\text{opt}}$ : optimized  $P_{\text{retro}}$



Event of interest

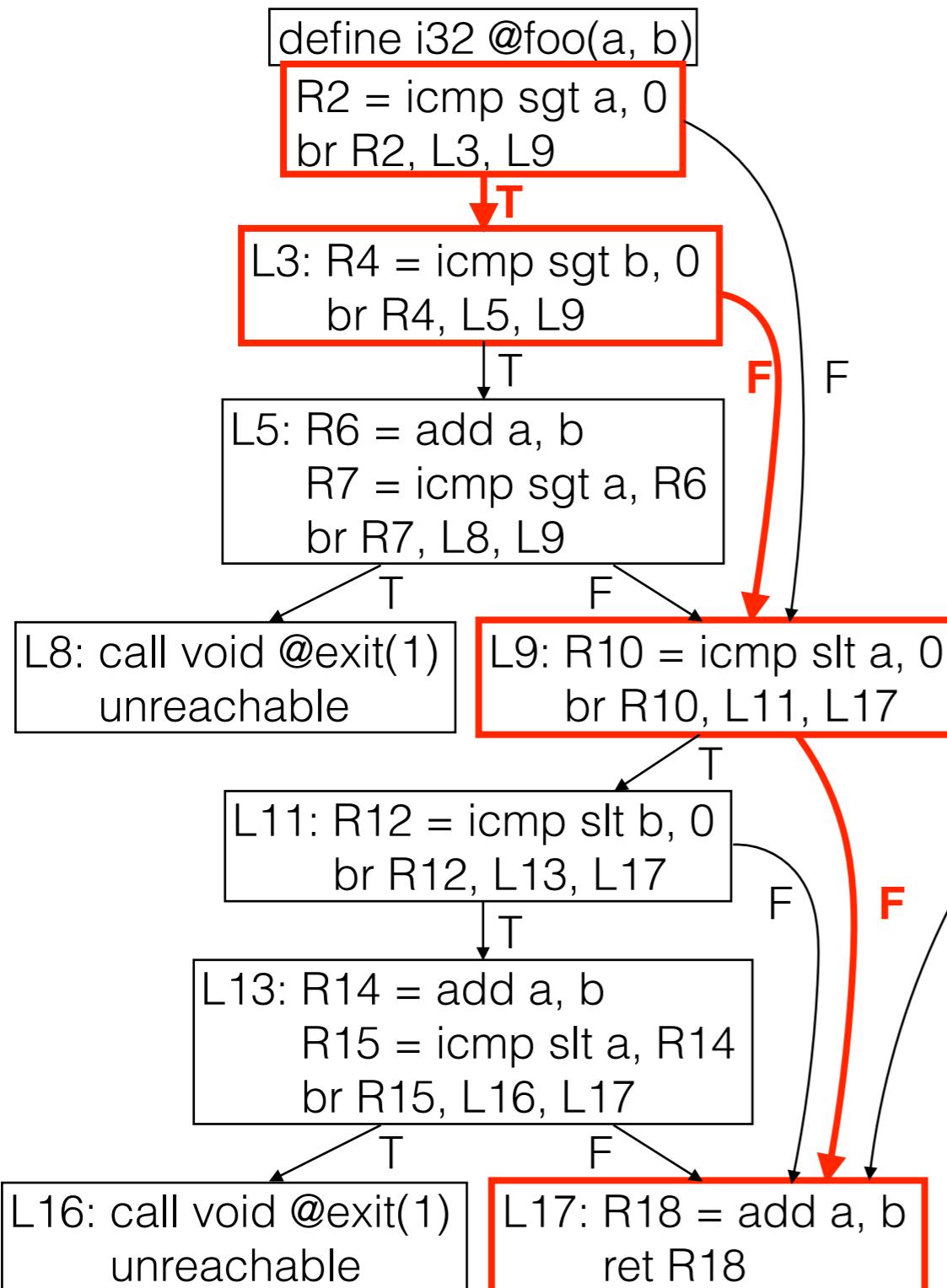
$$P_{0\text{retro}}: !(R2) \wedge !(R10) \wedge (R2 = (a > 0)) \wedge (R10 = (a < 0))$$

$$E_{\text{retro}} = P_{0\text{retro}}$$

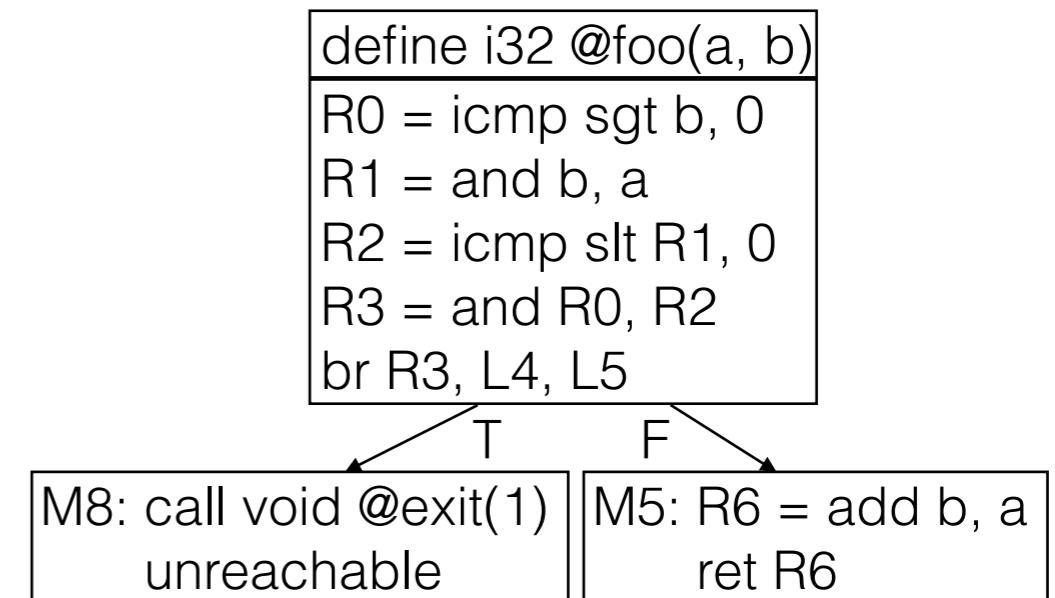


# Reachability

$P_{\text{retro}}$ : retrofitted program



$P_{\text{opt}}$ : optimized  $P_{\text{retro}}$



$$P_{\text{0retro}}: !(R2) \wedge !(R10) \wedge (R2 = (a > 0)) \wedge (R10 = (a < 0))$$

$E_{\text{retro}} = P_{\text{0retro}} \vee \dots \vee P_{\text{iretro}}$

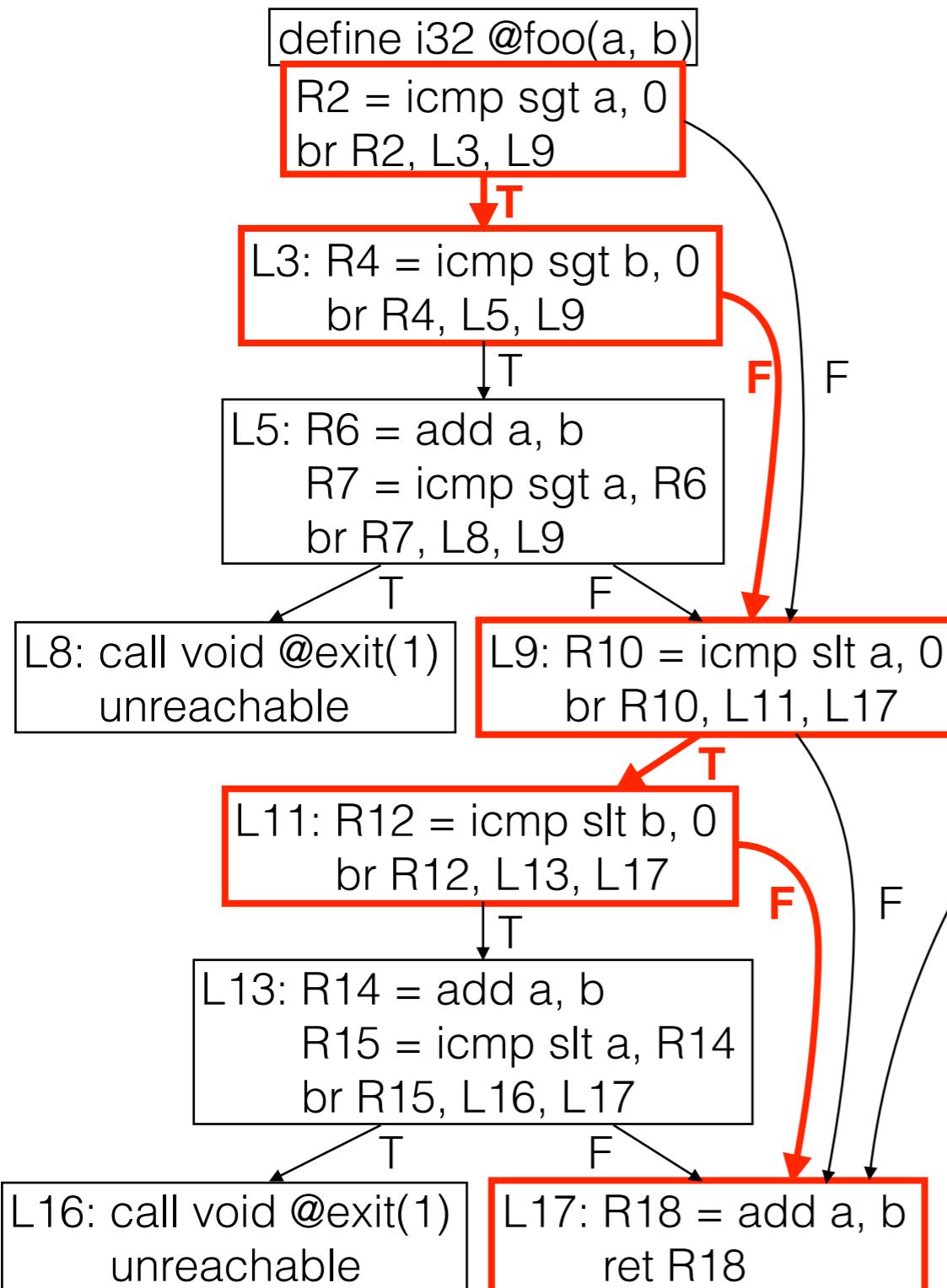
Event of interest



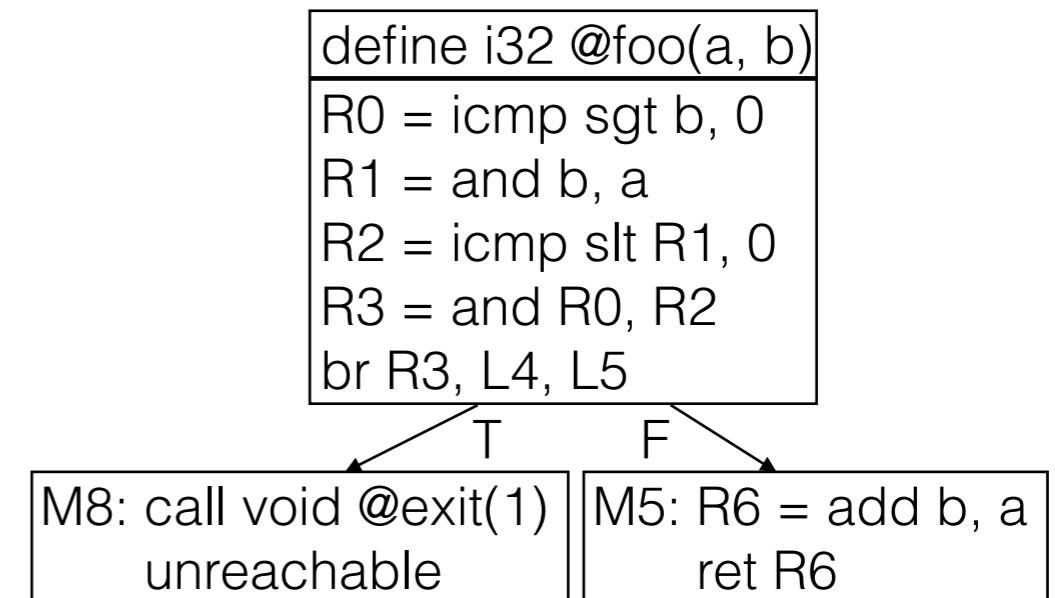
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# Reachability

$P_{\text{retro}}$ : retrofitted program



$P_{\text{opt}}$ : optimized  $P_{\text{retro}}$



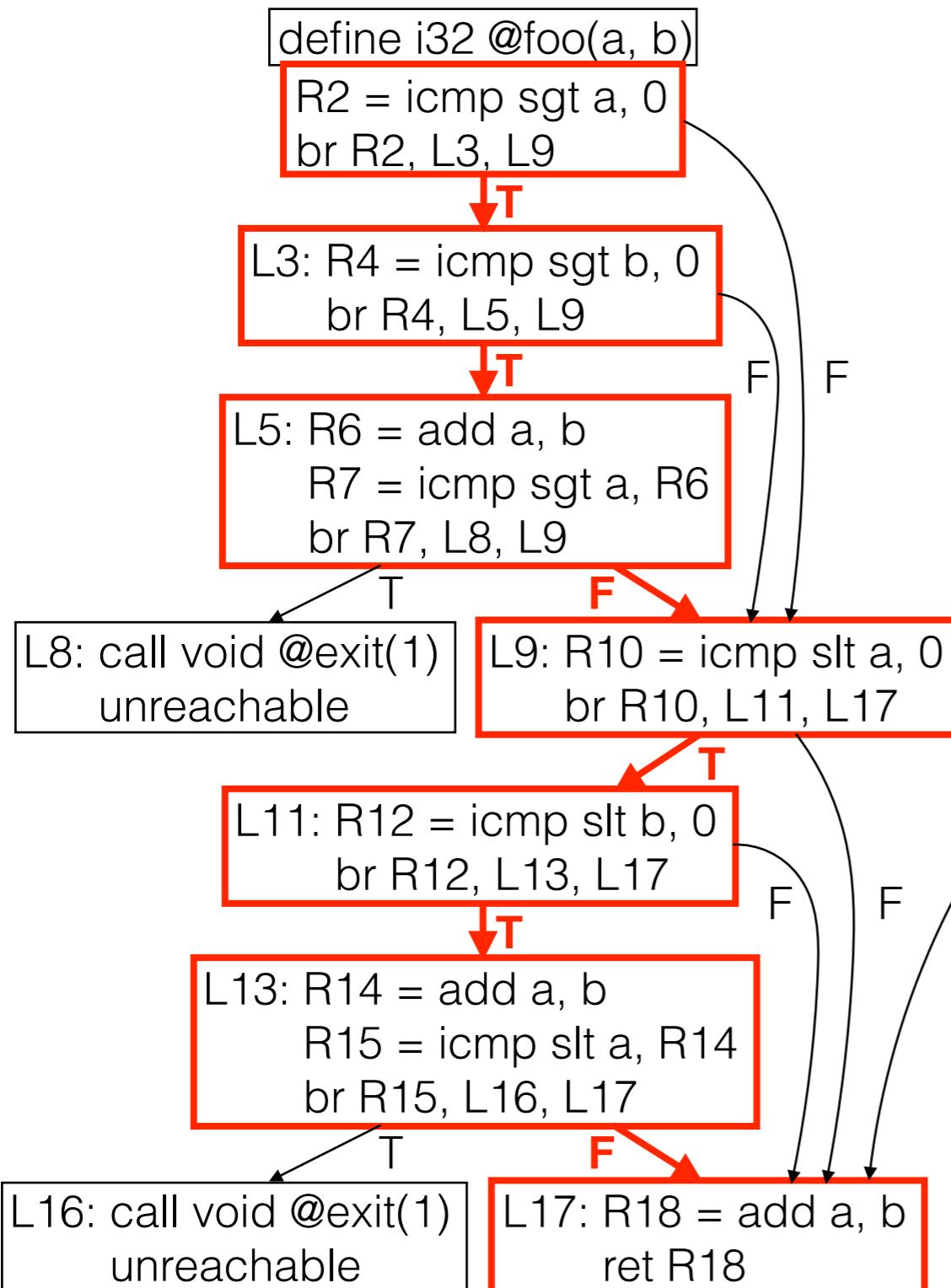
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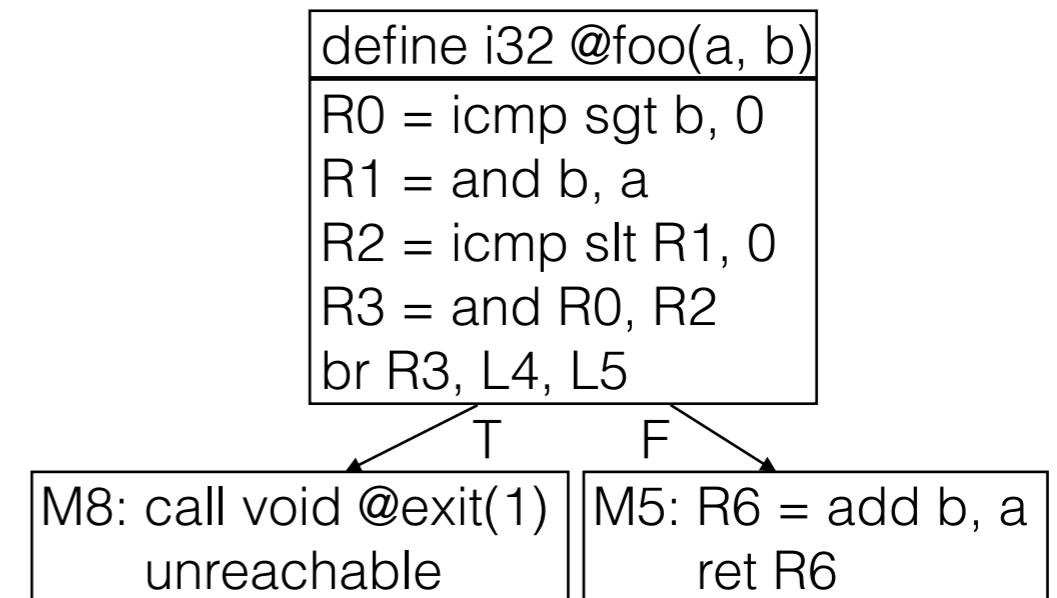


# Reachability

$P_{\text{retro}}$ : retrofitted program



$P_{\text{opt}}$ : optimized  $P_{\text{retro}}$



Event of interest

$$P_{0\text{retro}}: !(R2) \wedge !(R10) \wedge \\ (R2 = (a > 0)) \wedge (R10 = (a < 0))$$

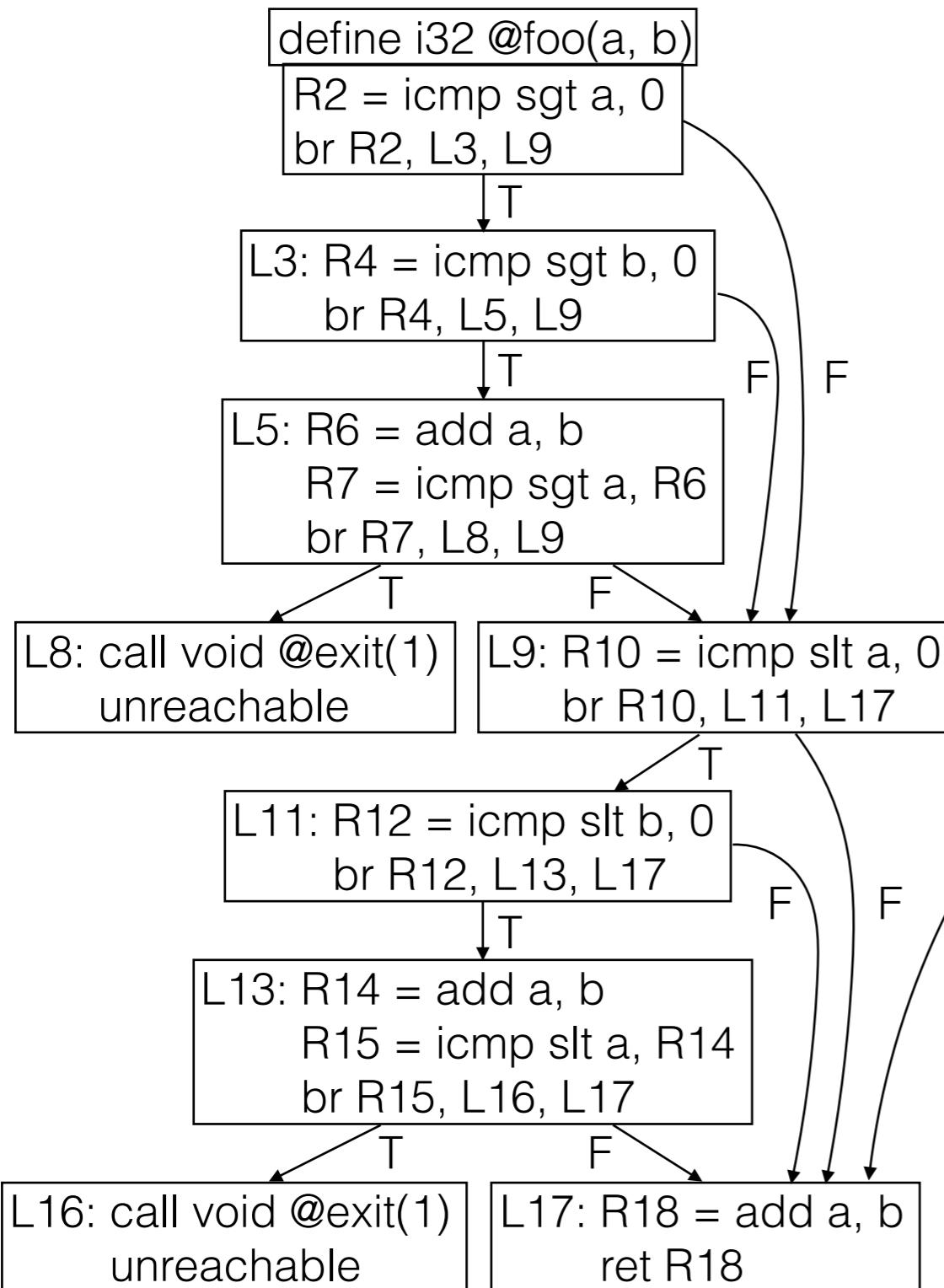
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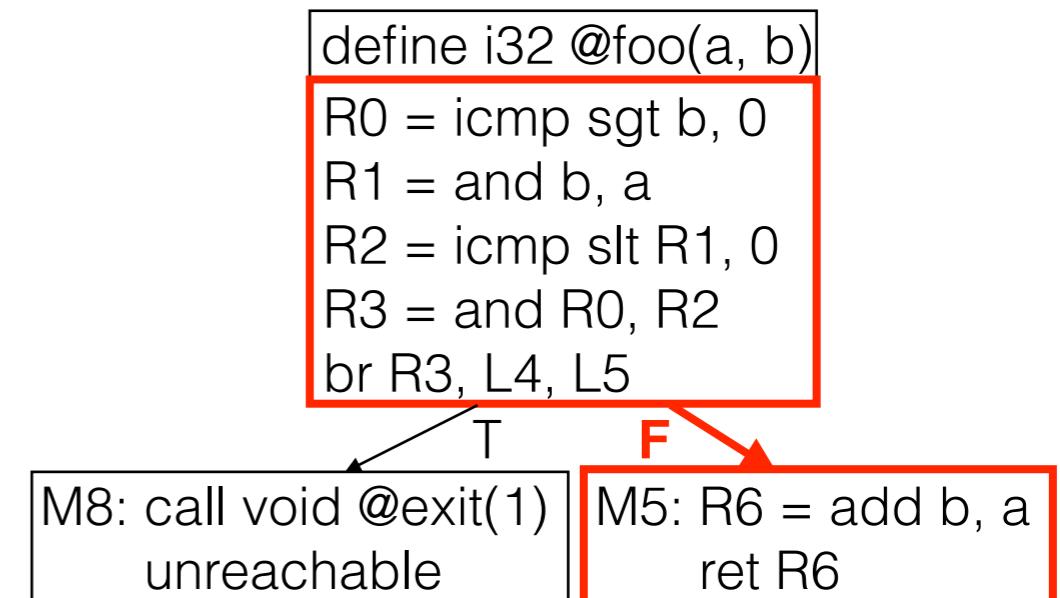
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$P_{\text{retro}}$ : retrofitted program



$P_{\text{opt}}$ : optimized  $P_{\text{retro}}$



Event of interest

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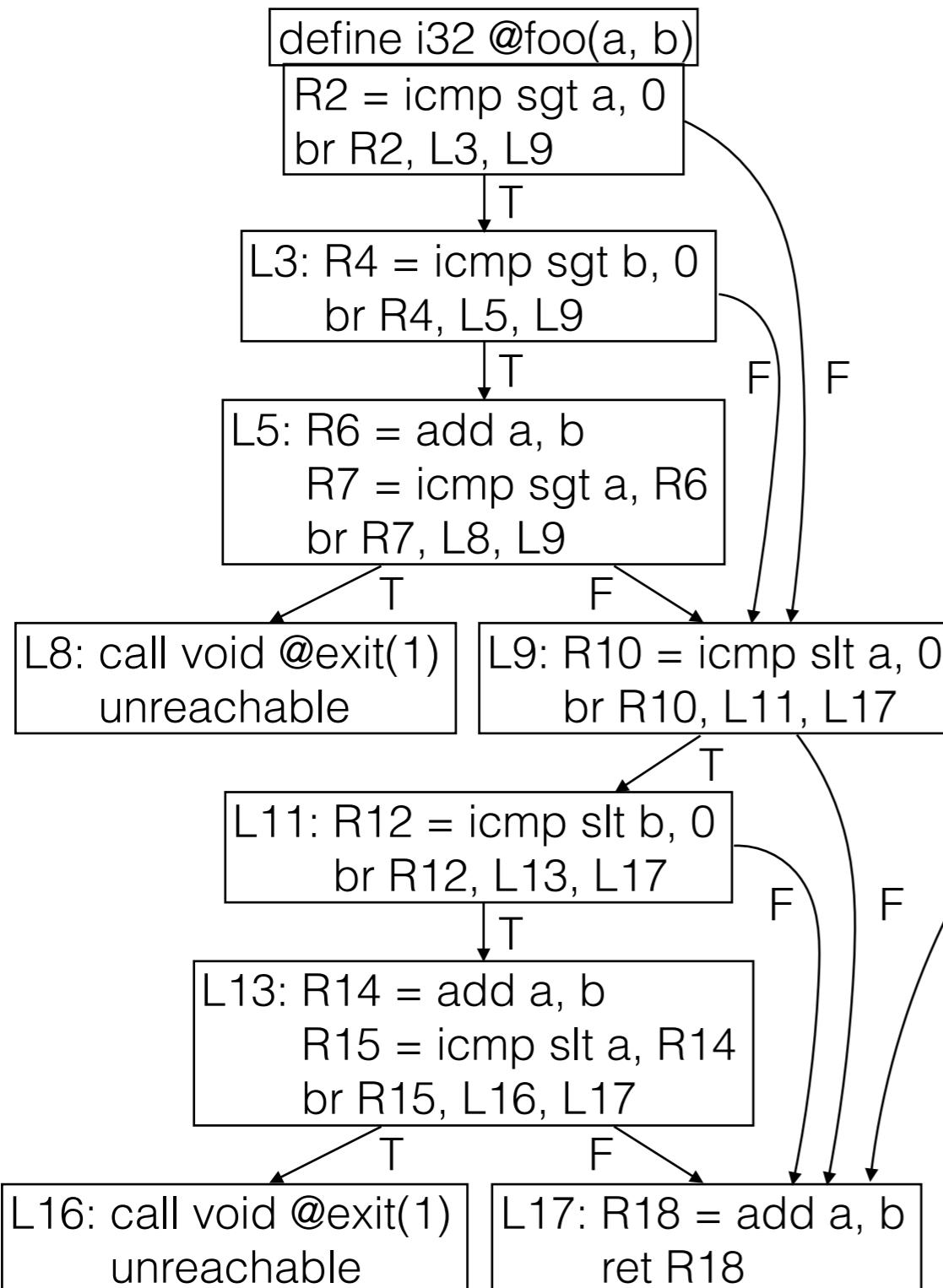
$$E_{\text{retro}} = P_{0\text{retro}} \vee \dots \vee P_{i\text{retro}}$$

$$E_{\text{opt}} = P_{0\text{opt}} \vee \dots \vee P_{j\text{opt}}$$

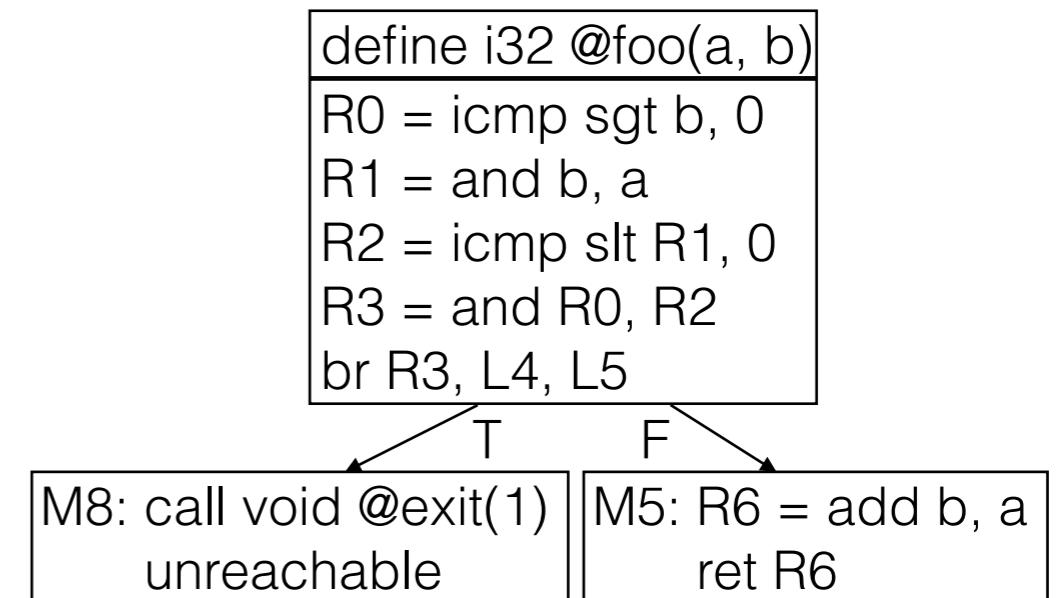


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$P_{\text{opt}}$ : optimized  $P_{\text{retro}}$



Event of interest

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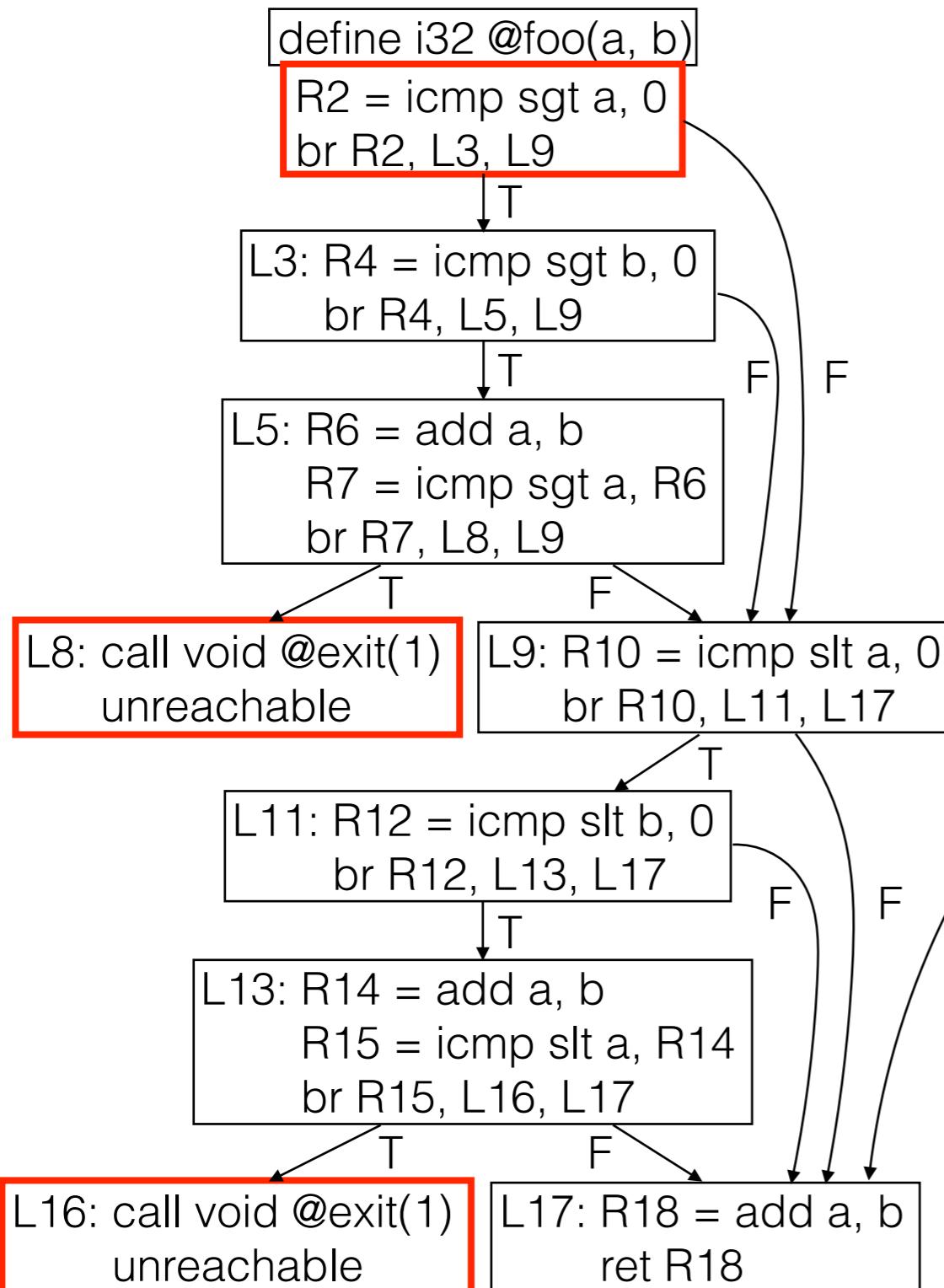
$$E_{\text{opt}} = P_{0\text{opt}} \vee \dots \vee P_{j\text{opt}}$$

If  $P_{\text{retro}}$  reaches event of interest, then  $P_{\text{opt}}$  reaches event of interest  
( $E_{\text{retro}} \Rightarrow E_{\text{opt}}$ )

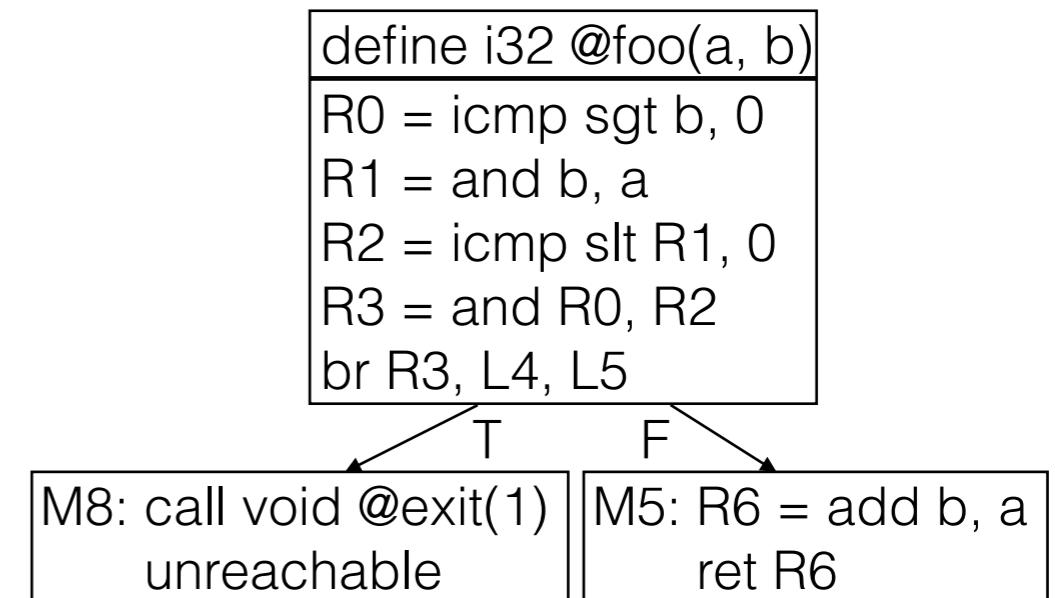


# Reachability

$P_{\text{retro}}$ : retrofitted program



$P_{\text{opt}}$ : optimized  $P_{\text{retro}}$



Event of interest

$$P_{\text{0}_{\text{retro}}} : !(R2) \wedge !(R10) \wedge (R2 = (a > 0)) \wedge (R10 = (a < 0))$$

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If  $P_{\text{retro}}$  reaches event of interest, then  $P_{\text{opt}}$  reaches event of interest ( $E_{\text{retro}} \Rightarrow E_{\text{opt}}$ )

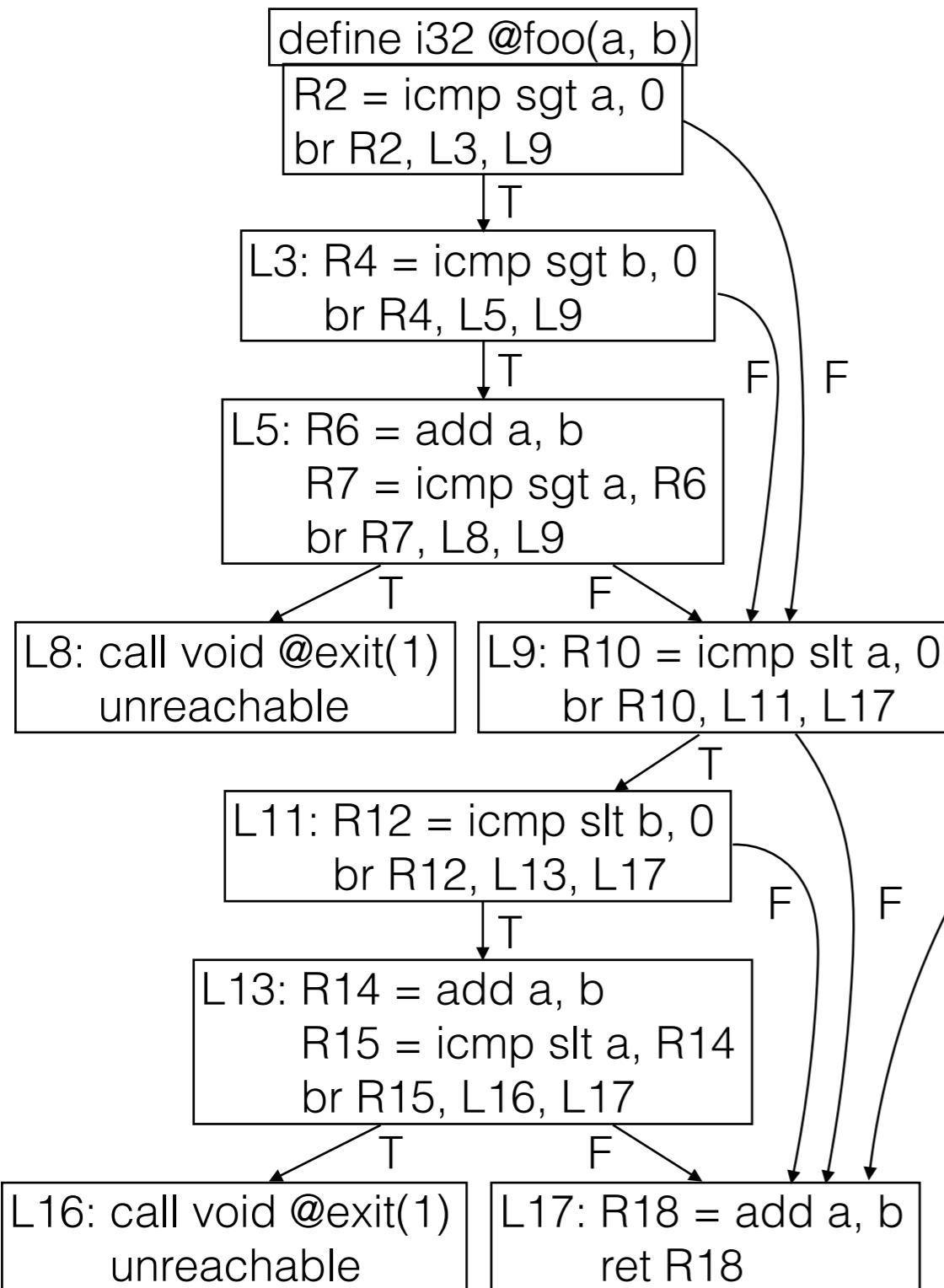
$\sim E_{\text{retro}}$



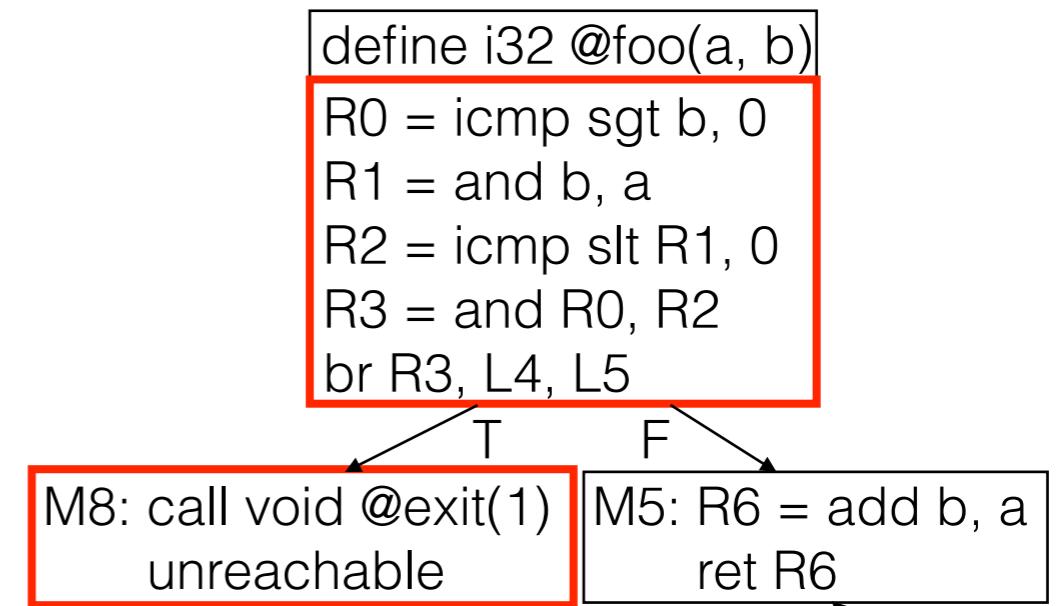
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# Reachability

$P_{\text{retro}}$ : retrofitted program



$P_{\text{opt}}$ : optimized  $P_{\text{retro}}$

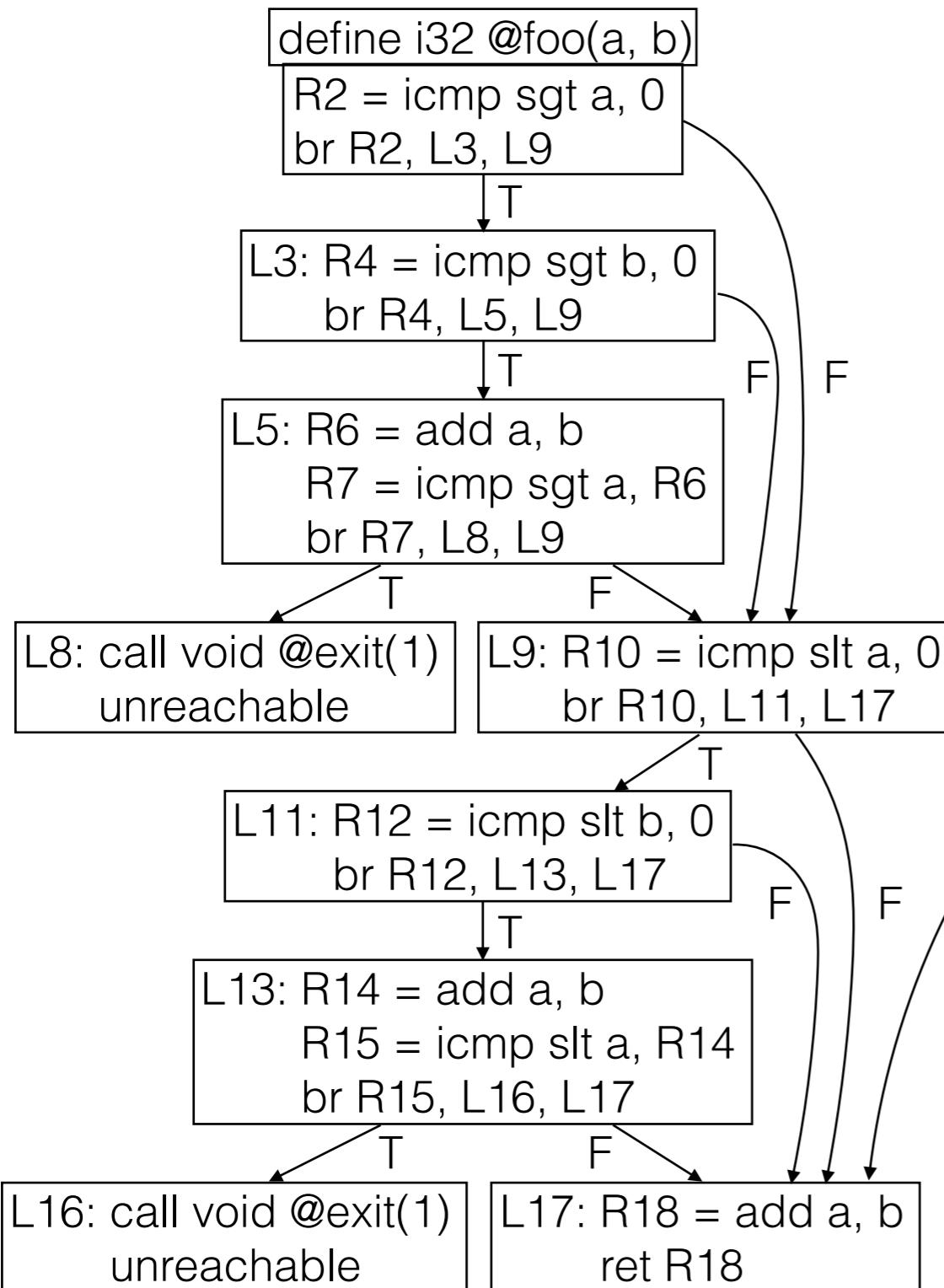


$P_{0\text{retro}}: !(R2) \wedge !(R10) \wedge$   
 $(R2 = (a > 0)) \wedge (R10 = (a < 0))$   
 $E_{\text{retro}} = P_{0\text{retro}} \vee \dots \vee P_i_{\text{retro}}$   
 $E_{\text{opt}} = P_{0\text{opt}} \vee \dots \vee P_j_{\text{opt}}$   
 If  $P_{\text{retro}}$  reaches event of interest, then  $P_{\text{opt}}$  reaches event of interest ( $E_{\text{retro}} \Rightarrow E_{\text{opt}}$ )  
 $\sim E_{\text{retro}}, \sim E_{\text{opt}}$

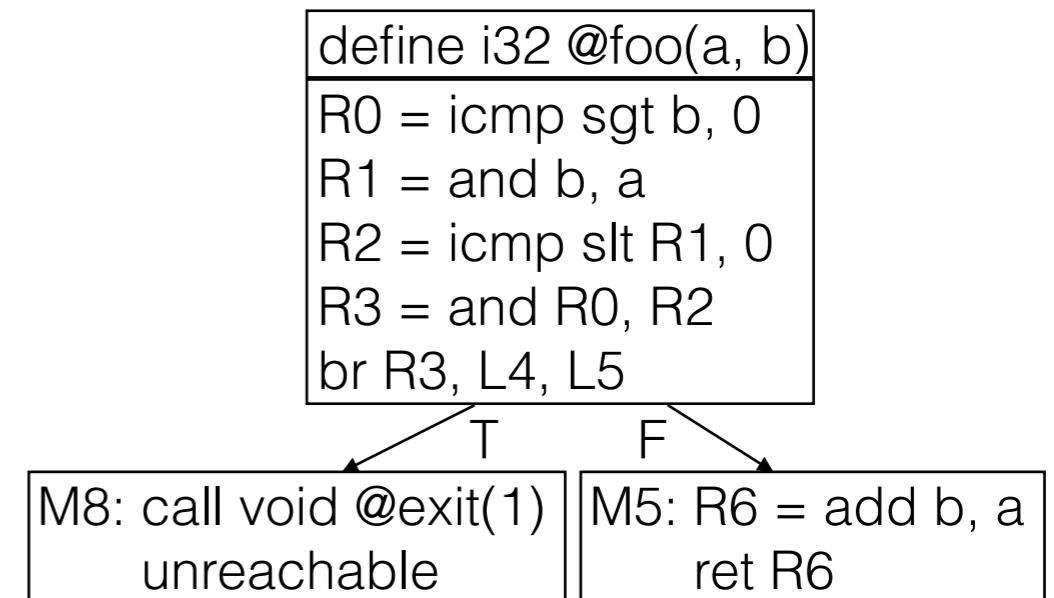


# Reachability

$P_{\text{retro}}$ : retrofitted program



$P_{\text{opt}}$ : optimized  $P_{\text{retro}}$



Event of interest

$$P_{\text{0}_{\text{retro}}} : !(R2) \wedge !(R10) \wedge (R2 = (a > 0)) \wedge (R10 = (a < 0))$$

$$E_{\text{retro}} = P_{\text{0}_{\text{retro}}} \vee \dots \vee P_{i_{\text{retro}}}$$

$$E_{\text{opt}} = P_{\text{0}_{\text{opt}}} \vee \dots \vee P_{j_{\text{opt}}}$$

If  $P_{\text{retro}}$  reaches event of interest, then  $P_{\text{opt}}$  reaches event of interest ( $E_{\text{retro}} \Rightarrow E_{\text{opt}}$ )

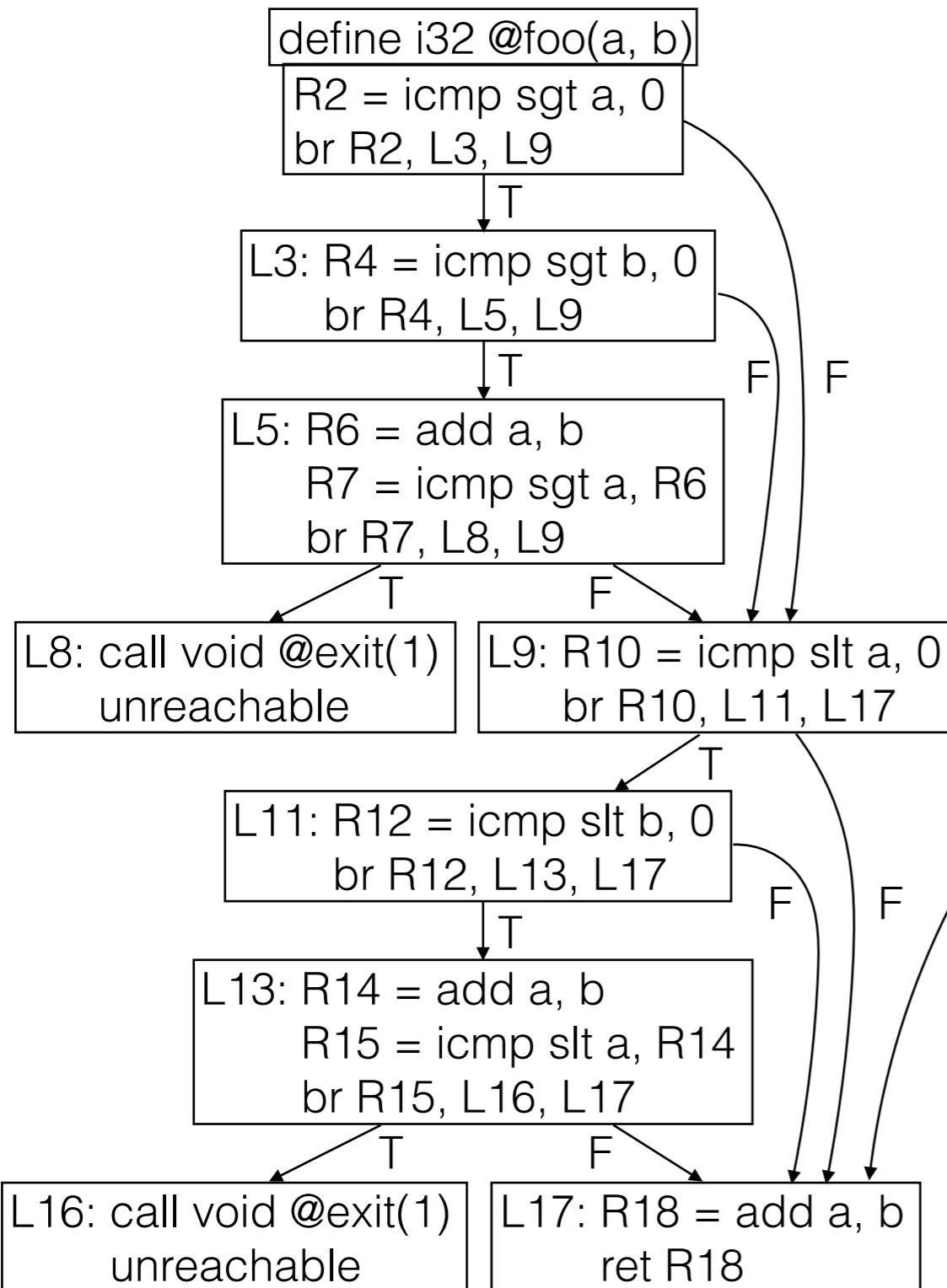
$$\sim E_{\text{retro}}, \sim E_{\text{opt}}$$

**If  $P_{\text{retro}}$  does not reach event of interest, then  $P_{\text{opt}}$  does not reach event of interest ( $\sim E_{\text{retro}} \Rightarrow \sim E_{\text{opt}}$ )**

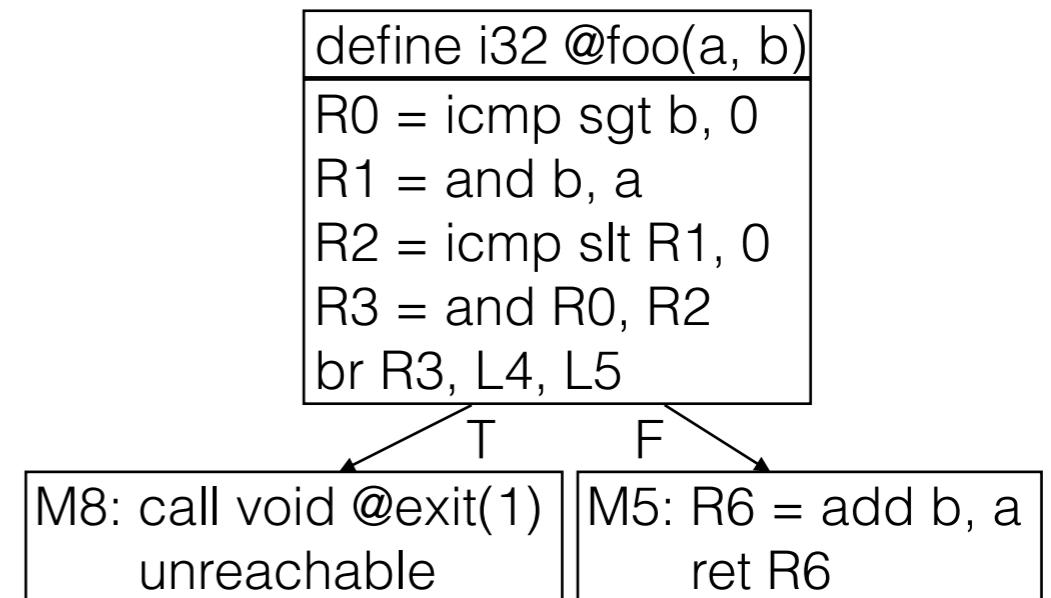


# Reachability

$P_{\text{retro}}$ : retrofitted program



$P_{\text{opt}}$ : optimized  $P_{\text{retro}}$



$P_{0\text{retro}}: !(R2) \wedge !(R10) \wedge$   
 $(R2 = (a > 0)) \wedge (R10 = (a < 0))$   
 $E_{\text{retro}} = P_{0\text{retro}} \vee \dots \vee P_{i\text{retro}}$   
 $E_{\text{opt}} = P_{0\text{opt}} \vee \dots \vee P_{j\text{opt}}$   
 If  $P_{\text{retro}}$  reaches event of interest, then  $P_{\text{opt}}$  reaches event of interest ( $E_{\text{retro}} \Rightarrow E_{\text{opt}}$ )

$\sim E_{\text{retro}}, \sim E_{\text{opt}}$

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# Evaluation

- Built prototype for LLVM IR programs.
- Modified retrofitting transformation to mark event of interests.
- Z3 for query.
- Naive integer overflow checker, Address Sanitizer, SoftboundCETS
- Scalability optimizations



# Address Sanitizer

Benchmark	Functions	Total Events	Check Success	Check Failed	Time-Out
bh	38	135	121	9	5
bisort	7	20	18	1	1
em3d	9	27	21	4	2
health	13	41	37	3	1
mst	10	14	11	2	1
perimeter	6	28	27	1	0
power	13	56	48	2	6
treeadd	6	8	6	2	0
tsp	9	19	16	2	1



# SoftBoundCETS

Benchmark	Functions	Total Events	Check Success	Check Failed	Time-Out
bh	52	263	259	2	0
bisort	16	86	85	1	0
em3d	15	88	83	3	0
heat3d	11	82	78	1	2
power	6	25	22	4	0
treeadd	8	43	43	0	0
tsp	13	84	84	0	0

**⚠ SoftBoundCETS shadow stack metadata propagation is wrong when llvm optimizations remove arguments bug**  
#8 opened on Sep 2, 2016 by jayPLim



# Conclusion

- Optimization do erroneously remove checks.
- Formulating as Reachability detects errors.
- Must address false positives.
  - better memory axioms.



# SoftboundCETS bug

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# Related Work

- Translation Validation
  - Checks for equivalence of semantics in code before and after optimization.
  - Heuristics may cause false positives.
- SymDiff
  - Checks for equivalence of two C programs using HAVOC and Boogie.
  - We are interested in LLVM IR programs.
- STACK
  - Detects instrumentations removed due to undefined behavior (unstable code).
  - Does not detect all removal of instrumentations.

